



## Request for Proposal

**Project Title:** 13th Part 12D Independent Consultant Safety Inspections  
Utica Project - FERC No. 2019 for 2027

**Issued By:** Utica Water and Power Authority

**Date Issued:** March 6<sup>th</sup>, 2026

**Proposal Due Date:** April 12, 2026

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### ATTACHMENTS:

EXHIBIT A – SCOPE OF WORK (8 pages)

EXHIBIT B – LETTER FROM FERC NOTIFYING UWPA OF CA'S (26 pages)

EXHIBIT C – PROFESSIONAL SERVICES AGREEMENT SAMPLE (6 pages)

EXHIBIT F – NON-DISCLOSURE AGREEMENT

*EXHIBITS WHICH ARE CRITICAL INFRASTRUCTURE INFORMATION AND RECEIPT IS SUBJECT TO EXECUTING A NON-DISCLOSURE AGREEMENT (AS PROVIDED IN EXHIBIT F)*

EXHIBIT D - LICENSE EXHIBIT 'F' AND 'G' DRAWINGS FOR THE UTICA PROJECT (11 pages)

EXHIBIT E - PERTINENT DATA TABLES FOR HUNTERS, MURPHYS FOREBAY, AND MURPHYS AFTERBAY (20 pages)

## INTRODUCTION

The Utica Water and Power Authority (Utica), a joint powers authority, hereby gives notice that it is now accepting proposals for dam safety engineering professional services for Utica's 2027 FERC Part 12D Independent Consultant (IC) Inspections and Reports (IC Reports) as described in this Request for Proposal (RFP). The scope includes conducting a combined Comprehensive Assessment (CA) for the Utica Hydroelectric Project comprised of Hunters, Murphys Forebay, and Murphys Afterbay dams, Mill Creek Tap, Utica Canal, Murphys Penstock and Powerhouse, and associated work according to FERC's regulations.

## PROPOSAL SUBMISSION

**Proposals must be received by Utica via email no later than 3:00 p.m., local time, on April 12, 2026, as determined by the email receipt time. Send proposals to [admin@uticawater.com](mailto:admin@uticawater.com). Submit your proposal as one (1) PDF formatted file. The email Subject Line should be addressed "Proposal for RFP - FERC Part 12D Independent Consultant Inspection of the Utica Project - FERC No. 2019".**

**In order for proposals to be accepted by Utica, the Consultant must execute a Non-Disclosure Agreement (Exhibit F).**

It is the responsibility of the proposer to assure that the proposal is received prior to the deadline date and time. Proposals received after the submission deadline will not be accepted. Utica encourages all proposers to send a test email to the delivery address [admin@uticawater.com](mailto:admin@uticawater.com) prior to proposal due date to confirm that they have the correct email address.

Proposer may withdraw its proposal by written request via email to [admin@uticawater.com](mailto:admin@uticawater.com) before the Submittal Deadline. After that time, proposer may not withdraw its proposal for a period of ninety (90) days from the Submittal Deadline.

Before submitting its proposal, proposer must fully inform itself of the terms, conditions, and specifications of the items or services required. Failure to do so will be at proposer's own risk and it cannot secure relief on the plea of error.

Proposer must state prices in units and quote items separately. In cases where it is possible to do so, and beneficial to Utica, award(s) may be split to differing proposers for items solicited in multiples of two or more, or that are specified differently, whether or not the Utica requests individual total proposal price(s) for each item(s).

Consultant shall comply with all applicable federal, state, and local laws, rules, and regulations in regard to nondiscrimination in employment because of race, color, ancestry, national origin, religion, sex, marital status, age, medical conditions, disability, or any other reason. Handwritten corrections made to proposal must be legible and initialed.

Where there are conflicts between unit prices and extended prices, unit prices will govern. Where there are conflicts between words and figures, words will govern.

Utica will consider in its award decision, discount payment terms of thirty (30) days or more from receipt of invoice, provided that proposer clearly indicates such discounts in its proposal. Where proposer does not indicate discount payment terms in its proposal, Utica will take it to mean that proposer does not offer discount payment terms, and therefore the payment will be considered net 30 days after receipt of invoice.

Utica's acceptance of proposer's offer shall be limited to the terms herein, including all attachments hereto, unless expressly agreed in writing by Utica's authorized representative. Proposals offering terms other than those shown herein may be declared non-responsive and may not be considered. By submission of a signed proposal, proposer consents to be bound by all terms and conditions set forth in the pages of this solicitation and all attachments hereto, including without limitation the **Professional Services Agreement sample** (and insurance requirements attached thereto) attached to this RFP as **Exhibit C**.

Proposer hereby agrees that the goods or services offered will meet all the requirements of the specifications or scope of services in this solicitation unless deviations from them are clearly indicated in the proposer's response. Proposer may submit an attachment entitled "Exceptions for Specification," which must be signed by proposer's authorized representative. An explanation must be made for each item to which an exception is taken, giving in detail the extent of the exception and the reason for which it is taken. Proposals failing to comply with this requirement may be considered non-responsive. Utica reserves the right not to accept any exceptions to the specifications.

Proposals will be held in confidence during the evaluation process until Utica staff issues Notice of Intent to Award the contract. Thereafter, all proposals will be treated as documents subject to disclosure under the California Public Records Act (Act).

**If proposer believes any portion of its proposal contains confidential or proprietary information, exempt from public disclosure under the Act, proposer must label each page containing such information as "Confidential". The "Confidential Information" label must be clear and legible.** Except as compelled by court process, Utica will not release any such documentation claimed to be exempt that is submitted in said manner without prior written notice to the proposer.

All proposals shall comply with current federal, state, local and other laws relative thereto.

The terms Successful Proposer, Supplier, Vendor, Bidder, and Contractor may be used interchangeably in this solicitation and shall refer exclusively to the person, company, or corporation with whom Utica enters into a contract as a result of this solicitation.

All services delivered under this contract must conform to the Safety Orders of the State of California, Division of Industrial Safety.

The Utica Water and Power Authority is exempt from Federal Excise Tax per Title 26 of the United States Code, Internal Revenue Service Code §4221.

The Utica Water and Power Authority reserves the right to waive informalities or technicalities in proposals.

Proposal signer represents that he/she is duly authorized to execute and sign documents on behalf of his/her respective entity.

Proposer is responsible for all fees and costs relating to the transportation of goods, performance of services, required registration, licensing, or other related fees. The proposal price must reflect all and any such required costs. Any required licensing and or registration type obligations must be sufficiently completed at the time of delivery so the goods or services can be immediately put to use for their intended purpose. These costs, licensing, or registrations include, but are not limited to, hauling, trucking fees, shipping, transportation, drive-time, vehicle or equipment registration fees, disposal fees, certifications, special taxes. An exception is made only if costs are clearly required to be listed separately, or if specified differently.

Any changes to this RFP are invalid unless specifically modified by Utica and issued as a separate addendum document. Should there be any question as to changes to the content of this document, Utica's copy shall prevail.

## **BACKGROUND INFORMATION**

The Utica Water and Power Authority is located in Calaveras County, on the western slope of the Sierra Nevada Mountains. UWPA is a nonprofit, Joint Powers Authority, which was formed in 1996 as a partnership between the City of Angels Camp and the Union Public Utilities District, and operates a 27-mile-long water conveyance system consisting of Gold-Rush-era earthen ditches, wooden flumes and five reservoirs to move water from Avery to Angels Camp. That water serves the residential, commercial and agricultural needs of 10,000+ people. Utica also owns and operates the Utica and Angels Hydroelectric Projects (P-2019 & 2699), both Federal Energy Regulatory Commission (FERC)-licensed hydroelectric projects. Additional information about Utica is available at its website, [www.uticawater.com](http://www.uticawater.com). The Utica Hydroelectric Development comprised of Hunters, Murphys Forebay, and Murphys Afterbay Dams, Mill Creek Tap, Utica Canal, and Murphys Penstock and Powerhouse are the subject of the Part 12 CA requested under this RFP.

## **SCOPE OF WORK**

The scope of work for the dam safety engineering professional services being solicited is found in Exhibit A attached to this RFP. The successful proposal(s) will demonstrate sufficient staff resources, expertise, relevant experience, and lack of disabling professional conflicts to perform the scope of work, along with demonstrated commitment to cost-control and client service that meet Utica's needs.

## QUESTIONS/ADDENDA

Any questions regarding information within this RFP shall be submitted in writing via e-mail to Utica's General Manager, Erik Holt, at [gm@uticawater.com](mailto:gm@uticawater.com).

**To be considered, questions must be received by Utica no later than 3:00 p.m. on April 6, 2026.** Utica may, if deemed necessary, respond to such questions by issuance of formal written addenda, interpreting or clarifying the requirements of this RFP. Utica may also issue addenda to modify the RFP as deemed advisable by Utica. All such addenda shall be part of this RFP and binding upon each proposer. Utica may, upon inquiry, direct a proposer's attention to specific provisions of the RFP which cover the subject of the inquiry. However, all supplemental information provided by Utica during the RFP process shall not be binding unless communicated by formal written addenda. All addenda will be posted on Utica's website. Each proposer is solely responsible for obtaining all addenda posted on Utica's website. However, all proposers who submit a signed NDA will be notified when Addenda are posted.

## INFORMATION TO BE SUBMITTED IN PROPOSAL

### A. CONTENT

Each proposal shall be **limited to 20 pages** (not including transmittal letter and resumes) and shall follow the outline below:

- **Section 1 – Scope of Work and Schedule**  
State in succinct terms your understanding of the scope of work listed in Exhibit A attached hereto. Identify additional tasks, if any, that you believe are essential or advisable to constitute a more complete scope of work, and include these as optional costs in your proposal. Propose a schedule for performing the work including specific dates following a similar format as shown in Exhibit A – Scope of Work – Preliminary Schedule that accomplishes completing and filing the IC reports with FERC by December 1, 2027.
- **Section 2 – Relevant Firm Experience and Expertise**  
Describe in narrative form and demonstrate that the IC Team collectively has the required “experience and expertise with dam design, construction, and in the evaluation and assessment of the safety of existing dams, commensurate with the scale, complexity, and relevant technical disciplines of the project and type of review, inspection, and assessment sought by Utica. Experience and expertise described in the proposal should include the types of review required under this RFP for the CA for the Utica Hydroelectric Development comprised of Hunters, Murphys Forebay, and Murphys Afterbay Dams, Mill Creek Tap, Utica Canal, and Murphys Penstock and Powerhouse . Identify representative clients and the types or composition of dams evaluated for Part 12D inspections, or for which improvements have been planned.

- **Section 3 – Project Team**  
 Provide an IC Team Proposal, including the identification and assessment of technical disciplines to be represented on the IC Team tailored to the characteristics of the Utica Development; the names and resumes for the IC(s); a list of supporting team member roles and their intended areas of expertise (including Subject Matter Experts [SMEs]); and the names and resumes of facilitators for the Potential Failure Modes Analysis (PFMA) and Level 2 Risk Analysis for the Utica Project.  
 Please indicate if your proposed Independent Consultant(s) and facilitators have been accepted by FERC, and describe their relative experience in conducting Part 12D inspections, Potential Failure Mode Assessments (PFMA) and Level 2 Risk Analyses. Contract terms will not permit substitutions of any team members without express approval by Utica.
- **Section 4 – Quality Assurance and Control; Conflicts**  
 Describe your approach to Quality Assurance and Control for your firm's performance as well as any performance guarantees you offer. Identify all current and reasonably foreseeable actual or potential professional conflicts that could hinder the provision of the requested services, and propose means of managing any such conflicts
- **Section 5 – Client References**  
 Provide contact information for representatives of three former or current clients for whom your firm or Project team members have performed similar services so that Utica may interview these references.
- **Section 6 – Contract and Insurance Requirements**  
 All successful proposers will be required to execute a contract in the form shown in Exhibit C attached hereto and to meet the insurance requirements of Appendix C to that Exhibit. Please indicate your firm's willingness and ability to comply with these requirements or describe any exceptions your firm requests. Alterations or changes to the agreement which were not in the proposer's response may not be made after the selection of the proposal. This includes alterations, exceptions, or changes to the insurance and indemnity provisions. By requiring these requests up front, Utica can compare all respondents on an equal footing.
- **Section 7 – Addenda**  
 Provide confirmation of receipt of all addenda issued by Utica in response to this RFP, which are posted on Utica's website.

Utica may reject a proposal as non-responsive for failure to provide all information requested in this RFP, **including execution of the Non-Disclosure Agreement (Exhibit F).**

## B. COST OF SERVICES

All proposals must include a complete and current table of all rates and charges to perform all the proposed services with detailed itemization of each task to be performed by team member hours, rates and costs. Cost of Services should be itemized according to the following as described in more detail in Exhibit A – Scope of Work:

1. Project management and meetings
2. Review Utica's draft Part 12D Inspection Plan and Schedule, and participate in Second FERC Coordination Calls
3. Review Pertinent Documents to prepare for Comprehensive Assessment Inspection of the Utica Development
4. Prepare a CA-PIPR for the Utica Development
5. Conduct Part 12D Comprehensive Assessment Inspection for the Utica Development
6. Conduct new PFMA workshop and prepare report for the Utica Development
7. Conduct Level 2 Risk Analysis for the Utica Development and prepare report
8. Prepare draft and final Part 12D inspection report as a CA for the Utica Development
9. Update each of the three Supporting Technical Information Documents (STIDs) in draft and final form (Assume 40 hours for IC, 160 hours for Junior or mid-level Engineer, and 40 hours for administrative support)
10. Prepare for and Conduct CA Review Meeting
11. Support Utica with responding to FERC's review comments  
(Assume 40 hours for IC)

The rates and charges provided **shall include all overhead rates to cover costs and other compensation** of consultant's officers, executives, principals (of partnership and sole proprietorships), general managers, engineers, architects, specialists, estimators, lawyers, auditors, accountants, purchasing and contracting agents, expeditors, timekeepers, clerks and other personnel employed by consultant whether at the site or in its principal or a branch office for general administration of the work and not specifically included in the list of personnel. Additional charges shall also include any part of consultant's capital expenses, including necessary transportation, travel and subsistence expenses of consultant's employees incurred in discharge of duties connected with performance of the services.

The rates and charges shall also include minor expenses connected with performance of the services such as copies, computers, software, on-line legal research, office supplies, postage, faxes, long-distance telephone calls, telephone, and any other expense incurred to accomplish the work. Note that no separate charges for these items will be allowed. Note also that no administrative charges will be allowed, except a markup of five percent (5%) on sub-consultants' billings.

### C. NON-DISCLOSURE AND DISCLOSURE OF PROPOSALS

Proposals will be held in confidence during the evaluation process until Utica staff issues Notice of Intent to Award the contract. Thereafter, all proposals will be treated as documents subject to disclosure under the California Public Records Act (Act).

If proposer believes any portion of its proposal contains confidential or proprietary information, exempt from public disclosure under the Act, proposer must submit that information with its proposal in a separate sealed envelope labeled "Confidential Information." Except as compelled by court process, Utica will not release any such documentation claimed to be exempt that is submitted in said manner without prior written notice to the proposer.

## SELECTION CRITERIA

### A. GENERAL

The proposals received shall be subject to an evaluation by Utica as deemed appropriate for purposes of selection. The evaluation will be made according to the following criteria:

- Responsiveness to the RFP and approach to the work:
  - Providing a comprehensive proposal
  - Identifying additional tasks and deliverables that are essential and/or advisable to provide a complete scope of work above what is contemplated in this RFP (if applicable)
  - Providing a reasonable and responsive project schedule from Board award through completion, including key deliverables, noting key decision points, and proven Quality Assurance and Control
- Experience and expertise of the Firm and Project Team:
  - Describing each team member individually and how the Project team will work together
  - Including statements of qualifications, certifications, accomplishments, and experience including FERC acceptance for the IC(s) and facilitator(s) related to the scope of this RFP, and individual resumes focused to this RFP
  - Indicating clearly the anticipated responsibilities of each team member

- Explaining the reasons why Utica should select your firm for this Project
- Evaluations from client references:
  - Providing a brief description of projects similar in nature and scope with the various aspects of the Project (particularly with similar dam and conveyance system types) that your team members have completed in the past five (5) years
- Rates and charges, affordability and cost control:
  - Providing each employee name, title, anticipated hours, cost per hour and total costs for each task identified in the Scope of Work
  - Proposing competitive rates for the level of work to be performed and the overall not-to-exceed budget to complete the work

## **B. FINAL SELECTION**

Proposals will be rated based on the merit of the entire proposal. Utica staff presently anticipates making contract award recommendations to the Utica Board of Directors at its regularly scheduled meeting on April 28, 2026. Notice of Intent to Award the professional service agreement will be issued prior to the Board meeting at which the contract is considered.

Utica reserves the right to reject any or all proposals and to re-issue this RFP. Utica may waive any minor informalities or irregularities in any proposal that are immaterial and inconsequential in nature. Utica reserves the right to request additional written or oral information from proposers to obtain clarification of their proposals.

All proposals become the property of Utica. All costs associated with development of the proposal shall be the sole responsibility of the proposing firm and shall not be charged in any manner to Utica.

## **C. PROTEST PROCEDURE**

Utica will post on its website, [www.uticawater.com](http://www.uticawater.com) and in the glass case outside Utica's main office the Notice of Intent to Award for Professional Services (NOIA) no later than the Business Day after issuance. Any protest must be submitted via email to [admin@uticawater.com](mailto:admin@uticawater.com) before 3:00 p.m. of the fifth calendar Day following the issuance of the NOIA. Any delay or failure to submit a protest within the timeline described above will not extend the protest deadline.

- a. The initial protest must contain a complete statement of the basis for the protest.
- b. The protest must refer to the specific portion of the document that forms the basis for the protest.
- c. The protest must include the name, address, and telephone number of the person representing the protesting party.
- d. The party filing the protest must concurrently transmit a copy of the initial protest document and any attached documentation to all other parties with a direct financial interest that may be adversely affected by the outcome of the protest. Such parties shall include all other proposers who appear to have a

reasonable prospect of receiving an award depending upon the outcome of the protest.

- e. The procedure and time limits set forth in this paragraph are mandatory and are proposer's sole and exclusive remedy in the event of a protest. Proposer's failure to comply with these procedures shall constitute a waiver of any right to further pursue the protest, including filing a Government Code Claim or legal proceedings. A proposer may not rely on a protest submitted by another proposer, but must timely pursue its own protest.

**ATTACHMENTS:**

EXHIBIT A – SCOPE OF WORK

EXHIBIT B – LETTER FROM FERC NOTIFYING UWPA OF CA INSPECTIONS

EXHIBIT C – PROFESSIONAL SERVICES AGREEMENT SAMPLE

EXHIBIT F – NON-DISCLOSURE AGREEMENT

*EXHIBITS WHICH ARE CRITICAL INFRASTRUCTURE INFORMATION AND RECEIPT IS SUBJECT TO EXECUTING A NON-DISCLOSURE AGREEMENT (AS PROVIDED IN EXHIBIT F)*

EXHIBIT D – LICENSE EXHIBIT 'F' DRAWINGS FOR Hunters, Murphys Forebay, and Murphys Afterbay Dams, Mill Creek Tap, and Murphys Penstock and Powerhouse

EXHIBIT E – PERTINENT DATA TABLES FOR Hunters, Murphys Forebay, and Murphys Afterbay Dams

## EXHIBIT A

### SCOPE OF WORK

#### GENERAL SCOPE OF SERVICES

The Consultant shall provide FERC Part 12D Independent Consultant services for the Utica Project, FERC Project No. 2019 Dams listed in Table 1 below.

**Table 1: High Hazard Dams and Associated Features in the Utica Hydroelectric Project**

<b>Dams - Type</b>	<b>Potentially Relevant Technical Disciplines</b>	<b>Identified Issues or Technical Complexity</b>
Mill Creek Tap	Hydraulic Mechanical	Howell-Bunger Valve for outlet regulation in discharge structure
Hunters Dam – Gravity and Arch Concrete with shotcrete upstream face	Geotechnical Structural Hydraulics Hydrology Mechanical	Moisture and seepage at multiple points in the dam. Two seepage weirs are monitored by Utica. Latest PMF determined that dam will overtop in 24-hour storm.
Utica Canal	Geotechnical Structural Hydraulics Mechanical	
Murphys Forebay West Dam – Earth Embankment	Geotechnical Geology Structural Hydrology	History of seepage in right groin and toe of dam under certain reservoir conditions. One seepage weir installed and monitored by Utica.
Murphys Forebay South Dam – Earth Embankment	Geotechnical Geology Structural Hydrology	No history of seepage or deformation. Current rodent abatement issues across dam.
Murphys Penstock	Geotechnical Structural Hydraulics Mechanical	

Murphys Powerhouse	Geotechnical Structural Hydraulics Mechanical	
Murphys Afterbay Dam – Earth Embankment	Geotechnical Geology Structural Hydraulics Hydrology Mechanical	Evaluate spillway adequacy including potential downstream effects of failure considering close proximity of population at-risk (PAR); Test spillway radial gate

**Scope of Work**

The Project includes the following tasks that are to be performed in conformance with Chapter 16 – Part 12D Program of FERC’s Engineering Guidelines for the Evaluation of Hydropower Projects, and other pertinent references noted herein:

- Project Management and Meetings – The Consultant will be responsible for leading, directing and monitoring the Project engineering team, and ensuring all work products and deliverables are reviewed in accordance with the Consultant’s quality assurance policies. All deliverables will go through internal Quality Control prior to submittal to Utica. The Consultant will be responsible for monitoring Project schedule and budget, and shall provide monthly progress reports with invoices. Assume 4 - one-hour coordination meetings between IC(s) and Utica conducted by teleconference in addition to those required to meet Part 12D requirements.
  1. Inspection Plan and Schedule - Review and Comment on Utica’s draft Part 12D Inspection Plan and Proposed Schedule as applicable for the Utica Development (FERC Project No. 2019).
  2. Second Coordination Call - Participate in Second Coordination Call with FERC and Utica representatives pertaining to the CA for the Utica Development.
  3. Review CA Pertinent Documents - Review Pertinent Documents to prepare for the Comprehensive Assessment Inspections of the Utica Development including those pertaining to Hunters, Murphys Forebay, and Murphys Afterbay Dams STID’s, most recent DSSMR’s, and Utica Development Public Safety Plan, design basis, construction, and analyses of record.
  4. Prepare CA-PIPR - Prepare Comprehensive Assessment Pre-Inspection Preparation Report (CA-PIPR) for the Utica Development .

5. Part 12D Comprehensive Assessment - Conduct Part 12D Comprehensive Assessment Inspections, including evaluating project performance with respect to Potential Failure Modes for Hunters, Murphys Forebay, and Murphys Afterbay Dams.
6. PFMA - Provide Facilitator, prepare for and conduct new Potential Failure Modes Analysis (PFMA) Workshop and prepare PFMA Report for the Utica Development in accordance with Chapter 17 of FERC's Engineering Guidelines.
7. Level 2 Risk Analysis - Provide Facilitator, prepare for and conduct Level 2 Risk Analysis for the Utica Development in accordance with Chapter 18 of FERC's Engineering Guidelines and prepare report. The scope of the risk assessment is to include the following risk measures:
  - Societal incremental life safety risk
  - Non-breach life safety risk
  - Annual probability of failure
  - Economic, environmental, cultural, etc. risks as appropriatePreparations for the risk assessment prepared in advance of the risk analysis session is to include:
  - Probabilistic loading estimates for hydrologic and seismic loads
  - Consequence estimates (life loss and others as appropriate)
  - Screening of potential failure modes for the risk analysis
8. Inspection Report - Prepare Part 12D CA inspection report in conformance with FERC's guidelines in both draft and final form.
9. STIDs - Update each of the three STIDs in draft and final form according to Chapter 15 of FERC's Engineering Guidelines including creation of the Digital Project Archive (DPA) covering all new/revised documents and addressing all FERC and Division of Safety of Dams (DSOD) correspondence since 2022. The last STID updates were performed in 2022. *(Assume 40 hours for IC, 160 hours for Junior or mid-level Engineer, and 40 hours for Administrative support)*
10. CA Review Meeting - Prepare for and Conduct Comprehensive Assessment Review Meeting with FERC and Utica to be attended by IC(s) and SMEs.
11. Response to FERC Comments - Support Utica with responding to FERC's review comments *(Assume 40 hours for IC(s))*

### Please Note: Scope of Utica Development Requiring Inspection

FERC indicated in their December 2, 2025 letter the scope of the Utica Development Comprehensive Assessment would be a combined CA requiring inspection of Hunters, Murphys Forebay, and Murphys Afterbay Dams Dam. During the Initial Coordination Call, FERC advised they will also want to inspect and include in the CA the Mill Creek Tap, 13-mile-long Utica Canal, Murphys Penstock and Powerhouse.

FERC refers to the following regarding the potential scope of the Part 12D development inspection based on project developments as defined in 18 CFR 12.3(b)(7): Development means that part of a project comprising an impoundment and its associated dams, forebays, water conveyance facilities, power plants, and other appurtenant facilities. A project may comprise one or more developments.

## **DOCUMENTS TO BE PROVIDED BY UTICA**

Utica will provide documents, reports, and surveys that have been developed for the Project, which contain information that may be pertinent to the work to be performed under this RFP. These documents will be conveyed to the IC Team upon execution of the Non-Disclosure Agreement and issuance of the NTP, and will include:

- 2022 FERC Part 12D inspection reports
- Standard Technical Information Documents
- Dam Safety Surveillance Monitoring Plans (DSSMP)
- 2024 Dam Safety Surveillance Monitoring Reports (DSSMRs) (2025 DSSMRs will provided in April 2026)
- Utica's Owner's Dam Safety Program
- FERC, DSOD, and Utica correspondence
- DSOD annual inspection reports
- Public Safety Plan
- Emergency Action Plan
- Hunters Standard Operating Procedures
- Murphys Forebay Standard Operating Procedures
- Murphys Afterbay Standard Operating Procedures
- 2027 Monument Surveys (Should be Available in June 2027)

## **QUALIFICATIONS**

At a minimum, the Consultant team must include professionals with 10 years of experience in the following qualifications, and more specifically as listed below:

- The IC shall meet the requirements of 18 CFR Section 12.31(a) and be a professional engineer registered in California possessing extensive experience in dam design, construction, and operation. Specific experience shall be in dam safety engineering and inspection of California Sierra-Nevadan dams constructed in the early 1920's of dam types including: earthen, concrete gravity and arch, and composite. At a minimum, the IC shall meet the following minimum requirements:
  - Previously or recently certified by the FERC to conduct Part 12 Inspections
  - 15-years' experience in dam safety engineering
  - Able to hike up to 10 miles above 3,000 feet elevation in one day (Utica Canal Inspection)
  - It is imperative that the Consultant be: responsive to Utica's needs during the project; dedicated to efficiently coordinate with Utica staff; and complete all of the deliverables in a timely fashion with the highest quality.

## PRELIMINARY SCHEDULE

The following is a preliminary schedule for this Project:

Event	Date
RFP issued	February 26, 2026
Questions due	April 6, 2026
Proposals due	April 12, 2026
Request Utica Board approval of IC Team contract	April 28, 2026
Utica anticipates issuing Notice to Proceed to IC Team	April 29, 2026
Utica prepares Draft Inspection Plan & Schedule	May 2026
Conduct monument surveys (Utica to contract directly with Surveyor)	May 2026
IC Team reviews Utica Draft Inspection Plan & Schedule	June 2026
Utica submits & FERC reviews Inspection Plan, Schedule & IC Team  (at least 6 months in advance of IC Inspection; FERC to respond within 30 days of UWPA's submittal)	July 2026
Participate in 2 <sup>nd</sup> Coordination Call with FERC & UWPA  (within 6 weeks of FERC acceptance of Inspection Plan)	August - September, 2026
Review Pertinent Documents (following FERC acceptance of IC Team)	September - November 2026
Prepare Draft & Final CA Pre-Inspection Preparation Report (CA-PIPR) for the Utica Development & Transmit to FERC (at least 30 days before first IC Team activity)	November 2026 – January 2027
UWPA submits CA-PIPR to FERC (at least 30 days prior to CA Inspection) and FERC responds to CA-PIPR (within 2 weeks of UWPA's submittal)	February 2027
Conduct Comprehensive Assessment Inspections of the Utica Development	May 2027

Conduct PFMA and Level 2 Risk Analysis of the Utica Project	May - June 2027
Prepare draft CA Inspection Report, PFMA and Level 2 Risk Analysis for the Utica Development Dams	July - September 2027
Utica reviews and provides comments to draft reports	October 2027
Submit to Utica the final CA Inspection, PFMA, and Risk Analysis Reports	November 1 – 15, 2027
Utica files final CA Inspection, PFMA and Risk Analysis Reports to FERC (prior to December 1, 2027 deadline)	November 15, 2027
Conduct Comprehensive Assessment Review Meeting (within 60 days after submitting Comprehensive Assessment Report)	December 2027 – January 2028
Submit Draft STID updates to Utica	December 2027 – February 2028
Utica reviews Draft STID updates	March – April 2027
Submit Final STID Updates to Utica and Utica files STIDs with FERC	May 2027
Respond to FERC comments on Inspection Reports, PFMA and Risk Analysis	Within 30 days of FERC review letters (likely in mid- to late-2028)