



REGULAR BOARD MEETING AGENDA

5:30 p.m., Tuesday, April 28, 2026
Utica Headquarters | 1168 Booster Way, Angels Camp, CA 95222

View the meeting agenda packet: www.uticawater.com/board-meetings

UTICA BOARD OF DIRECTORS

Caroline Schirato – Chair
Eric Bottomley – Vice Chair
Bruce Tallakson – Secretary
Alvin Broglio – Director
Gary Conrado – Director

ORDER OF BUSINESS

1. **Call to Order & Pledge of Allegiance**

2. **Roll Call**

3. **Approval of the Agenda**

4. **Public Comment**

Members of the public may address the Board on items not listed on the agenda. Comments are limited to five minutes. No action will be taken on items not on the agenda. The public is encouraged to work with staff to put items on the agenda for Board consideration.

5. **Consent Calendar**

These items are expected to be routine and non-controversial. Unless a Board member pulls an item, all items will be approved together at one time without discussion.

- A. Approve minutes from the March 2026 Special Board Meeting (Walker)
- B. Accept Financial reports for March 2026 (Walker)

- C. Accept Generation report for March 2026 (Walker)
- D. Accept Operations Report (Fields)
- E. Accept Water Conveyance Report (Rasmussen)
- F. Accept FERC Exemption Billing Report (Sparks)
- G. Accept Quarterly Training Report (Sparks)
- H. Accept Quarterly Grants Report (Walker)

6. Public Hearing

- A. Informational Report Regarding Status of Vacancies and Recruitment and Retention Efforts Pursuant to AB 2561
(Stacie Walker, Administrative Specialist)

7. Regular Agenda

- A. Discussion/Action regarding the FY 2024-2025 Financial Audit
(Erik Holt, General Manager)(Luis Perez, CPA, Bryant Jolley CPAs)
- B. Discussion/Action regarding Ad Hoc Resources Committee recommendation for irrigation contractor's rates for the 2026-27 Irrigation Season.
(Erik Holt, General Manager)
- C. Discussion regarding Ad Hoc Capital Improvement Plan (CIP) Committee Update
(Erik Holt, General Manager)
- D. Discussion regarding Budget Committee Update
(Erik Holt, General Manager)
- E. Discussion/Direction regarding Strategic Plan Review
(Erik Holt, General Manager)

8. Correspondence, Board / General Manager Reports, Future Agenda Items

- A. Correspondence
 - Outgoing – To FERC Requesting Extension of Time on Part 12D Comprehensive Inspection
 - Outgoing – To FERC Transmittal of DSSMRs (public cover page)
 - Outgoing – To DSOD Transmittal of DSSMRs (public cover page)
- B. General Manager Report
- C. Board reports
- D. Future agenda items

9. Upcoming Board Meetings and Calendar Events

- Special Board Meeting, Wednesday, May 20, 2026, at 3:00 p.m.
- Regular Board Meeting, Tuesday, May 26, 2026, CANCELLED.
- Regular Board Meeting, Tuesday, June 23, 2026, at 5:30 p.m.

10. Adjournment

Utica Mission Statement

We are committed to preserving local control of our water resources through sound fiscal and operational management, rigorous dam safety practices, and regulatory compliance, ensuring a safe and reliable water supply for municipal and agricultural stakeholders now and for future generations.

Americans With Disabilities Act

In compliance with Title II of the Americans with Disabilities Act, 28 CFR Part 36, if you need special assistance to participate in this meeting, please contact the Utica office at (209) 736-9419 or email your request to admin@uticawater.com. Notification in advance of the meeting will enable Utica to make reasonable accommodations to ensure accessibility to this meeting. Any materials distributed to the Board that relate to an item on the agenda are available at the Utica office 72 hours prior to the scheduled meeting.

Questions?

Contact Utica at admin@uticawater.com or (209) 736-9419.



Item 5A

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SPECIAL BOARD MEETING MINUTES

9:30 a.m. Wednesday, March 18, 2026
Utica Headquarters | 1168 Booster Way, Angels Camp, CA 95222

View the meeting agenda packet: www.uticawater.com/board-meetings

UTICA BOARD OF DIRECTORS

Caroline Schirato – Chair
Eric Bottomley – Vice Chair
Bruce Tallakson – Secretary
Alvin Broglio – Director
Gary Conrado – Director

ORDER OF BUSINESS

1. Call to Order & Pledge of Allegiance

- The meeting was called to order at 930 am

2. Roll Call

- Directors Present
 - Director Schirato
 - Director Broglio
 - Director Bottomley
 - Director Tallakson
 - Director Conrado
- Utica Staff Present
 - Erik Holt, General Manager
 - Stacie Walker, Clerk of the Board
 - Frank Fields, O&M Superintendent
 - Kyle Rasmussen, Conveyance Supervisor
- Others Present
 - Tom Quincy, UPUD Director

- Jessica Self, UPUD General Manager

3. Approval of the Agenda

Motion: Director Bottomley made a motion to approve the agenda

Second: Director Broglio

Board Discussion: None

Public Comment: None

Vote: 5-0-0

Ayes: Director Schriato, Director Tallakson, Director Bottomley, Director Broglio, Director Conrado

Noes: None

Abstain: None

Absent: None

4. Public Comment

- None

5. Consent Calendar

A. Approve minutes from the February 2026 Regular Board Meeting (Sparks) – **Accepted**

B. Accept Financial reports for February 2026 (Walker) – **Accepted**

C. Accept Generation report for February 2026 (Walker) – **Accepted**

D. Accept Operations Report (Fields) – **Accepted**

E. Accept Water Conveyance Report (Rasmussen) – **Accepted**

F. Accept FERC Exemption Billing Report (Sparks) – **Accepted**

Motion: Director Broglio made a motion to approve all consent agenda items

Second: Director Bottomley

Board Discussion: None

Public Comment: None

Vote: 5-0-0

Ayes: Director Schriato, Director Tallakson, Director Bottomley, Director Broglio, Director Conrado

Noes: None

Abstain: None

Absent: None

6. Regular Agenda

- A. Discussion/Action regarding approval of Utica's Revised Mission, Vision, and Values
(Erik Holt, General Manager) **Resolution 2026-02**

- Staff presented proposed updates to the Authority’s mission, vision, and values following the Board’s approval of the ODSP external audit and its recommendations. The updates aim to better define organizational direction, improve clarity for staff and the public, and incorporate a clear commitment to dam safety. A refined vision statement was introduced, and a concise set of core values was proposed to replace the broader list included in the prior strategic plan.

Motion: Director Bottomley made a motion to adopt Resolution 2026-02, Adopting the Revised Mission, Vision, and Values

Second: Director Tallakson

Board Discussion: None

Public Comment: None

Poll the Board:

Director Schirato – Aye

Director Broglio – Aye

Director Bottomley – Aye

Director Tallakson – Aye

Director Conrado – Aye

Vote: 5-0-0

- B. Discussion/Action regarding approval of the Memorandum of Understanding for Implementation of the Highway 4 Corridor Regional Water Supply Long-Term Water Needs Study
(Erik Holt, General Manager) **Resolution 2026-03**

Mr. Erik Holt presented a Memorandum of Understanding (MOU) stemming from a 2024 resolution to formalize collaboration among regional agencies, including Calaveras County Water District (CCWD), Union public Utility District (UPUD) , and the City of Angels (COA). The MOU represents the next step toward issuing a joint Request for Proposals (RFP) for a regional study.

The Board discussed the importance of communication, transparency, and regional collaboration, as well as the value of shared resources and planning for system redundancy. Also discussed were the study’s purpose, cost-sharing structure, and potential long-term considerations, including governance, water rights, and maintaining local control.

Ms. Jessica Self, General Manager of UPUD, provided input on behalf of her agency, noting the ongoing coordination efforts. She emphasized the value of collaboration to address broader water supply challenges and improve system reliability, and clarified that the proposed study is focused on redundancy and does not include consolidation.

The Board discussed the need for continued transparency and communication. Board members noted the importance of including related projects in the study scope and receiving periodic updates on regional water issues.

Motion: Director Broglio made a motion to adopt Resolution 2026-03, Approving the Memorandum of Understanding for the Highway 4 Corridor Regional Water Supply Study

Second: Director Conrado

Board Discussion: None

Public Comment: None

Poll the Board:

Director Schirato – Aye

Director Broglio – Aye

Director Bottomley – Aye

Director Tallakson – Aye

Director Conrado – Aye

Vote: 5-0-0

- C. Discussion/Action regarding authorization of the General Manager to contract with TCB Industrial, Inc. to repair the 24-inch spherical valve at the Murphys Powerhouse (cost not to exceed \$178,579).
(Erik Holt, General Manager) **Resolution 2026-04**

- Mr. Erik Holt reported that during inspection, the turbine sphere valve (TSV) failed to operate. After draining the system and inspecting the TSV, several parts were found loose or missing inside the valve. Staff determined that continuing to run the unit could have caused a major failure, so it was shut down for safety. Staff received an initial quote from TCB, but later received a second quote from MarTec and is recommending MarTec based on their experience, responsiveness, and ability to perform work in-house. Repair costs and lost generation will be covered using reserves. FERC has been notified, and the work is expected to qualify as unscheduled maintenance.

The Board discussed the outage timing, repair scope, and contractor experience. Mr. Frank Fields, O&M Superintendent, noted that the planned April outage is currently canceled but may be used for maintenance work, and that April generation revenue will be impacted. Additional repairs may be identified as work continues. He expressed confidence in the selected contractor and emphasized the importance of safety and reliability.

Motion: Director Bottomley made a motion to amend Resolution 2026-04 to (1) authorize the General Manager to execute an agreement with MarTech for the repair of the Murphys Powerhouse 24-in spherical valve, (2) update the recitals to reflect multiple bids, and (3) update the “Be It Resolved” section to reflect MarTech in an amount not to exceed \$154,750.

Second: Director Broglio

Board Discussion: None

Public Comment: None

Poll the Board:

Director Schirato – Aye

Director Broglio – Aye

Director Bottomley – Aye
Director Tallakson – Aye
Director Conrado – Aye
Vote: 5-0-0

Motion: Director Broglio made a motion to adopt Resolution 2026-04 as amended.

Second: Director Tallakson

Board Discussion: None

Public Comment: None

Poll the Board:

Director Schirato – Aye
Director Broglio – Aye
Director Bottomley – Aye
Director Tallakson – Aye
Director Conrado – Aye
Vote: 5-0-0

7. Correspondence, Board / General Manager Reports, Future Agenda Items

A. Correspondence

- None

B. General Manager Report

- Conducted interviews; offer accepted by Cody Cable (start date April 6). Training to begin in operations, followed by coordination with conveyance.
- The completed DSSMRs are being reviewed by the CDSE.
- Job description updates in progress.
- AB 52 consultations: response received from Calaveras Band of Miwuk; outreach to Valley Miwuk ongoing, with meeting scheduled. No new invoices at this time.
- Office remodel delayed to April 6 (carpet and bathroom flooring).
- Received proposal for cell tower lease (Hunter's Dam Road, 50x50 footprint); initial terms include \$16,000 annually, with negotiation of escalation needed.
- Part 12D RFP posted; proposals due April 22.
- Angels Powerhouse security system decision pending; moving toward implementation.
- JPIA confirmed TSV is listed under insurance; site visit to be scheduled to verify coverage details.
- IT assessment scheduled for next Monday and Tuesday (estimated cost under \$3,000); Board requested coordination with the City Administrator

C. Board reports

- COA
 - i. Hired administrator Michael Hodson starting March 30, 2026.

- UPUD
 - i. Adopted MOU with Highway 4 Partnership
 - ii. Adopted Resolution of Appreciation for Mister the cat.
 - iii. Office remodel is complete; returning the building to the Catermartori store layout, utilizing old store remnants for the remodel.

D. Future agenda items

- Appreciation of Sebastian Martz's 20 years of continuous service.

Board/Staff Comments:

- Board and staff expressed appreciation for Authority staff, noting their professionalism and willingness to assist.
- Comments were made recognizing the team's ability to adapt and respond to challenges as they arise.
- Staff provided a brief update on coordination with USA Dig, including adjustments to notification timing and identification of issues with project plans at Hunters.

8. Upcoming Board Meetings and Calendar Events

- Regular Board Meeting, Tuesday, April 28, 2026, at 5:30 p.m
- Regular Board Meeting, Tuesday, May 26, 2026, at 5:30 p.m
 - Meeting had been rescheduled for May 20th, as determined at the February meeting
- Regular Board Meeting, Tuesday, June 23, 2026, at 5:30 p.m.

9. Adjournment

Motion: Director Broglio made a motion to adjourn the meeting at 10:40 a.m.

Second: Director Tallakson

Board Discussion: None

Public Comment: None

Vote: 5-0-0

Ayes: Director Schirato, Director Broglio, Director Tallakson, Director Bottomley, Director Conrado

Noes: None

Abstain: None

Absent: None

- The meeting was adjourned at 10:40 a.m.

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We are committed to preserving and protecting local control of our water resources through effective fiscal and operational management and assuring a safe and reliable water supply for municipal and agricultural stakeholders, while ensuring the quality and quantity of this precious resource for generations to come.

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Questions?

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DRAFT



Item 5B

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UTICA WATER AND POWER AUTHORITY

Date: April 28, 2026
To: Erik Holt, General Manager
From: Stacie Walker, Administrative Specialist
Re: Financial Report for March 2026

Power Generation and Revenue:

In March 2025, Angels Powerhouse (APH) generated \$36,333 in revenue. Murphys Powerhouse (MPH) generated \$38,788. The preliminary combined net revenue is \$139,376 for both powerhouses. MPH power sales are subject to the Resource Adequacy Availability Incentive Mechanism (RAAIM) adjustments, which can result in additional revenue or reduced payment. The MPH sales were reduced by \$2,130, leaving a combined net revenue of \$72,991 for both powerhouses.

Murphys Powerhouse was taken offline on March 11, 2026, due to mechanical issues with the turbine spherical valve (TSV) requiring repair. As a result, generation revenue was reduced during the reporting period.

Water Sales and Irrigation:

There were no water sales to NCPA.

Contractors for the 2025-2026 Regular Irrigation Season have been paid in full. Six Contractors have requested offseason water for irrigation use. Offseason water has no minimum use and will be billed in one-acre-foot increments, once the 2026-2027 Regular Irrigation Season begins in May.

JPA Member Contributions:

Joint Powers Authority member contributions are determined by the Utica Board of Directors, in coordination with the full JPA membership, during the annual budget development cycle. Each JPA member agency will pay \$407,000 in FY 2025-2026, per the June 24, 2025, Board Adopted Budget – invoiced each quarter to each entity for \$101,750. Due to accounting differences, Union Public Utility District (UPUD) is invoiced at the end of each quarter, while City of Angels (COA) is invoiced at the beginning. UPUD's third-quarter invoice was issued on March 1, and UPUD's second-quarter payment was received on March 4. COA's third-quarter invoice was issued on January 1, and payment was received on March 11.

Interest Income:

The Authority's funds are held in the Bank of Stockton's interest-bearing checking and money market accounts. These accounts earned \$5,247.57 in interest income in March 2026.

Utica Water and Power Authority

Budget vs. Actuals: FY 2025-2026 - FY26 P&L

July 2025 - June 2026

	TOTAL			
	ACTUAL	BUDGET	OVER BUDGET	% OF BUDGET
Income				
1200 Operating Income				
1200-01 NCPA Water Sales	280,750.00	0.00	280,750.00	
1200-02 COA Water Agreement	499,500.00	407,000.00	92,500.00	122.73 %
1200-03 UPUD Water Agreement	203,500.00	407,000.00	-203,500.00	50.00 %
1200-04 Irrigation Water Contractors	46,658.75	54,000.00	-7,341.25	86.41 %
1200-05 1200-05 Slurry Line / CCWD Wheeling Fees		1,000.00	-1,000.00	
Total 1200 Operating Income	1,030,408.75	869,000.00	161,408.75	118.57 %
1210 Power Sales				
1210-01 Angels Powerhouse - Power Sales	398,800.48	576,681.00	-177,880.52	69.15 %
1210-02 Murphys Powerhouse - Power Sales	789,884.12	1,124,321.00	-334,436.88	70.25 %
Total 1210 Power Sales	1,188,684.60	1,701,002.00	-512,317.40	69.88 %
1220 Other Income	3,727.50	0.00	3,727.50	
1220-01 Other Income	47.50		47.50	
Total 1220 Other Income	3,775.00	0.00	3,775.00	
1300 Interest Income	2,232.76		2,232.76	
1300-01 Interest Income	44,879.11	81,270.00	-36,390.89	55.22 %
Total 1300 Interest Income	47,111.87	81,270.00	-34,158.13	57.97 %
1310 Rent Income				
1310-01 Sierra HOPE Lease Agreement	5,328.00	21,312.00	-15,984.00	25.00 %
1310-02 Crown Castle MFB Tower Lease Agreement	40,094.58	27,847.00	12,247.58	143.98 %
1310-03 Hunter Reservoir Rental House	11,807.80	14,169.00	-2,361.20	83.34 %
1310-04 Cal.Net MFB Tower Lease Agreement	2,548.00	3,436.00	-888.00	74.16 %
Total 1310 Rent Income	59,778.38	66,764.00	-6,985.62	89.54 %
1340 Other Non-Operating Income				
1340-01 COA Sierra Hydrographics Pass Through	4,000.00	5,070.00	-1,070.00	78.90 %
1340-02 Other Income	10,190.99		10,190.99	
Total 1340 Other Non-Operating Income	14,190.99	5,070.00	9,120.99	279.90 %
1350 Reserve Income				
1350-01 FERC Relicensing / Exemption Reserve (transfer in)	400,500.00	400,500.00	0.00	100.00 %
1350-03 FERC Five Year Plan Reserve	40,000.00	40,000.00	0.00	100.00 %
1350-04 FERC 10 Year Plan Reserve	10,000.00	10,000.00	0.00	100.00 %
Total 1350 Reserve Income	450,500.00	450,500.00	0.00	100.00 %
1400 Grant Funding				
1400-01 Hunter Reservoir Fuels Reduction Project	500,210.33	557,941.00	-57,730.67	89.65 %
1400-02 Lower Utica Canal Wastegates and Gaging Stations Project	25,488.30	25,458.00	30.30	100.12 %
1400-06 Utica and Angels Canal Lining (USBR WaterSMART)	25,000.00	28,000.00	-3,000.00	89.29 %
1400-10 Utica Grants Administration (% of grant award)	40,274.25		40,274.25	
Total 1400 Grant Funding	590,972.88	611,399.00	-20,426.12	96.66 %
1500 Disaster Relief Reimbursements				
1500-01 FEMA 75% (New Year's Storm)		0.00	0.00	

	TOTAL			
	ACTUAL	BUDGET	OVER BUDGET	% OF BUDGET
1500-02 Cal OES 75% of 25% Remainder (New Year's Storm)		0.00	0.00	
Total 1500 Disaster Relief Reimbursements		0.00	0.00	
Unapplied Cash Payment Revenue	-178,288.72		-178,288.72	
Total Income	\$3,207,133.75	\$3,785,005.00	\$ -577,871.25	84.73 %
GROSS PROFIT	\$3,207,133.75	\$3,785,005.00	\$ -577,871.25	84.73 %
Expenses				
3000 Wages, Benefits & Burden				
3100 Power				
3101 Power Wages				
3101-01 Operations & Maintenance Superintendent	78,898.79	120,897.00	-41,998.21	65.26 %
3101-02 Hydroelectric Operator	53,786.65	78,853.00	-25,066.35	68.21 %
3101-03 Hydroelectric Operations Technician	67,592.84	84,906.00	-17,313.16	79.61 %
3101-04 On-Call	8,750.00	18,450.00	-9,700.00	47.43 %
3101-05 Hydro Management Leave	4,614.00	4,614.00	0.00	100.00 %
3101-10 Overtime	5,988.04	12,000.00	-6,011.96	49.90 %
Total 3101 Power Wages	219,630.32	319,720.00	-100,089.68	68.69 %
3102 Power Burden				
3102-01 Social Security, Medicare, State Unemployment Ins.	21,532.00	26,025.00	-4,493.00	82.74 %
3102-03 Workers Comp	8,276.44	15,823.00	-7,546.56	52.31 %
Total 3102 Power Burden	29,808.44	41,848.00	-12,039.56	71.23 %
3103 Power Benefits				
3103-01 Health Insurance - SDRMA	56,806.87	69,574.00	-12,767.13	81.65 %
3103-02 Health Reimbursement Account - EDIS	10,413.70	10,000.00	413.70	104.14 %
3103-03 Dental/Vision/Chiro/Life Insurance - Choice Builder	3,929.86	3,895.00	34.86	100.89 %
3103-04 CalPERS Pension	18,842.83	22,659.00	-3,816.17	83.16 %
3103-06 CalPERS Pension Unfunded Liability	8,270.80	8,548.00	-277.20	96.76 %
Total 3103 Power Benefits	98,264.06	114,676.00	-16,411.94	85.69 %
Total 3100 Power	347,702.82	476,244.00	-128,541.18	73.01 %
3200 Water				
3201 Water Wages				
3201-01 Water Conveyance Supervisor	62,376.66	103,459.00	-41,082.34	60.29 %
3201-02 Senior Water Conveyance Operator	60,742.61	82,846.00	-22,103.39	73.32 %
3201-03 General Manager	100,166.80	153,192.00	-53,025.20	65.39 %
3201-04 Administrative Compliance Specialist	49,182.94	61,589.00	-12,406.06	79.86 %
3201-05 Administrative Specialist, Clerk of the Board	52,372.47	67,995.00	-15,622.53	77.02 %
3201-06 Hydroelectric Relief Operator and Conveyance Operator	55,861.21	75,046.00	-19,184.79	74.44 %
3201-09 IT Administrator	20,615.10	28,117.00	-7,501.90	73.32 %
3201-10 Overtime	3,716.68	10,000.00	-6,283.32	37.17 %
3201-11 Conveyance Management Leave		3,979.00	-3,979.00	
3201-12 Administration Management Leave	5,892.00	5,892.00	0.00	100.00 %
Total 3201 Water Wages	410,926.47	592,115.00	-181,188.53	69.40 %
3202 Water Burden				
3202-01 Social Security, Medicare, State Unemployment Ins.	41,519.75	48,017.00	-6,497.25	86.47 %
3202-03 Workers Comp - Ops, GM, and Office Staff	12,414.66	24,292.00	-11,877.34	51.11 %
Total 3202 Water Burden	53,934.41	72,309.00	-18,374.59	74.59 %
3203 Water Benefits				
3203-01 Health Insurance - SDRMA	95,072.81	150,384.00	-55,311.19	63.22 %

	TOTAL			
	ACTUAL	BUDGET	OVER BUDGET	% OF BUDGET
3203-02 Health Reimbursement Account - EDIS	13,528.66	15,000.00	-1,471.34	90.19 %
3203-03 Dental/Vision/Chiro/Life Insurance - ChoiceBuilder	5,511.28	6,520.00	-1,008.72	84.53 %
3203-04 CalPERS Pension	31,983.02	45,551.00	-13,567.98	70.21 %
3203-06 CalPERS Pension Unfunded Liability	12,606.20	12,821.00	-214.80	98.32 %
Total 3203 Water Benefits	158,701.97	230,276.00	-71,574.03	68.92 %
Total 3200 Water	623,562.85	894,700.00	-271,137.15	69.70 %
Total 3000 Wages, Benefits & Burden	971,265.67	1,370,944.00	-399,678.33	70.85 %
4000 Conveyance Maintenance and Supplies				
4000-26 Angels Canal Maintenance and Supplies	145.58		145.58	
Total 4000 Conveyance Maintenance and Supplies	145.58		145.58	
4100 Maintenance & Repair				
4100-01 Road Maintenance	2,620.00	5,408.00	-2,788.00	48.45 %
4100-02 Flume Maintenance and Supplies	83,956.54	103,000.00	-19,043.46	81.51 %
4100-05 Property Maintenance	6,077.77	5,408.00	669.77	112.38 %
4100-06 Fuel for Vehicles and Equipment	13,129.98	18,000.00	-4,870.02	72.94 %
4100-07 Maintenance for Vehicles and Equipment	6,250.00	7,718.00	-1,468.00	80.98 %
4100-08 Temporary Labor	17,794.78	35,000.00	-17,205.22	50.84 %
4100-09 Powerhouses Maintenance and Supplies	11,746.42	13,230.00	-1,483.58	88.79 %
4100-10 Gaging Station Maintenance	1,442.81	6,000.00	-4,557.19	24.05 %
4100-11 Misc Supplies and Repairs	9,256.96	11,576.00	-2,319.04	79.97 %
4100-14 Dam and Spillway Maintenance	10,265.98	15,000.00	-4,734.02	68.44 %
4100-15 Owners Dam Safety Plan Audit - Mandated Actions	154.89		154.89	
4100-16 Irrigation Maintenance (Not Meter Replacement)	438.15	2,100.00	-1,661.85	20.86 %
4100-17 Cal Fire	6,176.32		6,176.32	
Total 4100 Maintenance & Repair	169,310.60	222,440.00	-53,129.40	76.12 %
4110 Misc. Supplies & Services				
4110-01 Office Services, Supplies, Equipment, Misc.	10,726.39	11,576.00	-849.61	92.66 %
4110-02 Employee Uniforms, Boots, PPE	1,375.98	5,150.00	-3,774.02	26.72 %
4110-04 Headquarters Cleaning	1,470.00	1,950.00	-480.00	75.38 %
Total 4110 Misc. Supplies & Services	13,572.37	18,676.00	-5,103.63	72.67 %
4120 Leases & Subscriptions				
4120-02 Sierra Pacific Industries Lease	250.00	250.00	0.00	100.00 %
4120-03 Office Toshiba Copier Lease	2,911.55	2,336.00	575.55	124.64 %
4120-04 Software Subscriptions	6,441.94	8,755.00	-2,313.06	73.58 %
4120-06 Wylderidge Tower Lease NCPA	2,800.00	2,800.00	0.00	100.00 %
Total 4120 Leases & Subscriptions	12,403.49	14,141.00	-1,737.51	87.71 %
4200 Property & Liability Insurance				
4200-01 ACWA/JPIA Auto & General Liability	37,275.20	37,180.00	95.20	100.26 %
4200-02 ACWA/JPIA Property Insurance	54,064.47	73,332.00	-19,267.53	73.73 %
Total 4200 Property & Liability Insurance	91,339.67	110,512.00	-19,172.33	82.65 %
4200-03 ACWA / JPIA Cyber Liability	1,137.00	1,087.00	50.00	104.60 %
4300 Memberships				
4300-01 Association California Water Agencies	14,600.00	14,663.00	-63.00	99.57 %
4300-02 Tuolumne-Stanislous Integrated Regional Water Management WAC	200.00	220.00	-20.00	90.91 %
4300-03 Mountain Counties Water Resources Association	1,443.00	1,497.00	-54.00	96.39 %
4300-04 Calaveras Historical Society		50.00	-50.00	

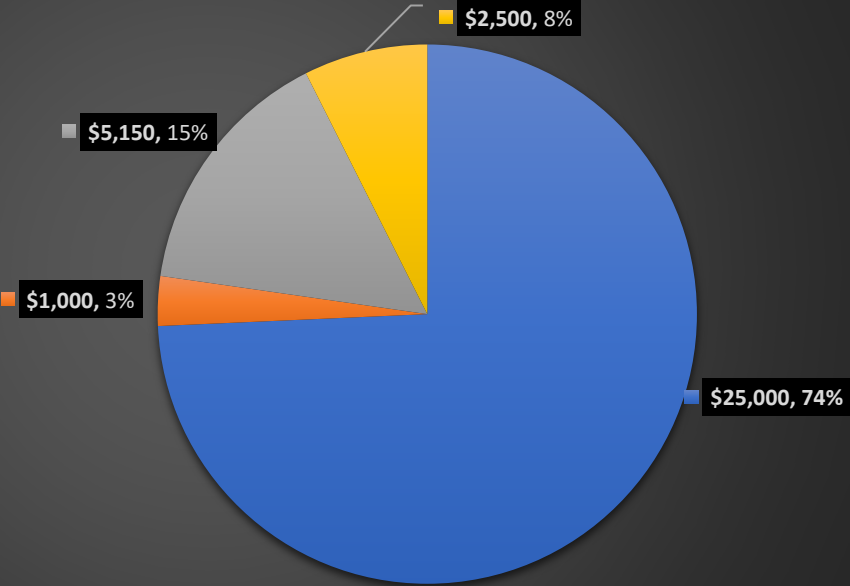
	TOTAL			
	ACTUAL	BUDGET	OVER BUDGET	% OF BUDGET
4300-05 California Special District Association	1,872.00	1,936.00	-64.00	96.69 %
4300-06 Amazon Prime	140.29	200.00	-59.71	70.15 %
4300-07 Farm Bureau - Calaveras Chapter	200.00	150.00	50.00	133.33 %
4300-08 Murphys Community Park Association		75.00	-75.00	
Total 4300 Memberships	18,455.29	18,791.00	-335.71	98.21 %
4400 Professional/Special. Services				
4400-03 Chief Dam Safety Engineer	5,300.00	15,000.00	-9,700.00	35.33 %
4400-05 Murphys/Angels Powerhouse Inspection Reports	3,405.00	10,400.00	-6,995.00	32.74 %
4400-07 SCADA and PLC Programming	8,750.00	8,320.00	430.00	105.17 %
4400-08 Certified Public Accountant	625.00	2,808.00	-2,183.00	22.26 %
4400-09 Annual Audit	14,000.00	14,000.00	0.00	100.00 %
4400-10 General Legal Counsel	33,038.41	22,204.00	10,834.41	148.79 %
4400-11 FERC Exemption Legal Counsel	17,640.00	20,000.00	-2,360.00	88.20 %
4400-12 FERC Exemption General Services	58,810.80	50,000.00	8,810.80	117.62 %
4400-14 FERC Regulatory Compliance	1,570.65	50,000.00	-48,429.35	3.14 %
4400-16 FERC Exemption Consultants	358,154.17	330,500.00	27,654.17	108.37 %
4400-20 Hydrology Analysis Services	16,600.00	25,380.00	-8,780.00	65.41 %
4400-21 Community Education/Outreach	2,728.08	5,200.00	-2,471.92	52.46 %
4400-24 Grant Writing/Eng./Finance/Mgmt		5,000.00	-5,000.00	
4400-28 Website Maintenance and Support	5,000.00	6,000.00	-1,000.00	83.33 %
4400-29 GIS Mapping	843.75	5,200.00	-4,356.25	16.23 %
4400-31 Recruitment	5,349.32	1,000.00	4,349.32	534.93 %
4400-32 Water Rights Protection & Reporting	8,028.02	10,000.00	-1,971.98	80.28 %
4400-33 Human Resources Consulting Support	2,400.00	1,500.00	900.00	160.00 %
4400-34 Revenue Meter Maintenance - CAISO	5,990.00	6,300.00	-310.00	95.08 %
4400-36 Water Rights Legal Counsel	5,668.35		5,668.35	
Total 4400 Professional/Special. Services	553,901.55	588,812.00	-34,910.45	94.07 %
4500 Governmental & Other Fees				
4500-02 FERC Annual Admin & Land Charge		11,000.00	-11,000.00	
4500-03 Dept Water Resources Dam Fees	70,244.00	75,920.00	-5,676.00	92.52 %
4500-04 WREGIS Annual Fee	75.00		75.00	
4500-05 Powersoft Billing Software (MPH & APH)	500.00		500.00	
4500-06 County Taxes (Booster, Hunters)	1,631.60	400.00	1,231.60	407.90 %
4500-07 USA North 811 Annual Fee	300.00	300.00	0.00	100.00 %
4500-08 County Environmental Health Hazardous Waste		674.00	-674.00	
4500-09 CA Dept of Tax & Fee Admin - State Water Rights	2,282.70	2,500.00	-217.30	91.31 %
4500-11 United States Geological Service Fees		9,548.00	-9,548.00	
4500-12 Bank Charges	124.18	100.00	24.18	124.18 %
4500-13 Miscellaneous Fees and Expenses		1,000.00	-1,000.00	
4500-16 Wylderidge Road Fee	940.00		940.00	
Total 4500 Governmental & Other Fees	76,097.48	101,442.00	-25,344.52	75.02 %
4600 Communications				
4600-01 Comcast	11,119.76	8,500.00	2,619.76	130.82 %
4600-04 Verizon	9,479.01	11,000.00	-1,520.99	86.17 %
4600-05 Columbia Communications	694.26	975.00	-280.74	71.21 %
4600-06 Cal.Net	1,995.39	2,912.00	-916.61	68.52 %
4600-12 Starlink	3,240.00	4,320.00	-1,080.00	75.00 %
Total 4600 Communications	26,528.42	27,707.00	-1,178.58	95.75 %

	TOTAL			
	ACTUAL	BUDGET	OVER BUDGET	% OF BUDGET
4700 Utilities				
4700-01 Calaveras Public Power Agency	6,444.80	5,750.00	694.80	112.08 %
4700-02 PG&E	14,676.97	15,000.00	-323.03	97.85 %
4700-04 UPUD Water Service	637.35	1,000.00	-362.65	63.74 %
4700-05 City of Angels Water Service	4,337.06	5,100.00	-762.94	85.04 %
4700-07 Cal Waste Garbage & Recycling	2,102.78	2,700.00	-597.22	77.88 %
4700-08 Mountain Oasis Bottled Water	545.25	1,000.00	-454.75	54.53 %
4700-09 Ebbetts Pass Gas Propane	465.66	2,500.00	-2,034.34	18.63 %
Total 4700 Utilities	29,209.87	33,050.00	-3,840.13	88.38 %
4800 Travel & Training				
4800-01 Travel and Training	5,379.62	20,000.00	-14,620.38	26.90 %
4800-03 Mileage Reimbursement	116.13	1,500.00	-1,383.87	7.74 %
Total 4800 Travel & Training	5,495.75	21,500.00	-16,004.25	25.56 %
4900 Information Technology				
4900-02 Computers, Software, Hardware, and Equipment	1,404.26	10,609.00	-9,204.74	13.24 %
Total 4900 Information Technology	1,404.26	10,609.00	-9,204.74	13.24 %
5000 Powerhouses				
5000-01 MPH Relay Protection Upgrade	26,668.50	17,500.00	9,168.50	152.39 %
5000-11 Backup MPH Transformer		123,111.00	-123,111.00	
5000-12 MPH Static Exciter	95,846.44	100,000.00	-4,153.56	95.85 %
5000-16 MPH Emergency Sphere Valve Repair	3,789.78		3,789.78	
Total 5000 Powerhouses	126,304.72	240,611.00	-114,306.28	52.49 %
5100 Conveyance				
5100-06 Murphys Forebay Trash Rake Replacements		70,000.00	-70,000.00	
5100-08 Angels Penstock Repairs/Replacement	620.77		620.77	
Total 5100 Conveyance	620.77	70,000.00	-69,379.23	0.89 %
5200 General Projects				
5200-03 General Facilities	9,761.40	10,000.00	-238.60	97.61 %
5200-04 Feasibility Assessments		25,000.00	-25,000.00	
Total 5200 General Projects	9,761.40	35,000.00	-25,238.60	27.89 %
5300 Regulatory Compliance		15,750.00	-15,750.00	
5300-01 Angels Penstock Assessment	6,992.04		6,992.04	
5300-02 ODSP	22,891.71		22,891.71	
5300-03 Slope Stability Condition Assessment	5,200.00		5,200.00	
5300-04 Innundation Mapping	24,431.00		24,431.00	
5300-05 Emergency Action Plan (EAP)	118.60		118.60	
Total 5300 Regulatory Compliance	59,633.35	15,750.00	43,883.35	378.62 %
5400 IT Outside Services	4,335.37		4,335.37	
6100 Equipment & Material Purchases				
6100-02 Heavy Equipment & Attachments	23,335.92	29,000.00	-5,664.08	80.47 %
6100-03 Irrigation Meter Purch./Install	1,904.18	0.00	1,904.18	
6100-04 Tools and Equipment	453.43	6,000.00	-5,546.57	7.56 %
Total 6100 Equipment & Material Purchases	25,693.53	35,000.00	-9,306.47	73.41 %
7000 FERC Relicensing/Exemption Reserve				
7000-01 FERC Relicensing/Exemption		300,000.00	-300,000.00	
Total 7000 FERC Relicensing/Exemption Reserve		300,000.00	-300,000.00	
7200 Operating Reserve Fund				

	TOTAL			
	ACTUAL	BUDGET	OVER BUDGET	% OF BUDGET
7200-01 Operating Reserve		30,000.00	-30,000.00	
Total 7200 Operating Reserve Fund		30,000.00	-30,000.00	
7400 FERC 5 Year Plan Reserve Fund	40,000.00		40,000.00	
7400-01 Five Year/Emergency Action Plan Re-Write		1,200.00	-1,200.00	
7400-02 Five Year/Tabletop Functional		1,800.00	-1,800.00	
7400-03 Part 12 Inspection and Potential Failure Mode Analysis		30,000.00	-30,000.00	
7400-04 Supporting Technical Information Documents		16,300.00	-16,300.00	
7400-05 Security Plan Rewrite		900.00	-900.00	
7400-06 Environmental & Public Use Inspections		4,800.00	-4,800.00	
7400-07 Dam Safety/Surveillance Monitoring Plans		1,800.00	-1,800.00	
7400-08 Owners Dam Safety Plan/Ext Audt		5,000.00	-5,000.00	
Total 7400 FERC 5 Year Plan Reserve Fund	40,000.00	61,800.00	-21,800.00	64.72 %
7500 FERC 10 Year Plan Reserve Fund				
7500-01 Murphys Afterbay Low Level Outlet ROV Inspec		3,000.00	-3,000.00	
7500-02 Murphys Afterbay Radial Gate Inspection		3,000.00	-3,000.00	
7500-03 Murphys Powerhouse Penstock ROV Inspection		6,000.00	-6,000.00	
Total 7500 FERC 10 Year Plan Reserve Fund		12,000.00	-12,000.00	
8000 Grants Expenditures				
8000-03 Hunter Reservoir Fuel Reduction Project	496,600.96	557,941.00	-61,340.04	89.01 %
8000-08 Automated Wastegates and Crossgates	39,100.39	50,000.00	-10,899.61	78.20 %
8000-10 Utica Canal Lining Project (USBR)	59,763.79	56,000.00	3,763.79	106.72 %
Total 8000 Grants Expenditures	595,465.14	663,941.00	-68,475.86	89.69 %
QuickBooks Payments Fees	16.36		16.36	
Unapplied Cash Bill Payment Expenditure	0.00		0.00	
Total Expenses	\$2,832,097.64	\$4,003,813.00	\$ -	70.74 %
			1,171,715.36	
NET OPERATING INCOME	\$375,036.11	\$ -218,808.00	\$593,844.11	-171.40 %
NET INCOME	\$375,036.11	\$ -218,808.00	\$593,844.11	-171.40 %

March 2026 Reserve Contributions

- FERC Relicensing/Exemption Reserve
- FERC 10 Year Plan Reserve
- FERC 5 Year Plan Reserve
- Operating Reserve Fund



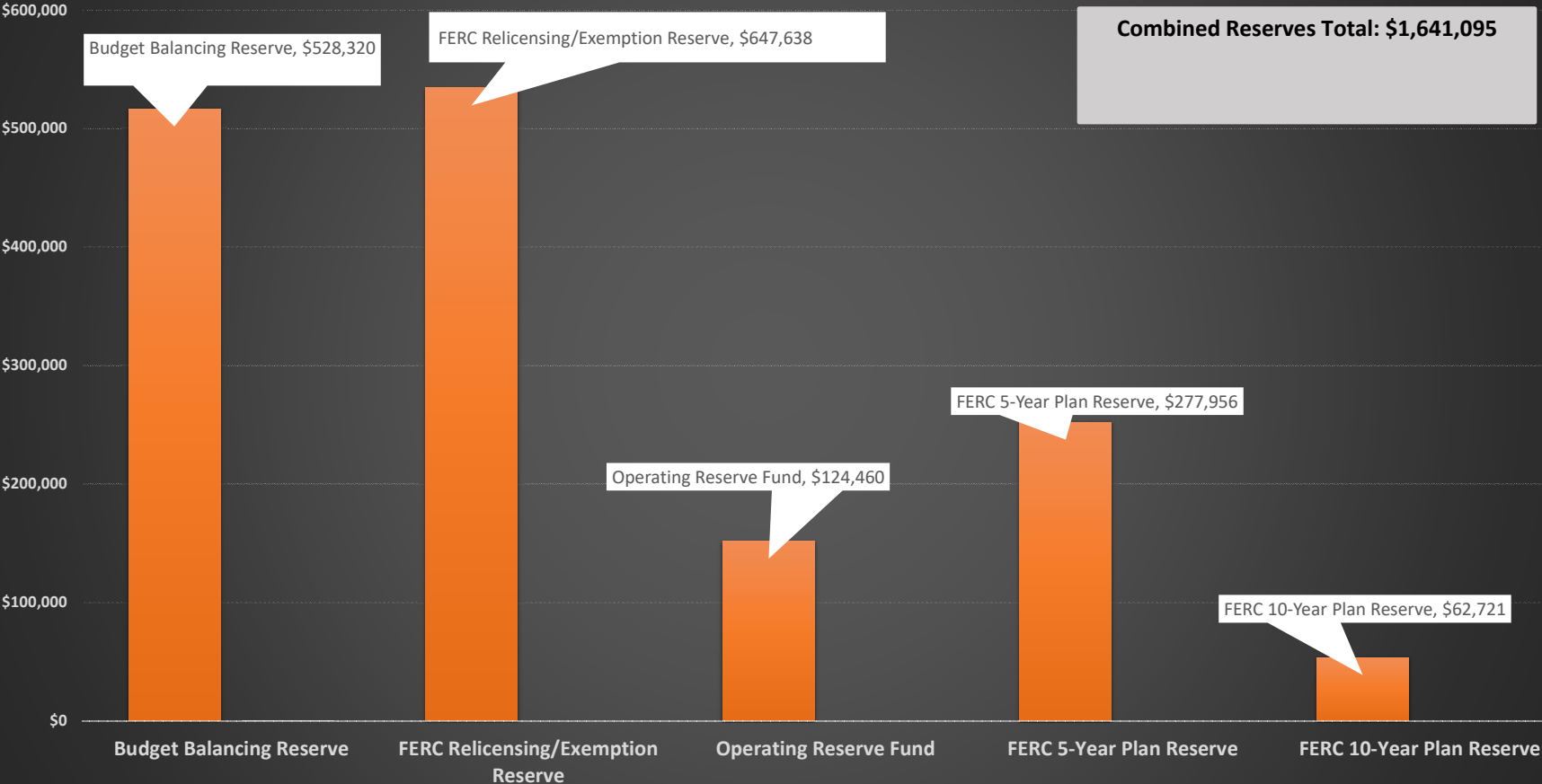
Expenses by Vendor Summary

Utica Water and Power Authority

March 1-31, 2026

	TOTAL
Umpqua	1,681.43
Adobe	166.84
Allegiant Air	425.00
Amazon Business	176.71
Angels Sewer & Drain	365.00
A T.E.E.M. Electrical Engineering Inc.	1,440.00
Best Western	817.49
Bolt Depot	824.19
Bryant L. Jolley	14,000.00
Calaveras Lumber Co, Inc. Calaveras	436.06
Power Agency	569.60
Cal.Net	221.71
Cal-Waste	234.88
Choice Builder	1,048.46
City of Angels	434.26
Columbia Communications, Inc.	77.14
Comcast Business	1,720.51
Costco	141.44
Crawford Bookkeeping	409.80
Department of Water Resources	70,244.00
Google LLC	235.20
Griffith, Masuda, & Hobbs	5,668.35
IETS	6,000.00
Kevin's Crane Company LLC	735.00
Livingston & Haven	773.51
Luz Ortigoza	150.00
Mary's Tacos	289.80
Mbi Setl Med	2,106.52
McMaster-Carr	38.19
Murphys Historic Hotel	126.80
Oakstone Services, LLC	4,335.37
Pacific Gas & Electric Co	2,125.54
PINE	620.77
Savemart	149.65
SDRMA	18,096.07
Sierra Hydrographics	2,075.00
Staples Credit Plan	83.06
Starlink	360.00
State Compensation Insurance Fund	2,296.50
Streamline	1,000.00
Toshiba Financial Services	201.02
Union Market	65.44
USPS	78.00
Verizon Wireless	1,172.21
Voyager Fleet Commander Online	1,483.82
TOTAL	\$145,700.34

Utica Reserves Totals: March 2026





Item 5C

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March

2026

Water Year 1 as of May 1, 2025

RAINFALL

		APH	MPH
Rainfall	March	0.15	0.20
WYTD rainfall	10/1/25- 9/30/26	28.85	27.90
	WYTD this time last year	24.55	27.35

GENERATION

ANGELS (sold to PG&E)		
FROGTN_1_UTICAA		
PG&E has concurred		466

MURPHYS (sold to PG&E)		
FROGTN_1_UTICAM		
PG&E has concurred		528

TOTAL GENERATION:		994 MWhr
--------------------------	--	-----------------

REVENUES

March 2026 projected scheduled generation:

	\$59,492	APH (.832) @ \$77.88 (1)
	\$101,933	MPH (1.866) @ \$73.50
	\$161,425	Projected Total

March 2026 actual received generation:

	\$36,333	APH (0.8) @ \$95.77
	\$38,788	MPH (1.4) @ \$73.50 (2)
	\$75,121	Actual Total

(1) Note that even though the APH ReMAT PPA is at \$89/MWh the TOD for APH varies during the year

(2) Represents MPH gross revenue. Penalties will be applied when generation does not meet CAISO's RAIM policy

Power Revenue:		\$75,121
RAAIM and Forcast Fee:		-\$2,130
Negative LMP Charge		\$0
Water Revenue:		\$0
Water and Power:		\$72,991

OUTAGES

P=planned

IF = internal forced outage

EF = external forced outage

Date	Time Off	Duration	Cause	Plant
3/1/2026	03:03	1 hour, 18 mins	EF - PG&E tripped line reclosure	APH
3/11/2026	11:09	Ongoing	IF - Turbine Sphere Valve	MPH
3/16/2026	08:44	1 day, 22 hours, 4 mins	P - Maintenance outage for Waste Gate 9	APH

WATER

Mar '26 - Att A 2,639 + 515 carryover from February '26	3,154	AF
S-66 + S-127	2,217	AF
LESS water sale to NCPA	0	AF
Carry over to April	937	



Item 5D

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UTICA WATER AND POWER AUTHORITY

Date: April 22, 2026
To: Erk Holt, GM
From: Frank Fields, O&M Superintendent
Re: Operations Report: Mar 2026 – April 2026

Hunter Dam

- PG&E undergrounding of distribution circuits in progress
 1. Stanislaus PH 1.5 PM#35493215, concerns were identified and corrected between UWPA staff and PG&E representatives
- Spill boards put back to 28 foot elevation on 4/7/2026
- Spill gate #2 failed to close fully and was serviced on 4/8/2026 and left in working order.

Murphys Powerhouse

- Powerhouse remains offline
- TSV Work is continuing at Mar-tech
- #6 Housing, needle, and deflector shaft removed by staff
- Burson Machine, Inc. refurbished some old needles to 60 degree, refurbished an old nozzle seat and pressed it into the spare housing. Machined a new Brass sleeve for #6 deflector shaft and installed it onto the shaft
- #6 refurbished needle, spare housing, and repaired deflector shaft reinstalled
- The rotten penstock drain pipe that runs through the tailrace was replaced.
- Protection relays tested, CT's & PTs tested, Generator winding Megged
- Cooling water Heat exchangers opened and cleaned out

Murphys Afterbay

- S78 replacement actuator nut arrived but still needs machining
- S78 bearing kit arrived damaged (getting replacement)

Angels Powerhouse

- Continuing to operate

Water Sales

- Water sale to NCPA due to MPH being offline. Value is below generation power budgeted predictions, but necessary to avoid issues with the restated agreement going into a new water year.

Operations

- UWPA continues to meet the minimum flows through Murphys Park in accordance with Table 13 flow release schedule for April which is 30 CFS. This flow requirement will be lower when new water year is identified.
- REMAT GEP 2 year minimum (Dec through Nov) is set at 23,400 MWH which is 13,000 x 180%. We produced 15,089 by end of 2025 which means the upcoming water year determination could create a penalty situation.
- New Relief operator Cody started training on April 6th
- Reducing the outage schedule for November 2026 from a month down to 7 days to perform basic maintenance.

Compliance related

- Brandi has done an excellent job facilitating the completion and submittal of the Dam Safety Surveillance reports to FERC.



Item 5E

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UTICA WATER AND POWER AUTHORITY

Date: April 28, 2026
To: Erik Holt, General Manager
From: Kyle Rasmussen, Conveyance Supervisor
Re: Conveyance Report

Conveyance work

- Routine canal patrols have shown no issues with the conveyance syst this month.
- Noxious weed study has been scheduled for May 13. The County Farm Advisor, Scott Oneto will be joining us for the 5-year site survey for noxious weeds. One of the conditions under the FERC license. We do annual assessments of known area of noxious weeds and then a 5-year enhanced report with a consultant.
- The conveyance crew has been helping out at the Murphys Powerhouse with the emergency repairs of the TSV and needles.
- Hunters Fuels Reduction Project signs were installed for Phase I and Phase II

Dam Safety Inspection

- Annual vegetation control was completed at Murphys Forebay dams, Murphys Afterbay dam and Ross dam. With the above normal temps from March the seasonal grass grew much earlier this year.

Hunters Fuels Reduction Project signs





Murphys Forebay South Dam

Murphys Afterbay Dam





Item 5F

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UTICA WATER AND POWER AUTHORITY

Date: April 28, 2026
To: Erik Holt, General Manager
From: Brandi Sparks, Administrative Compliance Specialist
Re: FERC Exemption Invoicing March 2026

Informational Item.

Staff reports the following regarding recent FERC Exemption invoices:

- **Stantec** – Two invoices were received in March for January 1, 2026 - March 6, 2026 billing.
 - Invoice 2541645, for Task order 10 – Project Management, Strategic Coordination, and Technical Studies totaling \$9,229.41.
 - Invoice 254164, for Task Orders 7 and 9 – Technical Studies, and Agency Consultation totaling \$27,735.93.
- **SWCA Environmental Consultants** – One invoice was received in March.
 - Invoice 240031, for Project Management, Cultural Resources Study, Tribal Engagement, and Cultural Resources Reporting totaling \$5,121.87.
- **Rock Creek** – No invoices have been received in February.

Staff will continue to monitor incoming invoices and provide updates as outstanding billings are received.

Attachments: Stantec Invoices: 2541645, and 254164. SWCA invoice 240031.

Erik Holt, General Manager
Utica Water and Power Authority
GM@uticawater.com

Dear Mr. Holt,

**Reference: Utica Water and Power Authority – Stantec Invoice and Progress Report
(Through March 6, 2025)**

This Progress Report provides a breakdown of the work activities performed by Stantec covering billing period January 1, 2026, through March 6, 2026 to support Utica Water and Power Authority (UWPA) on strategic and technical services for pursuit of the FERC Conduit Exemption at the Angels Hydroelectric Project and Utica Hydroelectric Project. This invoice for **\$36,965.34** represents the following tasks:

Task Order 10 - Project Management, Strategic Coordination, Technical Studies (\$9,229.41)

Activities performed related to this task order include overall strategic support to UWPA and execution authorized technical studies for the Angels Project and Murphys Project. The following presents the staff activities related to Task Order 10 during the subject billing period:

- Mike Manwaring (14.5 hours) – Strategic coordination across team; meetings on water quality report updates, and 401 Water Quality Certificate; updates to UWPA and monitoring FERC record.
- Dylan Doherty (6 hours) - Project management support and coordination for invoice development and submittals to UWPA.
- Robert Stoddard (16 hours), Lorraine Hubick (1 hour), Wayne Lifton (1.5 hours) – revisions to the Water Quality Report Addendum and updating the temperature logs for both Angels and Murphys projects.

Task Order 7/Task Order 9 - Technical Studies, Agency Consultation (\$27,735.93)

These charges represent Stantec's activities performed related to conducting authorized technical studies associated with Task Order 7 and Task Order 9, to support activities UWPA agreed do during the negotiations of the FERC Conduit Exemption Application process.

- Staff and activities for Task Order 7 (401 WQ Applications Support, \$1,543.28):
 - Nicole Darby (6 hours), Robert Stoddard (4 hours), Ken Hogan (0.5 hours) – advance draft 401 WQ Applications for technical review.
- Staff and activities for Task Order 9 (CEQA Compliance Support, \$26,192.65):
 - Nicole Darby (80.25 hours), Mary Frank (11.5 hours) – primary authors for preparation of the draft CEQA IS/MND document.
 - Ken Hogan (15 hours) – Provided technical and strategic reviews for draft CEQA IS/MND document, State Water Resource Control Board coordination.
 - Amy Lehman (16 hours) –technical editing/formatting of draft CEQA IS/MND document

Project Billing Summary

3/26/2026

224202690/185707085 Project January & February 2026 Billing Utica Water and Power Authority FERC Conduit Exemption Regulatory Support Services						
Top Task Description	Contract Value	Previously Invoiced	Current Invoice	Invoiced to Date	Contract Remaining	% of Contract Invoiced
Task Order 1 - PM, IDC Support, Site Visit	\$ 185,265.00	\$184,609.37	\$ -	\$ 184,609.37	\$ 655.63	99.65%
Task Order 2 - Strategic Support/CE Applications	\$ 156,278.00	\$156,123.01	\$ -	\$ 156,123.01	\$ 154.99	99.90%
Task Order 3 - Foothill Yellow Legged Frog	\$ 155,058.88	\$154,375.97	\$ -	\$ 154,375.97	\$ 682.91	99.56%
Task Order 4 - SHPO Consultation / TPP / APE Letter	\$ 29,989.00	\$ 29,985.77	\$ -	\$ 29,985.77	\$ 3.23	99.99%
Task Order 5: Water Quality Monitoring Program	\$ 121,781.20	\$139,897.52	\$ -	\$ 139,897.52	\$ (18,116.32)	114.88%
Task Order 6: Fish Study Plan	\$ 109,570.37	\$ 84,774.58	\$ -	\$ 84,774.58	\$ 24,795.79	77.37%
Task Order 7: 401 WQ Applications	\$ 14,543.93	\$ 12,887.60	\$ 1,543.28	\$ 14,430.88	\$ 113.05	99.22%
Task Order 8: Support for Regulatory Services	\$ 50,495.85	\$ 50,379.54	\$ -	\$ 50,379.54	\$ 116.31	99.77%
Task Order 9: CEQA Compliance Support	\$ 81,962.53	\$ 49,464.60	\$ 26,192.65	\$ 75,657.25	\$ 6,305.28	92.31%
Task Order 10: Support to UWPA	\$ 184,166.70	\$ 92,143.93	\$ 9,229.41	\$ 101,373.34	\$ 82,793.36	55.04%
Total for Project# 224202690/185707085	\$1,089,111.46	\$954,641.89	\$ 36,965.34	\$ 991,607.23	\$ 97,504.23	91.05%

Note: The following task orders are closed to future billings: TO-1 thru TO-6 and TO-8.

Should you have any questions on this invoice, please reach out to me.

Sincerely,

STANTEC CONSULTING SERVICES INC.



Michael Manwaring PG
 Western U.S. Regional Sector Lead (Energy)
 Phone: 425-750-7989
 michael.manwaring@stantec.com



INVOICE

Invoice Number 2541645
Invoice Date March 26, 2026
Customer Number 189496
Project Number 224202690

Bill To
Utica Water and Power Authority
Joel Metzger
1168 Booster Way
Angels Camp CA 95222
United States

EFT/ACH Remit To (Preferred)
Stantec Consulting Services Inc. (SCSI)
Bank of America
ABA No.: 111000012
Account No: 3752096026
Email Remittance: eft@stantec.com

Alternative Remit To
Stantec Consulting Services Inc. (SCSI)
13980 Collections Center Drive
Chicago IL 60693
United States
Federal Tax ID 11-2167170

Project Description: FERC Conduit Exemption Regulatory Support Services

Stantec Project Manager: Doherty, Dylan J
Authorization No: PSA
Authorization Amount: \$621,107.54
Authorization Previously Billed: \$536,165.38
Authorization Billed to Date: \$545,394.79
Current Invoice Due: \$9,229.41
Bill Through Date: March 6, 2026

Client Invoices: reception@uticawater.com
Client Contact Name: Joel Metzger (joelm@uticawater.com)

INVOICE

Invoice Number
Project Number

2541645
224202690

PSA

Top Task 1000 TO 10 - Sub. 12025

Professional Services

Billing Level

	Hours	Rate	Current Amount
Principle QA/QC/Strategic Advisor			
Manwaring, Michael D	14.50	296.00	4,292.00
	<u>14.50</u>		<u>4,292.00</u>
Professional Services Subtotal	<u>14.50</u>		<u>4,292.00</u>

Disbursements

	Date	Cost	%	Current Amount
Flat Rate Disbursement				
6.25% of 4,292.00				
	2026-03-06			268.25
Disbursements Subtotal				<u>268.25</u>

Top Task 1000 Total 4,560.25

Top Task 1010 TO 10 - Sub. 1b 2026

Professional Services

Billing Level

	Hours	Rate	Current Amount
Project/Contract Management			
Doherty, Dylan J	6.00	164.00	984.00
	<u>6.00</u>		<u>984.00</u>
Professional Services Subtotal	<u>6.00</u>		<u>984.00</u>

INVOICE

Invoice Number
Project Number

2541645
224202690

Disbursements

	Date	Cost	%	Current Amount
Flat Rate Disbursement				
6.25% of 984.00				
	2026-03-06			61.50
Disbursements Subtotal				61.50

Top Task 1010 Total	1,045.50
----------------------------	-----------------

Top Task 1030 TO 10 - Sub. 3

Professional Services

Billing Level

	Hours	Rate	Current Amount
Principle Scientist/FERC Specialist			
Lifton, Wayne	1.50	285.00	427.50
	1.50		427.50
Senior Specialist			
Stoddard, Robert Howard	16.00	178.00	2,848.00
	16.00		2,848.00
Technical Editor			
Hubick, Cindy Lorraine	1.00	135.00	135.00
	1.00		135.00
Professional Services Subtotal	18.50		3,410.50

Disbursements

	Date	Cost	%	Current Amount
Flat Rate Disbursement				
6.25% of 3,410.50				
	2026-03-06			213.16

INVOICE

Invoice Number

2541645

Project Number

224202690

Disbursements Subtotal

213.16

Top Task 1030 Total

3,623.66

Total Fees & Disbursements

\$9,229.41

INVOICE TOTAL (USD)

\$9,229.41

Invoice Number	2541641
Invoice Date	March 26, 2026
Customer Number	189496
Project Number	185707085

Bill To
Utica Water and Power Authority
Joel Metzger
1168 Booster Way
Angels Camp CA 95222
United States

EFT/ACH Remit To (Preferred)
Stantec Consulting Services Inc. (SCSI)
Bank of America
ABA No.: 111000012
Account No: 3752096026
Email Remittance: eft@stantec.com

Alternative Remit To
Stantec Consulting Services Inc. (SCSI)
13980 Collections Center Drive
Chicago IL 60693
United States
Federal Tax ID 11-2167170

Project Description: Utica and Angels CEQA - Admin Draft ISMNDS

Stantec Project Manager:	Bezy, Bernadette
Authorization Amount:	\$96,506.46
Authorization Previously Billed:	\$62,352.20
Authorization Billed to Date:	\$90,088.13
Current Invoice Due:	\$27,735.93
Bill Through Date:	March 6, 2026

Client Invoices: reception@uticawater.com
Client Contact Name: Joel Metzger (joelm@uticawater.com)

INVOICE

Invoice Number
Project Number

2541641
185707085

Disbursements

	Date	Cost	%	Current Amount
Flat Rate Disbursement 6.25% of 3,790.75				
	2026-03-06			236.92
				<u>236.92</u>

Top Task 2 Total **4,027.67**

Top Task 3 **Administrative Draft IS/MND**

Professional Services

Billing Level

	Hours	Rate	Current Amount
CEQA Manager			
Darby, Nicole S	80.25	204.00	16,371.00
	80.25		16,371.00
Senior Resource Specialist			
Hogan, Kenneth John (Ken)	0.25	257.00	64.25
	0.25		64.25
Technical Editor			
Frank, Mary	11.50	135.00	1,552.50
Lehman, Amy	16.00	135.00	2,160.00
	27.50		3,712.50
Professional Services Subtotal	108.00		20,147.75

Disbursements

	Date	Cost	%	Current Amount
Flat Rate Disbursement 6.25% of 20,147.75				

INVOICE

Invoice Number

2541641

Project Number

185707085

2026-03-06

1,259.24

Disbursements Subtotal

1,259.24

Top Task 3 Total

21,406.99

Top Task 4

Prepare 401 Water Quality Certifications

Professional Services

Billing Level

	Hours	Rate	Current Amount
CEQA Manager			
Darby, Nicole S	3.00	204.00	612.00
	<u>3.00</u>		<u>612.00</u>
Senior Resource Specialist			
Hogan, Kenneth John (Ken)	0.50	257.00	128.50
	<u>0.50</u>		<u>128.50</u>
Sr. Bio/WQ			
Stoddard, Robert Howard	4.00	178.00	712.00
	<u>4.00</u>		<u>712.00</u>
Professional Services Subtotal	<u>7.50</u>		<u>1,452.50</u>

Disbursements

	Date	Cost	%	Current Amount
Flat Rate Disbursement				
6.25% of 1,452.50				
	2026-03-06			90.78
Disbursements Subtotal				<u>90.78</u>

Top Task 4 Total

1,543.28

INVOICE

Invoice Number

2541641

Project Number

185707085

INVOICE TOTAL (USD)

\$27,735.93

Routing # 071922777 | Account # 7811583501 | Payable to: SWCA, Incorporated | Business Checking Account: First American Bank
 Notification: payment@swca.com | Physical Remittance: P.O. Box 7217, Carol Stream, IL 60197- 7217 | EIN: #86-0483317

Erik Holt
 Utica Water and Power Authority
 1168 Booster Way
 PO Box 358
 Angels Camp, California 95222

February 24, 2026
 Invoice No: 240031
 Project Manager: Indya Messier
 Project No: 00096602-000-SLC

Invoice Total	\$5,121.87
----------------------	-------------------

UWPA FERC Cultural Resources

Professional Services through February 7, 2026

Phase 01 Project Management

Task 01 Project Management and Conference Calls

Professional Services

	Hours	Rate	Amount	
Planning-Specialist XI Messier, Indya	8.75	190.44	1,666.35	
Cultural Resources-SME II Peabody, Joshua	1.50	222.64	333.96	
Totals	10.25		2,000.31	
Total Professional Services				2,000.31
		Total this Task		\$2,000.31
		Total this Phase		\$2,000.31

Phase 02 Cultural Resources Study

Task 03 Resource Evaluation, Finding of Effect and Treatment Plan

Professional Services

	Hours	Rate	Amount	
Planning-Specialist XI Messier, Indya	1.00	190.44	190.44	
Totals	1.00		190.44	
Total Professional Services				190.44
		Total this Task		\$190.44

Task 04 Tribal Engagement

Professional Services

	Hours	Rate	Amount	
Cultural Resources-Specialist VII Foster, Brandon	1.00	135.24	135.24	
Totals	1.00		135.24	
Total Professional Services				135.24
		Total this Task		\$135.24

Task 06 Cultural Resources Reporting

Professional Services

	Hours	Rate	Amount
Planning-Specialist XI			
Messier, Indya	14.00	190.44	2,666.16
Technical Writing/Editing-Specialist V			
Witzens, Kelcie	.25	113.16	28.29
Technical Writing/Editing-Specialist VII			
Linehan, Kerri	.75	135.24	101.43
Totals	15.00		2,795.88
Total Professional Services			2,795.88
		Total this Task	\$2,795.88
		Total this Phase	\$3,121.56

Contract Limits	Current	Prior	To-Date
Total Billings	5,121.87	128,778.81	133,900.68
Limit			189,863.00
Remaining			55,962.32

PLEASE PAY THIS AMOUNT =====> \$5,121.87

For any questions regarding this invoice please contact Saul Martinez
 Phone: 602.274.3831 Email: saul.martinez@swca.com

UWPA FERC Cultural Resources (Invoice)

Phase #	Task #	Name	Invoice through February 7, 2026	JTD Spent through February 7, 2026	Contract Amount	Budget Remaining	% Spent
1	1	Project Management and Project Controls	\$ 2,000.31	\$ 10,104.13	\$ 14,782.00	\$ 4,677.87	68%
2	1	Study Plan Development	\$ -	\$ 7,128.85	\$ 7,568.00	\$ 439.15	94%
	2	Fieldwork	\$ -	\$ 27,945.20	\$ 19,211.00	\$ (8,734.20)	145%
	3	Resource Evaluation, Finding of Effect	\$ 190.44	\$ 74,741.26	\$ 79,802.00	\$ 5,060.74	94%
	4	Tribal Engagement	\$ 135.24	\$ 2,788.98	\$ 3,500.00	\$ 711.02	80%
	5	Application Updates	\$ -	\$ 8,396.38	\$ 17,000.00	\$ 8,603.62	49%
	6	Cultural Resources Reporting	\$ 2,795.88	\$ 2,795.88	\$ 37,000.00	\$ 34,204.12	8%
	7	On-Call Support	\$ -	\$ -	\$ 11,000.00	\$ 11,000.00	0%
			\$ 5,121.87	\$ 133,900.68	\$ 189,863.00	\$ 55,962.32	71%



Item 5G

[Return to Agenda](#)



UWPA Quarterly Training Report - FY 2025-26 | Quarter 3

Distribution account	Transaction type	Num	Name	Line description	Amount
4800-01 Travel and Training	Expense		Jeremiah Hyde	Hands on Relay School	\$3,277.70
4800-01 Travel and Training	Expense		Brandi Sparks	HR Policy Changes	\$69.00
4800-01 Travel and Training	Expense		Stacie Walker	HR Policy Changes	\$69.00
				CA Task Force	\$35.00
4800-01 Travel and Training	Expense		Erik Holt	CA Task Force	\$35.00
					\$3,485.70



Item 5H

[Return to Agenda](#)

UTICA WATER AND POWER AUTHORITY

Date: April 28, 2026
To: Erik Holt, General Manager
From: Stacie Walker, Administrative Specialist
Re: Quarterly Grant Report FY 2025-26 Q3

Hunter Reservoir Fuel Reduction Grant:

- Award Amount: \$1,211,913
- 100% Grant Funded
- Funding Source: CAL FIRE
- Performance Period: 09/20/2022 – 05/21/2026
- Project Status: **Closeout in process**
- Project Description: This project will reduce hazardous fuels to 207 acres around Hunter Reservoir.
- Amount Spent to Date: \$1,199,853.25
- Quarterly Reports/Milestones:
 - January 2026: Notice of completion filed with the county.
 - February 2026: No lien filed against notice of completion. Begin compiling final expenses for invoicing.
 - March 2026: Final invoice approval and payment of \$237,506.58 from CALFIRE. All consultants and contractors are paid in full.
- Action Items:
 - Project Closeout

Automated Wastegates and Gaging Stations Grant (Lower Utica Canal Lining and Gaging Stations):

- Award Amount: \$83,030
- 50% Grant Funded
- Funding Source: United States Bureau of Reclamation (USBR): Water SMART Program
- Performance Period: 09/11/2023 – 12/31/2026
- Project Status: **Work Complete – Reporting in process**
- Project Description: Utica will install automated wastegates/cross gates and gaging stations at three locations to improve water use efficiency, flood control, emergency water storage, and avoid unnecessary spillage.
- Amount of Grant Spent to Date: \$83,030
- Amount of Utica Funds Spent to Date: \$108,808.55 (including payroll costs associated with gate installation and administering the grant)
- Quarterly Report/Milestones:
 - January 2026: Nothing to Report
 - February 2026: On February 12, the performance period was officially extended; once the funds were released, staff withdrew the remainder.
 - March 2026: Reporting and beginning the closeout process.

- Action Items:
 - Closeout grant reporting

Canal Lining Grant:

- Award Amount: \$77,640.00
- 50% Grant Funded
- Funding Source: United States Bureau of Reclamation (USBR): Water SMART Program
- Performance Period: 09/20/24 – 06/30/2027
- Project Status: **Phase One Complete – Phase Two ongoing**
- Project Description: Utica will line 1,200 linear feet of earthen canal with a reinforced shotcrete liner to increase system efficiency and decrease water loss due to leaks.
- Amount Spent to Date: \$74,976.35
- Amount Reimbursed by Grant: \$25,000
 - UWPA Funds Spent: \$49,976.35
- Quarterly Report/Milestones:
 - January 2026: Nothing to report
 - February 2026: Nothing to report
 - March 2026: Planning the next phases of the project for the November outage.
- Action Items:
 - Complete required reporting
 - Plan for Phases Two and Three, slated for November 2026 and March 2027.



Item 6A

[Return to Agenda](#)

UTICA WATER AND POWER AUTHORITY

Date: April 28, 2026
To: Erik Holt, General Manager
From: Stacie Walker, Administrative Specialist
Re: Public Hearing and reporting the status of Utica Water and Power Authority's job vacancies and recruitment and retention efforts.

RECOMMENDED ACTION:

Receive report and provide feedback to staff.

SUMMARY:

In accordance with AB 2561 (Gov. Code § 3502.3), effective January 1, 2025. This mandated that all public agencies hold at least one public hearing per fiscal year to present the status of job vacancies and recruitment and retention efforts. Additionally, this presentation must occur before the annual adoption of the budget.

The purpose of AB 2561 is to enhance transparency and accountability in public agency staffing, ensuring that workforce challenges are addressed openly.

FINANCIAL IMPACTS:

None expected

Attachments

- 1. PowerPoint Presentation*

AB 2561 Addressing Vacancies for Public Sector Employers



Legislative Intent of AB 2561

- Effective January 1, 2025, public employers are required to disclose the status of vacancies and recruitment/retention efforts
- AB 2561 is designed to foster transparency about the number and types of vacancies public agencies are experiencing, and how public agencies conduct recruitment, job vacancies, and staff efforts to address them.
- Long-term or position-specific vacancies in local government can be a problem in the public sector affecting occupation wage levels and educational requirements
- High vacancies impact public service delivery and other workers

Requirements of AB 2561

- Hold an annual public hearing prior to budget adoption
- Present status of vacancies
- Describe recruitment and retention efforts
- Identify obstacles in the hiring process
- Recognized employee organization may present at the hearing

Utica Water and Power Authority Calendar Year 2025: Staffing

- Total authorized full-time positions: 9
- Total authorized part-time positions: 1
- Number of vacancies: 0

- Temporary full-time employees are excluded. These temporary positions are filled to complete the annual maintenance outage, and employment is terminated when the maintenance is complete.

Utica Water and Power Authority: Recruitment

Utica allocates a small budget each fiscal year to support recruitment efforts as needed. When a vacancy arises, the position is promptly posted on the Authority's website and shared through its official social media accounts to maximize visibility.

Utica Water and Power Authority Calendar Year 2025: Recruitment

General Manager:

- Opening Date – July 28, 2025
- Candidates Interviewed – 5
- Conditional offers made – 1
- Position Filled – December 23, 2025

Utica Water and Power Authority: Challenges

- Rural location limits the size of the applicant pool, especially for specialized/technical positions.
- Compensation levels lower than regional standards
- Remote or hybrid work options are not available

Utica Water and Power Authority: Employee Retention

The Authority offers a variety of incentives and structured benefits designed to promote long-term employment and career development.

These include:

- A clearly defined salary schedule with step increases to support career growth within the organization
- Generous PTO policy to support work-life balance that increases with years of service
- CalPERS Retirement
- Health Benefits for full-time employees
- Longevity pay for continued service
- Additional pay for certain certifications

Public Comment

- The Chair will now invite members of the public to comment on this item.
 - Each speaker will have three minutes.
 - The speaker may state their name for the record, or choose to remain anonymous.

Close Public Hearing

- With no further comments from the public. The public hearing is now closed.

Board Discussion

- Questions
- Comments
- Direction for staff?





Item 7A

[Return to Agenda](#)

UTICA WATER AND POWER AUTHORITY

Date: April 28, 2026

To: Erik Holt, General Manager

From: Brandi Sparks, Administrative Compliance Specialist

Re: Acceptance of the Independent Auditor's Report and Financial Statements for the Fiscal Year Ending June 30, 2025

RECOMMENDED ACTION

Motion to accept and file the Utica Water and Power Authority Independent Auditor's Report and Financial Statements for the Fiscal Year ending June 30, 2025.

SUMMARY:

Staff is pleased to present the Independent Auditor's Report and Financial Statements for the Utica Water and Power Authority for the fiscal year ending June 30, 2025, as completed by Mr. Luis Perez of Bryant Jolley CPAS. The primary objective of this report is to furnish the Utica Board, staff, ratepayers, and other stakeholders with pertinent information regarding the Authority's operations and financial standing. Mr. Perez determined it is a clean audit.

Mr. Perez will be providing the Board with a comprehensive overview of the audit and will be available to address any questions or concerns the Board may have.

FINANCIAL CONSIDERATIONS:

The audit cost was \$14,000.

ATTACHMENTS:

1. *Independent Auditor's Report and Financial Statements for the fiscal year ending June 30, 2025*

UTICA WATER & POWER AUTHORITY

**INDEPENDENT AUDITOR'S REPORT
AND
FINANCIAL STATEMENTS**

JUNE 30, 2025

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INDEPENDENT AUDITOR'S REPORT

To the Board of Directors
Utica Water & Power Authority
Angels Camp, California

Opinion

We have audited the accompanying financial statements of the Utica Water & Power Authority (the Authority), as of and for the year ended June 30, 2025, and the related notes to the financial statements, which collectively comprise the Authority's basic financial statements as listed in the table of contents.

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of the Authority as of June 30, 2025, and the changes in financial position and its cash flows for the year then ended in accordance with accounting principles generally accepted in the United States of America.

Basis for Opinion

We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States. Our responsibilities under those standards are further described in the Auditor's Responsibilities for the Audit of the Financial Statements section of our report. We are required to be independent of the Authority and to meet our other ethical responsibilities, in accordance with the relevant ethical requirements relating to our audit. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinions.

Responsibilities of Management for the Financial Statements

Management is responsible for the preparation and fair presentation of the financial statements in accordance with accounting principles generally accepted in the United States of America, and for the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

In preparing the financial statements, management is required to evaluate whether there are conditions or events, considered in the aggregate, that raise substantial doubt about the Authority's ability to continue as a going concern for twelve months beyond the financial statement date, including any currently known information that may raise substantial doubt shortly thereafter.

Auditor's Responsibilities for the Audit of the Financial Statements

Our objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditor's report that includes our opinion. Reasonable assurance is a high level of assurance but is not absolute assurance and therefore is not a guarantee that an audit conducted in accordance with generally accepted auditing standards will always detect a material misstatement when it exists. The risk of not detecting a material misstatement resulting from

fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of internal control. Misstatements are considered material if there is a substantial likelihood that, individually or in the aggregate, they would influence the judgment made by a reasonable user based on the financial statement.

In performing an audit in accordance with generally accepted auditing standards, we:

- Exercise professional judgment and maintain professional skepticism throughout the audit.
- Identify and assess the risks of material misstatement of the financial statements, whether due to fraud or error, and design and perform audit procedures responsive to those risks. Such procedures include examining, on a test basis, evidence regarding the amounts and disclosures in the financial statements.
- Obtain an understanding of internal control relevant to the audit in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Authority's internal control. Accordingly, no such opinion is expressed.
- Evaluate the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluate the overall presentation of the financial statements.
- Conclude whether, in our judgment, there are conditions or events, considered in the aggregate, that raise substantial doubt about the Authority's ability to continue as a going concern for a reasonable period of time.

We are required to communicate with those charged with governance regarding, among other matters, the planned scope and timing of the audit, significant audit findings, and certain internal control-related matters that we identified during the audit.

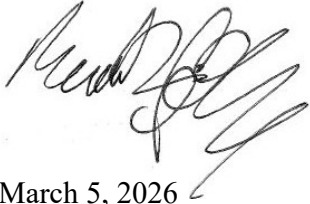
Required Supplementary Information

Management has omitted the management's discussion and analysis that accounting principles generally accepted in the United States of America require to be presented to supplement the basic financial statements. Such missing information, although not a part of the basic financial statements, is required by the Governmental Accounting Standards Board who considers it to be an essential part of financial reporting for placing the basic financial statements in an appropriate operational, economic, or historical context. Our opinion on the basic financial statements is not affected by this missing information.

Accounting principles generally accepted in the United States of America require that the Schedule of the Authority's Proportionate Share of Net Pension Liability, and Schedule of Contributions on pages 20 – 21 be presented to supplement the basic financial statements. Such information, although not a part of the basic financial statements, is required by the Governmental Accounting Standards Board, who considers it to be an essential part of financial reporting for placing the basic financial statements in an appropriate operational, economic, or historical context. We have applied certain limited procedures to the required supplementary information in accordance with auditing standards generally accepted in the United States of America, which consisted of inquiries of management about the methods of preparing the information and comparing the information for consistency with management's responses to our inquiries, the basic financial statements, and other knowledge we obtained during our audit of the basic financial statements. We do not express an opinion or provide any assurance on the information because the limited procedures do not provide us with sufficient evidence to express an opinion or provide any assurance

Other Reporting Required by *Government Auditing Standards*

In accordance with *Government Auditing Standards*, we have also issued our report dated March 5, 2026 on our consideration of the Authority’s internal control over financial reporting and on our tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements and other matters. The purpose of that report is to describe the scope of our testing of internal control over financial reporting and compliance and the results of that testing, and not to provide an opinion on the internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the Authority’s internal control over financial reporting and compliance.

A handwritten signature in black ink, appearing to be "Neddy" followed by a stylized flourish.

March 5, 2026

UTICA WATER & POWER AUTHORITY

STATEMENT OF NET POSITION JUNE 30, 2025

ASSETS

Current assets

Cash and investments	\$ 2,295,064
Accounts receivable	427,117
Prepaid expenses	70,434
Total current assets	<u>2,792,615</u>

Non-current and restricted assets

Other Assets	
Licenses - net of amortization	87,547
Deposits	80,000
Capital Assets	
Property, plant, and equipment - net of accumulated depreciation	<u>1,444,456</u>
Total non-current assets	<u>1,612,003</u>

Total assets 4,404,618

DEFERRED OUTFLOWS OF RESOURCES 217,351

LIABILITIES

Current liabilities

Accounts payable and accrued expenses	<u>283,514</u>
Total current liabilities	<u>283,514</u>

Non-current liabilities

Compensated absences	118,016
Net pension liability	<u>147,653</u>
Total non-current liabilities	<u>265,669</u>

Total liabilities 549,183

DEFERRED INFLOWS OF RESOURCES 3,021

NET POSITION

Net investment in capital assets	1,296,803
Unrestricted	<u>2,772,962</u>
Total net position	<u>\$ 4,069,765</u>

UTICA WATER & POWER AUTHORITY

STATEMENT OF REVENUES, EXPENSES AND CHANGES IN NET POSITION YEAR ENDED JUNE 30, 2025

Operating Revenue

Power revenue	\$ 1,617,513
Water revenue	1,019,113
Grant Income	<u>463,729</u>
Total operating revenue	<u>3,100,355</u>

Operating Expense

Wages, benefits, and burden	1,238,434
Repairs and maintenance	442,883
Professional services	849,310
Fees	89,114
Materials, supplies and services	20,219
Outside services	409,179
Insurance	102,310
Communications	31,730
Utilities	31,377
Memberships	17,271
Travel and training	19,639
Other	25,795
Depreciation	<u>219,256</u>
Total operating expense	<u>3,496,517</u>

Operating income/(loss) (396,162)

Non-operating Revenue/(Expense)

Rents and leases	71,452
Interest income	89,725
Other income	22,920
Amortization	<u>(9,831)</u>
Total non-operating revenue/(expense)	<u>174,266</u>

Change in Net Position (221,896)

Net Position

Beginning of year	4,296,834
Prior period adjustment	<u>(5,173)</u>
Beginning of the year - restated	<u>4,291,661</u>
End of year	<u>\$ 4,069,765</u>

UTICA WATER & POWER AUTHORITY

STATEMENT OF CASH FLOWS YEAR ENDED JUNE 30, 2025

Operating Activities

Receipts from customers and users	\$ 3,057,010
Payments to suppliers for goods and services	(2,126,827)
Payments to employees for services	(1,310,789)
Net cash provided by (used in) operating activities	<u>(380,606)</u>

Non-capital Financing Activities

Receipts from facilities charges	71,452
Other income	22,920
Net cash provided by non-capital financing activities	<u>94,372</u>

Capital and Related Financing Activities

Purchase of property, plant and equipment	<u>(143,568)</u>
Net cash provided by (used in) capital and related financing activities	<u>(143,568)</u>

Investing Activities

Interest received	<u>89,725</u>
-------------------	---------------

Net Increase in Cash and Investments (340,077)

Cash and Investments

Beginning of year	<u>2,635,141</u>
End of year	<u><u>\$ 2,295,064</u></u>

Cash Flows from Operating Activities

Operating income (loss)	\$ (396,162)
Adjustments to reconcile operating income (loss) to net cash provided (used) by operating activities:	
Depreciation	219,256
(Increase) Decrease in accounts receivable	3,281
(Increase) Decrease in prepaid expenses	(3,094)
(Increase) Decrease in deferred outflows of resources	(82,385)
Increase (Decrease) in accounts payable and accrued expenses	(84,906)
Increase (Decrease) in compensated absences	8,006
Increase (Decrease) in unearned revenue	(46,626)
Increase (Decrease) in net pension liability	172
Increase (Decrease) in deferred inflows of resources	<u>1,852</u>

Net Cash Provided by Operating Activities \$ (380,606)

UTICA WATER & POWER AUTHORITY

NOTES TO FINANCIAL STATEMENTS YEAR ENDED JUNE 30, 2025

Note 1 – Summary of Significant Accounting Policies

The financial statements of the Utica Water & Power Authority (Authority) have been prepared in conformity with accounting principles generally accepted in the United States of America as applied to enterprise funds of governmental units. The Governmental Accounting Standards Board (GASB) is the accepted standard-setting body for establishing governmental accounting and financial reporting principles. The significant accounting principles and policies utilized by the Authority are described below:

Reporting Entity

The Authority was established in December 1995 and is a joint power authority made up of two local agencies in Calaveras County, the City of Angels and the Union Public Utility District. It includes two FERC Projects, each comprised of a hydro-electric power plant and water delivery systems, including canals, flumes, penstocks and reservoirs. The Authority was formed “to help assure that there will be adequate water available from the Projects for the protection of all beneficial public uses in Calaveras County. The projects are funded primarily by the sale of power produced by the Utica and Angels Hydro-Electric plants.

Basis of Accounting

The Authority accounts for its operations in enterprise funds using the economic resources measurement focus and the accrual basis of accounting. A fund is an accounting entity with a self-balancing set of accounts established to record the financial position and results of operations of a specific government activity. The activities of enterprise funds closely resemble those of ongoing businesses in which the purpose is to conserve and add to basic resources while meeting operating expenses from current revenues. Revenues are recorded when earned and expenses are recorded when a liability is incurred, regardless of the timing of related cash flows.

An enterprise fund distinguishes operating revenues and expenses from non-operating items. Operating revenues and expenses generally result from providing services and producing and delivering goods in connection with the Authority’s principal ongoing operations. The principal operating revenues of the Authority are from the sale of electricity and RECs. Operating expenses for the Authority include the cost of hydroelectric plant operation, water conveyance system operation, power and water sales and services, administrative expenses, and depreciation on capital assets. All revenues and expenses not meeting this definition are reported as non-operating revenues and expenses.

Financial Statement Amounts

Cash and Investments – Cash and investments represent the Authority's cash bank accounts including, but not limited to, certificates of deposit, money market funds and cash management pools for reporting purposes in the Statement of Cash Flows. Additionally, investments with maturities of three months or less when purchased are included as cash equivalents in the Statement of Cash Flows.

Investments of the pool include only those investments authorized by the California Government Code such as, United States Treasury securities, agencies guaranteed by the United States Government, registered state warrants, and other investments. Investments primarily consist of deposits in the State of California Local Agency Investment Fund. Investments are stated at fair value.

UTICA WATER & POWER AUTHORITY

NOTES TO FINANCIAL STATEMENTS YEAR ENDED JUNE 30, 2025

Note 1 – Summary of Significant Accounting Policies (Continued)

Accounts Receivable – Billings for power and REC sales are sent monthly and are reflected on the accrual basis of accounting. Billings for water sales are sent bi-annually to irrigation customers and only as-needed for other water sales. Water billings are also reflected on the accrual basis of accounting.

Capital Assets – Capital assets are defined by the government as assets with an initial individual cost of more than \$1,000 and an estimated useful life in excess of one year. Such assets are recorded at historical cost or estimated historical cost if purchased or constructed. Donated capital assets are recorded at estimated fair market value at the date of donation.

The costs of normal maintenance and repairs that do not add to the value of the asset or materially extend assets lives are not capitalized. Betterments and major improvements which significantly increase values, change capacities or extend useful lives are capitalized. Upon sale or retirement of fixed assets, the cost and related accumulated depreciation are removed from the respective accounts and any resulting gain or loss is included in the results of operations.

Major outlays for capital assets and improvements are capitalized as projects are constructed. Interest incurred during the construction phase of capital assets of business-type activities are included as part of the capitalized value of the assets constructed.

Capital assets in service are depreciated using the straight-line method over the following estimated useful lives:

	<u>Years</u>
Facilities	30
Equipment	5 - 10

Compensated Absences – All earned vacation hours, holiday, and compensating time is payable upon termination or retirement and are accrued as compensated absences. Compensated absences liability is calculated in accordance with GASB Statement No. 101.

The Authority allows employees to accumulate unused paid time off to a maximum of 520 hours. Upon termination, accumulated paid time off that was not taken will be paid to the employee.

Vested or accumulated paid time off leave that is expected to be paid with expendable available financial resources is recorded as an expense and liability as the benefits accrue. Increase or decrease, as applicable, in compensated absences balance liability from the prior year is presented as a net amount.

Long-Term Obligations – Long-term debt and other long-term obligations are reported as liabilities in the Proprietary Fund Statement of Net Position. Debt principal payments are reported as decreases in the balance of the liability on the Statement of Net Position.

UTICA WATER & POWER AUTHORITY

NOTES TO FINANCIAL STATEMENTS YEAR ENDED JUNE 30, 2025

Note 1 – Summary of Significant Accounting Policies (Continued)

Pension Plan – All full-time Authority employees are members of the State of California Public Employees' Retirement System. The Authority's policy is to fund all pension costs accrued; such costs to be funded are determined annually as of July 1 by the System's actuary. For purposes of measuring the net pension liability and deferred outflows/inflows of resources related to pensions, and pension expense, information about the fiduciary net position of the Authority's California Public Employee Retirement System (CalPERS) plan and additions to/deduction from the Plan's fiduciary net position have been determined on the same basis as they are reported by CalPERS. For this purpose, benefit payments (including refunds of employee contributions) are recognized when due and payable in accordance with the benefit terms. Investments are reported at fair value.

Net Position – The fund financial statements utilize a net position presentation. Net position is categorized as net investment in capital assets, restricted and unrestricted.

- Net Investment in Capital Assets – This category groups all capital assets, including infrastructure, into one component of net position. Accumulated depreciation and the outstanding balances of debt that are attributable to the acquisition, construction or improvement of these assets reduce the balance in this category.
- Restricted Net Position – This category presents external restrictions on net position imposed by creditors, grantors, contributors, laws or regulations of other governments and restrictions imposed by law through constitutional provisions or enabling legislation.
- Unrestricted Net Position – This category represents net position of the Authority not restricted for any project or other purpose.

Revenues and Expenses – The Authority distinguishes operating revenues and expenses from nonoperating items. Operating revenues and expenses generally result from providing services and producing and delivering goods in connection with the Authority's principal ongoing operations. The principal operating revenues of the Authority are charges to customers for sales of electricity, RECs, and water. Operating expenses for enterprise funds include the cost of sales and services, administrative expenses, and depreciation on capital assets. All revenues and expenses not meeting this definition are reported as nonoperating revenues and expenses.

Use of Estimates – The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenues and expenditures/expenses during the reporting period. Actual results could differ from those estimates.

UTICA WATER & POWER AUTHORITY

NOTES TO FINANCIAL STATEMENTS YEAR ENDED JUNE 30, 2025

Note 2 – Cash and Investments

Cash and investments as of June 30, 2025, are classified in the accompanying financial statements as follows:

Statement of Net Position:

Cash and Investments	\$ 2,295,064
Total Cash and Investments	<u>\$ 2,295,064</u>

Cash and investments as of June 30, 2025 consist of the following:

Cash on hand	\$ 27
Deposits with financial institutions	2,279,994
Local Agency Investment Fund	<u>15,043</u>
Total Cash and Investments	<u>\$ 2,295,064</u>

Fair Value Measurements

The framework for measuring fair value provides a fair value hierarchy that categorizes the inputs to valuation techniques used to measure fair value into three levels. The fair value hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets or liabilities (Level 1) and lowest priority to unobservable inputs (Level 3). The three levels of the fair value hierarchy are described as follows:

- Level 1: Inputs to the valuation methodology are quoted prices (unadjusted) for identical assets or liabilities in active markets that a government can access at the measurement date.
- Level 2: Inputs to valuation methodology include inputs – other than quoted prices included within Level 1 – that are observable for an asset or liability, either directly or indirectly.
- Level 3: Inputs to the valuation methodology are unobservable and significant to the fair value measurement.

The asset's or liability's fair value measurement level within a fair value hierarchy is based on the lowest level of any input that is significant to the fair value measurement. Valuation techniques used need to maximize the use of observable inputs and minimize the use of unobservable inputs.

UTICA WATER & POWER AUTHORITY

NOTES TO FINANCIAL STATEMENTS YEAR ENDED JUNE 30, 2025

Note 2 – Cash and Investments (continued)

Following is a description of the valuation methodologies used for assets measured at fair value.

LAIF is valued based on the Authority's pro-rata share of the fair value provided by LAIF for the entire LAIF portfolio (Level 2 input).

Investments Authorized by the Authority's Investment Policy

The Authority's investment policy only authorizes investment in the local government investment pool administered by the State of California (LAIF). The Authority's investment policy does not contain any specific provisions intended to limit the Authority's exposure to interest rate risk, credit risk, and concentration of credit risk.

Investments Authorized by Debt Agreements

Investments of debt proceeds held by bond trustee are governed by provisions of the debt agreements, rather than the general provisions of the California Government Code or the Authority's investment policy. The table below identifies the investment types that are authorized for investments held by the bond trustee. The table also identifies certain provisions of these debt agreements that address interest rate risk, credit risk, and concentration of credit risk.

<u>Authorized Investment Type</u>	<u>Maximum Maturity</u>
U.S. Treasury Obligations	None
U.S. Agency Securities	None
Banker's Acceptances	180 days
Commercial Paper	270 days
Money Market Mutual Funds	N/A
Investment Contracts	30 years

Disclosures Relating to Interest Rate Risk

Interest rate risk is the risk that changes in market interest rates will adversely affect the fair value of an investment. Generally, the longer the maturity of an investment, the greater the sensitivity of its fair value to changes in market interest rates. As of June 30, 2025, the Authority had the following investments.

<u>Investment Type</u>		<u>Maturity Date</u>
Local Agency Investment Fund (LAIF)	\$ 15,043	N/A
Total	<u>\$ 15,043</u>	

UTICA WATER & POWER AUTHORITY

NOTES TO FINANCIAL STATEMENTS YEAR ENDED JUNE 30, 2025

Note 2 – Cash and Investments (Continued)

Disclosures Relating to Credit Risk

Generally, credit risk is the risk that an issuer of an investment will not fulfill its obligation to the holder of the investment. This is measured by the assignment of a rating by a nationally recognized statistical rating organization. LAIF does not have a rating provided by a nationally recognized statistical rating organization.

Concentration of Credit Risk

The investment policy of the Authority contains no limitations on the amount that can be invested in any one issuer beyond that stipulated by the California Government Code. There are no investments in any one issuer that represent 5% or more of total Authority investments.

Custodial Credit Risk

Custodial credit risk for *deposits* is the risk that, in the event of the failure of a depository financial institution, a government will not be able to recover its deposits or will not be able to recover collateral securities that are in the possession of an outside party. The California Government Code and the Authority's investment policy do not contain legal or policy requirements that would limit the exposure to custodial credit risk for deposits, other than the following provision for deposits: The California Government Code requires that a financial institution secure deposits made by state or local governmental units by pledging securities in an undivided collateral pool held by a depository regulated under state law (unless so waived by the governmental unit). The market value of the pledged securities in the collateral pool must equal at least 110% of the total amount deposited by the public agencies. California law also allows financial institutions to secure Authority deposits by pledging first trust deed mortgage notes having a value of 150% of the secured public deposits.

The custodial risk for investments is the risk that, in the event of the failure of the counterparty to a transaction, a government will not be able to recover the value of its investment or collateral securities that are in the possession of another party. The California Government Code and the Authority's investment policy do not contain legal or policy requirements that would limit the exposure to custodial credit risk for investments. With respect to investments, custodial credit risk generally applies only to direct investments in marketable securities. Custodial credit risk does not apply to a local government's indirect investment in securities through the use of mutual funds or government investment pools (such as LAIF).

Investment in State Investment Pool – The Authority is a voluntary participant in the Local Agency Investment Fund (LAIF) that is regulated by the California Government Code under the oversight of the Treasurer of the State of California. The fair value of the Authority's investment in this pool is reported in the accompanying financial statements at amounts based upon the Authority's pro-rata share of the fair value provided by LAIF for the entire LAIF portfolio (in relation to the amortized cost of that portfolio). The balance available for withdrawal is based on the accounting records maintained by LAIF, which are recorded on an amortized cost basis.

UTICA WATER & POWER AUTHORITY

NOTES TO FINANCIAL STATEMENTS YEAR ENDED JUNE 30, 2025

Note 3 – Property, Plant and Equipment

The detail of property, plant and equipment at June 30, 2025, is as follows:

	(Re-stated)			
	Balance			Balance
	July 1, 2024	Additions	Retirements	June 30, 2025
Capital assets, not being depreciated				
Construction in progress	\$ 113,953	\$ 99,804	\$ -	\$ 213,757
Total capital assets, not being depreciated	<u>113,953</u>	<u>99,804</u>	<u>-</u>	<u>213,757</u>
Capital assets, being depreciated				
Utility system - infrastructure	4,402,886	32,921	-	4,435,807
Equipment and vehicles	915,787	10,843	-	926,630
Total capital assets, being depreciated	<u>5,318,673</u>	<u>43,764</u>	<u>-</u>	<u>5,362,437</u>
Less accumulated depreciation for				
Utility system - infrastructure	(3,365,256)	(87,439)	-	(3,452,695)
Equipment and vehicles	(547,226)	(131,817)	-	(679,043)
Total accumulated depreciation	<u>(3,912,482)</u>	<u>(219,256)</u>	<u>-</u>	<u>(4,131,738)</u>
Total capital assets being depreciated, net	<u>1,406,191</u>	<u>(175,492)</u>	<u>-</u>	<u>1,230,699</u>
Total capital assets, net	<u>\$ 1,520,144</u>	<u>\$ (75,688)</u>	<u>\$ -</u>	<u>\$ 1,444,456</u>

Note 4 – Non-current Liabilities

The following is a summary of the changes in noncurrent liabilities reported in Statement of Net Position for the year ended June 30, 2025:

	Balance			
	July 1, 2024	Additions	Retirements	Balance
	July 1, 2024	Additions	Retirements	June 30, 2025
Compensated absences	\$ 110,010	\$ 8,006	\$ -	\$ 118,016
Net pension liability	<u>147,481</u>	<u>172</u>	<u>-</u>	<u>147,653</u>
	<u>\$ 257,491</u>	<u>\$ 8,178</u>	<u>\$ -</u>	<u>\$ 265,669</u>

Note 5 – Deferred Compensation Plan

The Authority has established a CalPERS 457 deferred compensation plan eligible to all employees. All contributions made under the Plan are fully vested and nonforfeitable.

Note 6 – Deferred Outflows and Deferred Inflows of Resources

In addition to assets, the statement of net position will sometimes report a separate section for deferred outflows of resources. This separate financial element, deferred outflows of resources, represents a consumption of net position or fund balance that applies to future period(s) and thus, will not be recognized as an outflow of resources (expense/expenditure) until then. The Authority reports \$217,351 in deferred outflows related to net pension liability. See Note 7 for more details.

UTICA WATER & POWER AUTHORITY

NOTES TO FINANCIAL STATEMENTS YEAR ENDED JUNE 30, 2025

Note 6 – Deferred Outflows and Deferred Inflows of Resources (continued)

In addition to liabilities, the statement of financial position will sometimes report a separate section for deferred inflows of resources. This separate financial statement element, deferred inflows of resources, represent an acquisition of net position or fund balance that applies to a future period(s) and so will not be recognized as an inflow of resources (revenue) until that time. The Authority reports \$3,021 in deferred inflows related to net pension liability. See Note 7 for more details.

Note 7 – Defined Benefit Pension Plan

Plan Description

The Authority’s defined benefit pension plan, the California Public Employees’ Retirement System (CalPERS), provides retirement and disability benefits, annual cost of living adjustments, and death benefits to plan members and beneficiaries. CalPERS is part of the Public Agency portion of the CalPERS, an agent multiple-employer plan administered by CalPERS, which acts as a common investment and administrative agent for participating public employers within the State of California. A menu of benefit provisions as well as other requirements is established by State statutes within the Public Employees Retirement Law. The Authority selects optional benefit provisions from the benefit menu by contract with CalPERS and adopts those benefits through local ordinance. CalPERS issues a separate comprehensive financial report. Copies of the CalPERS’ annual financial report may be obtained from the CalPERS Executive Office – 400 P Street – Sacramento, CA 95814.

Funding Policy

Active plan members in the Authority’s defined pension plan are required to contribute 7.75% of their annual salary. The Authority is required to contribute the actuarially determined remaining amounts necessary to fund the benefits for its members. The actuarial methods and assumptions used are those adopted by the CalPERS Board of Administration. The required employer contribution rate for fiscal year 2025 was 7.87% for Miscellaneous PEPRA. The contribution requirements of the plan members are established by State statute and the employer contribution rate is established and may be amended by CalPERS.

The Plans’ provisions and benefits in effect at June 30, 2025, are summarized as follows:

	Miscellaneous PEPRA
Hire Date	On or after January 1, 2013
Benefit Formula	2.0% at 62; maximum 2% COLA
Benefit Vesting Schedule	5 years service
Benefit Payments	monthly for life
Retirement Age	62
Monthly Benefits, as a % of Eligible Compensation	2.00%
Required Employee Contribution Rates	7.75%
Required Employer Contribution Rates	7.87%

UTICA WATER & POWER AUTHORITY

NOTES TO FINANCIAL STATEMENTS YEAR ENDED JUNE 30, 2025

Note 7 – Defined Benefit Pension Plan (continued)

Contributions – Section 20814(c) of the California Public Employees’ Retirement Law requires that the employer contribution rates for all public employers be determined on an annual basis by the actuary and shall be effective on July 1 following the notice of a change in the rate. Funding contributions for both Plans are determined annually on an actuarial basis as of June 30 by CalPERS. The actuarially determined rate is the estimated amount necessary to finance the costs of benefits earned by employees during the year, with an additional amount to finance any unfunded accrued liability. The Authority is required to contribute the difference between the actuarially determined rate and the contribution rate of employees.

The Authority’s contributions to the plan recognized as part of the pension expense for the year ended June 30, 2025 were \$56,959.

As of June 30, 2025, the Authority reported net pension liability for its proportionate share of the net pension liability of each Plan as follows:

	<u>Proportionate share of the net pension liability</u>
Miscellaneous	\$ 147,653

Pension Liabilities, Pension Expenses, and Deferred Outflows/Inflows of Resources Related to Pensions

The Authority’s net pension liability is measured as the proportionate share of the net pension liability. The net pension liability of each Plan is measured as of June 30, 2024, and the total pension liability for each Plan used to calculate the net pension liability was determined by an actuarial valuation as of June 30, 2023 rolled forward to June 30, 2024 using standard update procedures. The Authority’s proportion of the net pension liability was based on a projection of the Authority’s long-term share of contributions to the pension plans relative to the projected contributions of all participating employers, actuarially determined. The Authority’s proportionate share of the net pension liability for the Plan as of June 30, 2025 was as follows:

	<u>Miscellaneous</u>
Proportion - June 30, 2025	0.00305%
Proportion - June 30, 2024	0.00260%
Change - Increase/(Decrease)	<u>0.00045%</u>

UTICA WATER & POWER AUTHORITY

NOTES TO FINANCIAL STATEMENTS YEAR ENDED JUNE 30, 2025

Note 7 – Defined Benefit Pension Plan (continued)

For the year ended June 30, 2025, the Authority recognized pension expense of \$147,653. At June 30, 2025, the Authority reported deferred outflows of resources and deferred inflows of resources related to pension from the following sources:

	<u>Deferred Outflows of Resources</u>	<u>Deferred Inflows of Resources</u>
Pension contributions subsequent to measurement date	\$ 82,517	\$ -
Change in employer's proportion	33,232	(2,523)
Changes of assumptions	3,795	-
Differences between employer's contributions and proportionate share of contributions	76,541	-
Difference between expected and actual experience	12,766	(498)
Differences between projected and actual investment earnings	<u>8,500</u>	<u>-</u>
Total	<u>\$ 217,351</u>	<u>\$ (3,021)</u>

\$82,517 reported as deferred outflows of resources related to contributions subsequent to the measurement date will be recognized as a reduction of the net pension liability in the year ended June 30, 2026. Other amounts reported as deferred outflows of resources and deferred inflows of resources related to pensions will be recognized as pension expense as follows:

Year Ended <u>June 30</u>	
2026	\$ 80,299
2027	45,145
2028	9,281
2029	(2,912)

UTICA WATER & POWER AUTHORITY

NOTES TO FINANCIAL STATEMENTS YEAR ENDED JUNE 30, 2025

Note 7 – Defined Benefit Pension Plan (continued)

Actuarial Methods and Assumptions – The collective total pension liability for the June 30, 2024 measurement period was determined by an actuarial valuation as of June 30, 2023, with update procedures used to roll forward the total pension liability to June 30, 2024. The collective total pension liability was based on the following assumptions:

Valuation Date	June 30, 2023
Measurement Date	June 30, 2024
Actuarial Cost Method	Entry-Age Normal Cost Method in accordance with the requirements of GASB Statement No. 68
Actuarial Assumptions:	
Discount Rate	6.90%
Inflation	2.30%
Payroll Growth	2.30%
Salary Increases	Varies by Entry Age and Service
Mortality Rate Table ¹	Derived using CalPERS' Membership Date for all Funds
Post Retirement Benefit Increase	Contract COLA up to 2.30% until Purchasing Power Protection Allowance Floor on Purchasing Power applies

¹ The mortality table was developed based on CalPERS-specific data. The rates incorporate Generational Mortality to capture ongoing mortality improvement using 80% of Scale MP 2023 published by the Society of Actuaries. For more details, please refer to the 2024 experience study report that can be found on the CalPERS website.

Discount rate – The discount rate used to measure the total pension liability for PERF C was 6.90%. The projection of cash flows used to determine the discount rate assumed that contributions from plan members will be made at the current member contribution rates and that contributions from employers will be made at statutorily required rates, actuarially determined. Based on those assumptions, the Plan's fiduciary net position was projected to be available to make all projected future benefit payments of current plan members. Therefore, the long-term expected rate of return on plan investments was applied to all periods of projected benefit payments to determine the total pension liability.

UTICA WATER & POWER AUTHORITY

NOTES TO FINANCIAL STATEMENTS YEAR ENDED JUNE 30, 2025

Note 7 – Defined Benefit Pension Plan (continued)

The long-term expected rate of return on pension plan investments was determined using a building-block method in which best-estimate ranges of expected future real rates of return (expected rate of returns, net of pension plan investment expense and inflation) are developed for each major asset class.

In determining the long-term expected rate of return, CalPERS took into account both short-term and long-term market return expectations as well as the expected pension fund cash flows. Using historical returns of all the funds' asset classes, expected compound (geometric) returns were calculated over the short-term (first 10 years) and the long-term (11+ years) using a building-block approach. Using the expected nominal returns for both short-term and long-term, the present value of benefits was calculated for each fund. The expected rate of return was set by calculating the rounded single equivalent expected return that arrived at the same present value of benefits for cash flows as the one calculated using both short-term and long-term returns. The expected rate of return was then set equivalent to the single equivalent rate calculated above and adjusted to account for assumed administrative expenses.

The long-term expected real rates of return by asset class can be found in CalPERS' Comprehensive Annual Financial Report for the fiscal year ended June 30, 2025.

The table below reflects long-term expected real rates of return by asset class. The rate of return was calculated using the capital market assumptions applied to determine the discount rate and asset allocation. The geometric rates of return are net of administrative expenses.

Asset Class	Assumed Asset Allocation	Real Return Years 1-10 ^{1,2}
Global equity - cap-weighted	30%	4.45%
Global equity non-cap-weighted	12%	3.84%
Private Equity	13%	7.28%
Treasury	5%	0.27%
Mortgage-backed Securities	5%	0.50%
Investment Grade Corporates	10%	1.56%
High Yield	5%	2.27%
Emerging Market Debt	5%	2.48%
Private Debt	5%	3.57%
Real assets	15%	3.21%
Leverage	-5%	-0.59%
Total	100%	

¹ An expected inflation of 2.30% used for this period.

² Figures are based on the 2023-24 Asset Liability Management study.

UTICA WATER & POWER AUTHORITY

NOTES TO FINANCIAL STATEMENTS YEAR ENDED JUNE 30, 2025

Note 7 – Defined Benefit Pension Plan (continued)

Sensitivity of the Proportionate Share of the Net Pension Liability to Changes in the Discount Rate

The following presents the Authority’s proportionate share of the net pension liability for each Plan, calculating using the discount rate of each Plan, as well as what the Authority’s proportionate share of the net pension liability would be if it were calculated using a discount rate that is 1-percentage point lower (5.90%) or 1-percentage point higher (7.90%) than the current rate:

	1% Decrease (5.90%)	Current Discount Rate (6.90%)	1% Increase (7.90%)
Net Pension Liability	\$ 204,187	\$ 147,653	\$ 101,117

Pension Plan Fiduciary Net Position – Detailed information about each pension plan’s fiduciary net position is available in the separately issued CalPERS financial reports.

Payable to the Pension Plan – At June 30, 2025, the Authority has no outstanding amount of contributions to the pension plan required for the year ended June 30, 2025.

Note 8 – Risk Management

The Authority is a member of the ACWA/Joint Powers Insurance Authority (JPIA). JPIA covers general liability claims. Commercial insurance is purchased for excess liability, property and employee dishonesty coverage. The Authority has no deductible for the general liability coverage. Workers’ compensation is provided by State Fund.

Claims and judgements, including a provision for claims incurred but not reported, are recorded when a loss is deemed probable of assertion and the amount of the loss is reasonably determinable. As discussed above, the Authority has coverage for such claims.

Note 9 – Prior period adjustment

During the current year, the District determined certain transactions were not properly recorded in the prior year, unearned grant revenue was understated by \$46,626, construction in progress was understated by \$113,953 and accounts receivable were overstated by \$72,500, to correct these errors beginning net position was decreased by \$5,173.

Note 10 – Subsequent Events

The Authority evaluated subsequent events for recognition and disclosure through March 5, 2026, the date which these financial statements were available to be issued. Management concluded that no material subsequent events have occurred since June 30, 2025, that required recognition or disclosure in such financial statements.

REQUIRED SUPPLEMENTARY INFORMATION

UTICA WATER & POWER AUTHORITY

REQUIRED SUPPLEMENTARY INFORMATION

SCHEDULE OF THE AUTHORITY'S PROPORTIONATE SHARE OF NET PENSION LIABILITY

LAST 10 YEARS*

AS OF JUNE 30, 2025

	Proportion of the net pension liability	Proportionate share of the net pension liability	Covered - employee payroll	Proportionate share of the net pension liability as percentage of covered-employee payroll	Plan's fiduciary net position	Plan's fiduciary net position as a percentage of the Total Pension Liability
2023	0.00272%	\$ 127,138	\$ 661,452	19.22%	\$ 25,156	16.52%
2024	0.00260%	\$ 147,481	\$ 661,380	19.93%	\$ 136,235	48.03%
2025	0.00305%	\$ 147,653	\$ 740,055	19.95%	\$ 271,302	64.76%

*Fiscal year 2022-23 was the first year of participation therefore only three years are shown.

UTICA WATER & POWER AUTHORITY

REQUIRED SUPPLEMENTARY INFORMATION SCHEDULE OF CONTRIBUTIONS

LAST 10 YEARS*

AS OF JUNE 30, 2025

	Contractually required contribution (actuarially determined)	Contributions in relation to the actuarially determined contributions	Contributions deficiency (excess)	Covered-employee payroll	Contributions as a percentage of covered-employee payroll
2023	\$ 67,255	\$ (67,255)	\$ -	\$ 661,380	10.17%
2024	\$ 73,411	\$ (73,411)	\$ -	\$ 740,055	7.31%
2025	\$ 82,517	\$ (82,517)	\$ -	\$ 792,096	10.42%

*Fiscal year 2022-23 was the first year of participation therefore only three years are shown.

**INDEPENDENT AUDITOR'S REPORT ON INTERNAL CONTROL
OVER FINANCIAL REPORTING AND ON COMPLIANCE AND OTHER
MATTERS BASED ON AN AUDIT OF FINANCIAL STATEMENTS
PERFORMED IN ACCORDANCE WITH *GOVERNMENT AUDITING STANDARDS***

To the Board of Directors
Utica Water & Power Authority

We have audited, in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards* issued by the Comptroller General of the United States, the financial statements of the Utica Water & Power Authority (the Authority), which comprise the statement of net position as of June 30, 2025, and the related statement of revenues, expenses and changes in net position, and cash flows for the year then ended, and the related notes to the financial statements, which collectively comprise the Authority's basic financial statements, and have issued our report thereon dated March 5, 2026.

Report on Internal Control Over Financial Reporting

In planning and performing our audit of the financial statements, we considered the Authority's internal control over financial reporting (internal control) as a basis for designing audit procedures that are appropriate in the circumstances for the purpose of expressing our opinions on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of Authority's internal control. Accordingly, we do not express an opinion on the effectiveness of Authority's internal control.

A deficiency in internal control exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, misstatements on a timely basis. *A material weakness* is a deficiency, or a combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected on a timely basis. *A significant deficiency* is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

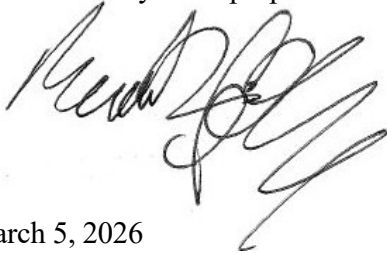
Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or, significant deficiencies. Given these limitations, during our audit we did not identify any deficiencies in internal control that we consider to be material weaknesses. However, material weaknesses may exist that were not identified.

Report on Compliance and Other Matters

As part of obtaining reasonable assurance about whether Authority's financial statements are free from material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the financial statements. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

Purpose of this Report

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the entity’s internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the entity’s internal control and compliance. Accordingly, this communication is not suitable for any other purpose.

A handwritten signature in black ink, appearing to be 'Kevin J. [unclear]', written in a cursive style.

March 5, 2026



Item 7B

[Return to Agenda](#)

UTICA WATER AND POWER AUTHORITY

Date: April 28, 2026
To: Erik Holt, General Manager
From: Stacie Walker, Administrative Specialist
Re: Discussion/Action Regarding the Annual Addendum to Water Sales contract rates for the 2025-2026 Irrigation Season

Recommended Action:

Motion to approve the 2026-2027 Irrigation Season Addendums to Water Sales Contract

Summary:

On Wednesday, March 18, 2026, the Utica Ad Hoc Resources Committee, comprised of Director Alvin Broglio and Director Eric Bottomley, met to discuss the annual review of Utica Water and Power Authority's (Utica) water contractor addendum.

Mr. Holt presented an overview of Utica's irrigation water contractor history and fee structure. It was emphasized that the water supply needs of the Joint Powers Authority (JPA) members are the highest priority for Utica.

Committee members discussed the annual operations/maintenance, administrative, and meter replacement fees, and the per acre-foot (AF) cost of water for water contractors above and below the Murphys Powerhouse.

Committee Recommendations:

After discussion and comments, the Committee recommends maintaining current irrigation pricing for this year. Since current rates have offset the loss of generation revenue, holding rates steady supports continued contractor use and aligns with the Authority's goal of maximizing system utilization.

Fiscal Impact:

In FY 2025-2026, the irrigation contracts brought \$46,659 of revenue. Similar revenue is projected for FY 2026-2027.

Attachments:

1. *Draft Addendum to Irrigation Water Sales Contract – Above Murphys Powerhouse*
2. *Draft Addendum to Irrigation Water Sales Contract – Below Murphys Powerhouse*

ADDENDUM TO IRRIGATION SALES CONTRACT – Below MPH

This Addendum to Irrigation Sales Contract is an addendum to the Annual Curtailable Contract for Irrigation Water Sales (“Agreement”) between the Utica Water and Power Authority (“UWPA”) and Contractor Name (“Water Contractor”), hereafter referred to as the “Parties”.

1. **Term.** The Parties desire to extend the Agreement term for one year commencing on May 1, 2026, and terminating on April 30, 2027 (“2026 - 2027 Water Year”), and the Parties agree that the Water Contractor's total water use during this term shall not exceed 5 acre-feet (the “Allocation”).
2. **Fees and Charges.** The UWPA Board of Directors approved the following fees and charges for the term of this Addendum at its April 28, 2026, regular board meeting as follows:
 - 2.1 Water Use Fee for Irrigation Season (May 1 to October 31):
 - Below Murphys Powerhouse: \$29.62 per acre-foot
 - 2.2 Water Use Fee for Irrigation Off-Season (November 1 to April 30):
 - Below Murphys Powerhouse: \$38.51 per acre-foot
 - 2.3 Administrative Fee:
 - \$374 annually per connection
 - 2.4 Meter Replacement and Repair Fee
 - \$100 annually per connection
 - 2.5 Operation and Maintenance Fee:
 - \$216 annually per connection
 - 2.6 Meter Tampering Fee:
 - a. First Offense - cost of repair/staff time and a \$100 tampering fee
 - b. Second Offense - cost of repair/staff time and a \$250 tampering fee
 - c. Third Offense - cost of repair/staff time and a \$500 tampering fee
 - d. Additional Offense(s) - discontinue water service to Contractor.
 - 2.7 Exceeding Water Allocation:

- The Water Use Fee for the Irrigation Season identified under Section 2.1 shall be doubled for all quantities of water use during the term of this Addendum greater than the Water Contractor's Allocation.
- UWPA will make reasonable effort to provide written notice to Water Contractor that Water Contractor is approaching the Allocation.
- The Water Use Fee for Irrigation Off-Season identified under Section 2.2 shall be doubled for all quantities of water use during the term of this Addendum greater than the Water Contractor's Allocation each year.
- If quantities of water used during the term of this Addendum are greater than 120% of the Allocation, Water Contractor will be subject to the usage restrictions specified in Section 5 of this Addendum including the cessation of water service.

2.8 Late Payment Fee

- a. In the event the Water Contractor fails to make payment within 30 days of an invoice due date, a late fee of 5% of the total invoice amount will be applied each month, on the first day of the month, until the past-due balance is paid in full. Water Contractors may request a payment plan in writing to UWPA's Administration Department.
- b. If the payment plan is approved by UWPA's Administration Department in writing, and payments are not made pursuant to the terms of the payment plan, a late fee of 5% will be applied to the remaining delinquent balance each month, on the first day of the month, until Water Contractor's past-due balance is paid in full.

3. Minimum Usage and Fees. The minimum fee for on-season water is:

- Below Murphys Powerhouse: \$148.01 (\$29.62 per acre-foot)

4. Off-Season Usage. Due to UWPA's off-season operational constraints, Water Contractor must request off-season usage in writing with at least two (2) business days' advanced notice. UWPA must then provide written approval before any off-season water is diverted and used by Water Contractor. Water Contractor must not turn on UWPA's meter – only UWPA staff are authorized to turn on the meter and make meter adjustments. The off-season rate will be increased by 100 percent (doubled) if the Water Contractor diverts water during the off-season without UWPA's written authorization as a penalty for Water Contractor's noncompliance. UWPA reserves the right to deny requests for off-season usage at its sole and complete discretion. Unless written approval for off-season use obtained, UWPA staff will turn off the meter from November 1 through April 30 and no water may be taken. Off-season usage will be billed in 1 acre-foot increments and fees will be determined on May 1. UWPA will send invoices within 14 business days of fees being determined.

5. **Usage Restrictions.** UWPA's monitoring and overhead costs increase as a Water Contractor reaches or exceeds the Allocation. This Addendum provides for doubling the applicable fee for any water usage above the Allocation and capping the maximum usage at 120% of the Allocation. If Water Contractor exceeds the Allocation by more than 20%, UWPA will turn off and stop water service.
6. **Transferability.** This Addendum does not run with the property or properties served, and can only be transferred to a new property owner by the authority of the UWPA Board of Directors in their sole and absolute discretion.
7. **Tampering with Meters and UWPA's Facilities.** Water Contractor (and its agents and employees) shall not service, tamper or interfere with UWPA's water meter or facilities, without limitation. In the event the meter is damaged due to Water Contractor's activities, the Water Contractor will be solely responsible for the cost of repairs and/or meter replacement and be subject to the Meter Tampering Fee outlined in Section 2.6 of this Addendum. Water Contractor agrees to pay all costs in the event a meter needs, in UWPA's sole discretion, to be replaced. Refusal to pay for replacement or repair of a meter within 30 days of invoice will result in discontinuation of water service to Water Contractor.
8. **Backflow Requirement.** If Water Contractor's property is within the service area of a public utility (such as Union Public Utility District and the City of Angels) and has a potable water connection from that utility on the same parcel where UWPA's agricultural service is provided, Water Contractor must follow the public utility's rules and regulations regarding cross-connection contamination prevention. Water Contractor agrees to install a backflow preventer on the treated water service connection from the utility if necessary to comply with such utility's rules and regulations. It is Water Contractor's responsibility to contact their public utility to ensure these rules and regulations are followed and provide UWPA with written documentation of adherence.
9. **Water Use.** Water provided by UWPA to Water Contractor is for irrigation purposes only. Water Contractor shall ensure that water provided by UWPA is not used for potable use.
10. **Reservation of Right to Curtail Water Deliveries.** The UWPA Board of Directors through its General Manager reserves the right at any time to curtail, reduce or cease water deliveries to Water Contractors as a result of system maintenance, repair, or failure; by order of a higher authority for water conservation or other purposes; for non-payment by a Water Contractor; for meter tampering; in the event a Water Contractor exceeds its allocation by one hundred and twenty percent (120%) or for such other reason(s), as solely determined by the General Manager or Board of Directors, that may impact the overall reliability of UWPA's water supply including to other contractors

on the system and/or the ability of the UWPA to physically or financially operate the water supply system¹¹. Water Contractor expressly warrants and represents that its decision to request water from UWPA and entering into this Addendum is voluntary and of the Water Contractor's own volition and as such, no charge or fee for the cost of water hereunder is being imposed upon Water Contractor under any legal authority on the part of UWPA [for purposes of California Constitution Articles XIII C and XIII D (Proposition 218)].

General Manager Date

Water Contractor Date

Water Contractor Date

***Initial billing for contractors with allocations exceeding 20 AF will consist of half of last year's "on-season" AF usage.**

ADDENDUM TO IRRIGATION SALES CONTRACT – Above MPH

This Addendum to Irrigation Sales Contract is an addendum to the Annual Curtailable Contract for Irrigation Water Sales (“Agreement”) between the Utica Water and Power Authority (“UWPA”) and Contractor Name (“Water Contractor”), hereafter referred to as the “Parties”.

1. **Term.** The Parties desire to extend the Agreement term for one year commencing on May 1, 2026, and terminating on April 30, 2027 (“2026 - 2027 Water Year”), and the Parties agree that the Water Contractor's total water use during this term shall not exceed 5 acre-feet (the “Allocation”).
2. **Fees and Charges.** The UWPA Board of Directors approved the following fees and charges for the term of this Addendum at its April 28, 2026, regular board meeting as follows:
 - 2.1 Water Use Fee for Irrigation Season (May 1 to October 31):
 - Above Murphys Powerhouse: \$71.24 per acre-foot
 - 2.2 Water Use Fee for Irrigation Off-Season (November 1 to April 30):
 - Above Murphys Powerhouse: \$92.61 per acre-foot
 - 2.3 Administrative Fee:
 - \$374 annually per connection
 - 2.4 Meter Replacement and Repair Fee
 - \$100 annually per connection
 - 2.5 Operation and Maintenance Fee:
 - \$216 annually per connection
 - 2.6 Meter Tampering Fee:
 - a. First Offense - cost of repair/staff time and a \$100 tampering fee
 - b. Second Offense - cost of repair/staff time and a \$250 tampering fee
 - c. Third Offense - cost of repair/staff time and a \$500 tampering fee
 - d. Additional Offense(s) - discontinue water service to Contractor.
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- If quantities of water used during the term of this Addendum are greater than 120% of the Allocation, Water Contractor will be subject to the usage restrictions specified in Section 5 of this Addendum including the cessation of water service.

2.8 Late Payment Fee

- a. In the event the Water Contractor fails to make payment within 30 days of an invoice due date, a late fee of 5% of the total invoice amount will be applied each month, on the first day of the month, until the past-due balance is paid in full. Water Contractors may request a payment plan in writing to UWPA's Administration Department.
- b. If the payment plan is approved by UWPA's Administration Department in writing, and payments are not made pursuant to the terms of the payment plan, a late fee of 5% will be applied to the remaining delinquent balance each month, on the first day of the month, until Water Contractor's past-due balance is paid in full.

3. Minimum Usage and Fees. The minimum fee for on-season water is:

- Above Murphys Powerhouse: \$356.20 (\$71.24 per acre-foot)

4. Off-Season Usage. Due to UWPA's off-season operational constraints, Water Contractor must request off-season usage in writing with at least two (2) business days' advanced notice. UWPA must then provide written approval before any off-season water is diverted and used by Water Contractor. Water Contractor must not turn on UWPA's meter – only UWPA staff are authorized to turn on the meter and make meter adjustments. The off-season rate will be increased by 100 percent (doubled) if the Water Contractor diverts water during the off-season without UWPA's written authorization as a penalty for Water Contractor's noncompliance. UWPA reserves the right to deny requests for off-season usage at its sole and complete discretion. Unless written approval for off-season use obtained, UWPA staff will turn off the meter from November 1 through April 30 and no water may be taken. Off-season usage will be billed in 1 acre-foot increments and fees will be determined on May 1. UWPA will send invoices within 14 business days of fees being determined.

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6. **Transferability.** This Addendum does not run with the property or properties served, and can only be transferred to a new property owner by the authority of the UWPA Board of Directors in their sole and absolute discretion.
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10. **Reservation of Right to Curtail Water Deliveries.** The UWPA Board of Directors through its General Manager reserves the right at any time to curtail, reduce or cease water deliveries to Water Contractors as a result of system maintenance, repair, or failure; by order of a higher authority for water conservation or other purposes; for non-payment by a Water Contractor; for meter tampering; in the event a Water Contractor exceeds its allocation by one hundred and twenty percent (120%) or for such other reason(s), as solely determined by the General Manager or Board of Directors, that may impact the overall reliability of UWPA's water supply including to other contractors

on the system and/or the ability of the UWPA to physically or financially operate the water supply system¹¹. Water Contractor expressly warrants and represents that its decision to request water from UWPA and entering into this Addendum is voluntary and of the Water Contractor's own volition and as such, no charge or fee for the cost of water hereunder is being imposed upon Water Contractor under any legal authority on the part of UWPA [for purposes of California Constitution Articles XIII C and XIII D (Proposition 218)].

General Manager Date

Water Contractor Date

Water Contractor Date

***Initial billing for contractors with allocations exceeding 20 AF will consist of half of last year's "on-season" AF usage.**



Item 7C

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UTICA WATER AND POWER AUTHORITY

Date: April 28, 2026
To: Board of Directors
From: Erik Holt, General Manager
Re: Ad Hoc Capital Improvement Plan (CIP) Committee – Update

RECOMMENDED ACTION:

Receive report and provide direction as desired.

BACKGROUND/UPDATE:

The Ad Hoc CIP Committee met on March 18 and April 13, 2026, to develop the Capital Improvement Plan and evaluate funding priorities. While the overall plan remains in development, the Committee identified and agreed upon priority funding items for the upcoming fiscal year.

Key Points

Recommendations from CIP Ad Hoc/Staff

- Murphys Powerhouse Relays - \$250,000
- Hunters Dam Concrete Comprehensive Study - \$50,000
- MPH Rewind (GE Vernova) Scoping Assessment - \$50,000
- Angels Penstock Project Scoping/Cost Study - \$75,000
- Darby Knob Tunnel Feasibility Study - \$25,000

FINANCIAL IMPACTS:

Preliminary discussions indicate potential costs in the range of \$450,000; however, these figures remain conceptual and will be refined before being brought to the Board for action.

ATTACHMENTS:

None



Item 7D

[Return to Agenda](#)

UTICA WATER AND POWER AUTHORITY

Date: April 28, 2026
To: Board of Directors
From: Erik Holt, General Manager
Re: Budget Committee – Update

RECOMMENDED ACTION:

Receive report and provide direction as desired.

BACKGROUND/UPDATE:

The Budget Committee met on April 13, 2026, to review key assumptions and components of the Fiscal Year 2026–27 budget.

Key Points

- CPI-U currently at 3.3%
- Recommended COLA set at 3%
- No proposed increase to Hunter Rental property rates
- Continued refinement of budget assumptions underway

FINANCIAL IMPACTS:

The proposed 3% COLA is estimated to increase personnel costs by approximately \$24,300 for FY 2026-27, including associated benefits. This increase has been incorporated into the draft Fiscal Year 2026–27 budget.

Maintaining current rental rates for the Hunter property will result in no additional revenue increase from that asset.

ATTACHMENTS:

1. *Bureau of Labor Statistics - Consumer Price Index Report – page 1 – March 2026*



**Transmission of material in this release is embargoed until
 8:30 a.m. (ET) Friday, April 10, 2026**

USDL-26-0599

Technical information: (202) 691-7000 • cpi_info@bls.gov • www.bls.gov/cpi
 Media contact: (202) 691-5902 • PressOffice@bls.gov

CONSUMER PRICE INDEX – MARCH 2026

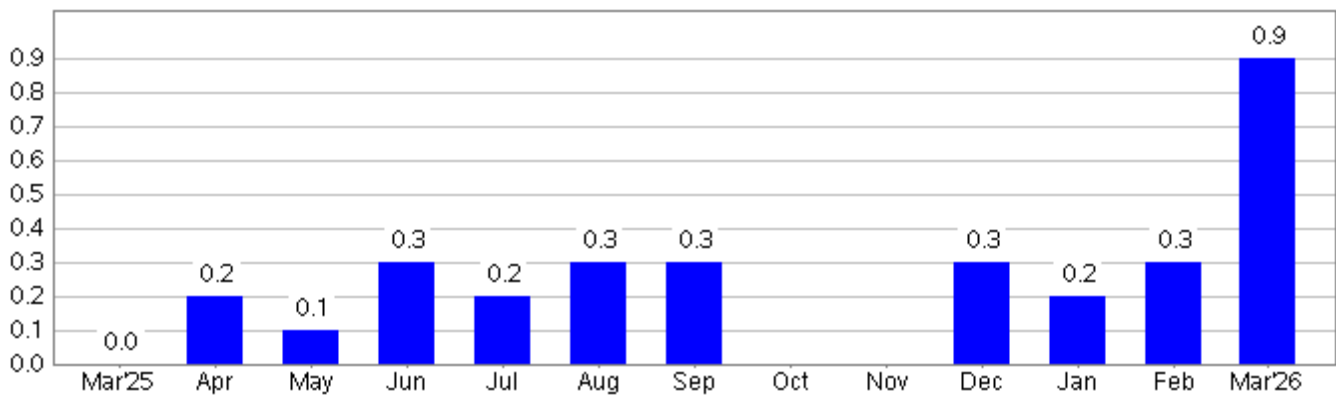
The Consumer Price Index for All Urban Consumers (CPI-U) increased 0.9 percent on a seasonally adjusted basis in March, after rising 0.3 percent in February, the U.S. Bureau of Labor Statistics reported today. Over the last 12 months, the all items index increased 3.3 percent before seasonal adjustment.

The index for energy rose 10.9 percent in March, led by a 21.2-percent increase in the index for gasoline which accounted for nearly three quarters of the monthly all items increase. The shelter index also increased in March, rising 0.3 percent. The index for food was unchanged over the month as the index for food away from home rose 0.2 percent, while the index for food at home fell 0.2 percent.

The index for all items less food and energy rose 0.2 percent in March. Indexes that increased over the month include airline fares, apparel, household furnishings and operations, education, and new vehicles. Conversely, the indexes for medical care, personal care, and used cars and trucks were among the major indexes that decreased in March.

The all items index rose 3.3 percent for the 12 months ending March, after rising 2.4 percent for the 12 months ending February. The all items less food and energy index rose 2.6 percent over the year, following a 2.5-percent increase over the 12 months ending February. The energy index increased 12.5 percent for the 12 months ending March. The food index increased 2.7 percent over the last year.

Chart 1. One-month percent change in CPI for All Urban Consumers (CPI-U), seasonally adjusted, Mar. 2025 - Mar. 2026
 Percent change



NOTE: The Oct and Nov 2025 data values are not available due to the 2025 lapse in appropriations.



Item 7E

[Return to Agenda](#)

UTICA WATER AND POWER AUTHORITY

Date: April 28, 2026
To: Board of Directors
From: Erik Holt, General Manager
Re: Strategic Plan – Review and Direction

RECOMMENDED ACTION:

Review the Strategic Plan, provide feedback, and offer direction to staff.

BACKGROUND/UPDATE:

The Strategic Plan is intended to establish a clear framework for long-term decision-making, including operational priorities, capital planning alignment, and organizational goals.

The current draft is being presented for Board review and discussion to ensure alignment with Board expectations and to refine key objectives before finalization.

Key Points

- Draft Strategic Plan developed
- Focus on planning and alignment with CIP and budget
- Board feedback will guide the final revisions

FINANCIAL IMPACTS:

There are no direct financial impacts associated with this item at this time. Future implementation of Strategic Plan priorities may influence future budget development and capital planning.

ATTACHMENTS:

1. *Utica Water and Power Authority Draft Strategic Plan 2026-2030*

UTICA

WATER AND POWER AUTHORITY



STRATEGIC PLAN

2026-2030

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*We are **committed to preserving local control of our water resources** through sound fiscal and operational management, **rigorous dam safety practices**, and **regulatory compliance**, ensuring a **safe and reliable water supply for municipal and agricultural stakeholders now and for future generations.***

Executive Summary

Utica Water and Power Authority (UWPA) is a Joint Powers Authority with a long and significant history of providing water conveyance and hydroelectric services to the communities of Calaveras County. UWPA's infrastructure—much of which dates back more than a century—remains critical to municipal water supply, agricultural use, public safety, and regional energy generation. This Strategic Plan establishes a clear, disciplined framework to guide UWPA's decisions over the 2026–2030 planning horizon.

The Strategic Plan is designed to address current conditions and emerging challenges, including aging infrastructure, wildfire and emergency supply risks, financial constraints, and a pivotal regulatory decision point associated with Federal Energy Regulatory Commission (FERC) oversight. Rather than treating uncertainty as a barrier to planning, the plan explicitly incorporates regulatory uncertainty through a two-scenario framework, ensuring UWPA remains compliant, operational, and financially responsible under either outcome.

The plan is anchored by seven strategic goals that define UWPA's priorities over the next five years. These goals focus on water conveyance reliability and resilience; hydroelectric asset readiness and revenue protection; compliance and risk reduction; infrastructure stewardship and modernization; financial sustainability and reserve stability; grant readiness and external funding; and organizational effectiveness and safety culture. Together, these goals establish a balanced approach that protects core services while advancing long-term system reliability and affordability.

A central feature of the Strategic Plan is the Regulatory Fork framework, which identifies two compliant regulatory paths: achievement of a FERC exemption by 2028 or continuation of full relicensing through 2033. The plan defines clear decision triggers, planning assumptions, and Board actions associated with each path, allowing UWPA to adapt capital planning, staffing, and financial strategies as regulatory outcomes become clearer.

Financial strategy is closely integrated with strategic priorities through a disciplined Capital Improvement Plan (CIP), defined reserve targets, and a selective grant strategy. The plan distinguishes between operating and maintenance baselines, capital outlay, and capital projects, and incorporates regulatory-path budget adders to transparently reflect differing cost horizons. This approach supports informed Board decision-making while maintaining affordability and fiscal resilience.

Implementation of the Strategic Plan occurs through annual budgets, the CIP, and rolling work plans. Clear accountability is established for each strategic goal, and progress is tracked using a focused Performance Measures Dashboard that emphasizes reliability, risk reduction, financial stability, grant performance, and regulatory compliance.

This Strategic Plan is intended to be a living governance document. It does not prescribe specific projects or funding levels but instead provides a policy framework that guides annual decisions and adapts to changing conditions. Through annual review and Board reaffirmation, the plan ensures UWPA remains aligned with its mission to preserve local control, operate safely and compliantly, and provide reliable water and power services for generations to come.

SECTION 1 — UWPA HISTORY

Utica Water and Power Authority (UWPA) is a regional water and power agency with origins dating to the California Gold Rush. Its system was initially developed in the mid-19th century to support mining operations and has since evolved into a critical public water supply and hydropower infrastructure serving communities in Calaveras County.



UWPA traces its roots to the Union Water Company (UWC), formed in 1852 to deliver water from Mill Creek and Angels Creek to mining operations in Murphys and Angels Camp. By 1854, UWC expanded its system to the North Fork of the Stanislaus River and constructed high-elevation storage, including Union Reservoir (1858). Additional conveyance canals, flumes, and reservoirs were built throughout the late 1800s to support expanding mining activity.

In the 1880s, the Utica Gold Mining Company (UGMC) acquired UWC's assets and significantly expanded water storage and power generation. Lake Alpine was completed in 1889, followed by the construction of multiple powerhouses in Angels Camp and Murphys between 1895 and 1906. Utica Reservoir was completed in 1906, and the original Spicer Meadow Reservoir followed in 1929, forming the backbone of the up-country storage system.

As mining declined in the early 1900s, system use shifted toward agricultural irrigation and residential and commercial

consumptive supply. A 1939 dispute regarding service obligations resulted in a settlement allowing the Calaveras Water Users Association—now Union Public Utility District (UPUD)—to purchase water from the Utica system to serve customers outside Angels Camp.

In 1946, UGMC sold the water conveyance system and powerhouses to Pacific Gas and Electric (PG&E), which operated and invested in the system for several decades. In the late 1980s, during the Federal Energy Regulatory Commission (FERC) relicensing process, a competing application by the Northern California Power Agency (NCPA) raised community concerns regarding outside control of long-held water rights. Following extensive negotiations, the Utica Power Authority was formed in 1996 as a Joint Powers Authority (JPA) consisting of the Calaveras County Water District (CCWD), UPUD, and the City of Angels Camp. The JPA acquired ownership of a 27-mile water conveyance system, five reservoirs, and two powerhouses. Under the settlement agreement, UWPA retained 60 cubic feet per second (CFS) of water rights, while NCPA received 28 CFS.

UWPA also benefits from the North Fork Stanislaus River Project, constructed in the 1980s and owned by CCWD. This project includes New Spicer Dam and Powerhouse, McKay's Dam, the Collierville Tunnel, and the Collierville Powerhouse. UWPA withdraws water from the Collierville Tunnel via a gravity-fed "Tunnel Tap" near Avery, delivering water into the Upper Utica Canal and the remaining Utica conveyance system without the need for pumping.

In 2001, the Darby Fire destroyed the system's largest wooden flume and several smaller structures, temporarily cutting off water service to more than 10,000 residents. The system was rebuilt over a 10-month period with state and federal emergency assistance. In 2003, CCWD withdrew from the JPA, leaving UPUD and the City of Angels Camp as the remaining members. In 2013, the agency was renamed Utica Water and Power Authority to reflect its primary mission: to provide a safe, reliable, and resilient water supply to the communities that depend on the Utica system, while continuing to manage legacy hydropower assets.

1.2 Governance and operations

UWPA is governed by a five-member Board of Directors (Board). The Board is comprised of two City of Angels Camp Council Members, two Union Public Utility District Directors, and one Public Member appointed by the other four members. Each entity has an alternate to fill-in if an appointed member is scheduled to be absent, as well as an appointed alternate Public Member.

1.3 Statement on Strategic, Master, and Capital Improvement Plans and Their Role in Annual Budgeting

A Strategic Plan, Master Plan, and Capital Improvement Plan (CIP) serve distinct but interdependent roles in organizational and community governance. Together, they form an integrated planning and budgeting framework that aligns long-term vision with annual decision-making and fiscal accountability.

The Strategic Plan establishes the why and what. It defines the organization's mission, vision, core values, and strategic priorities, typically over a three- to five-year horizon. The Strategic Plan sets policy direction, desired outcomes, and performance objectives, providing the foundational guidance for all subsequent planning and budgeting decisions.

The Master Plan translates the strategic vision into the how and where. It provides a comprehensive, long-range framework often spanning 10 to 20 years that identifies future service levels, facility needs, infrastructure requirements, and operational capacities. The Master Plan ensures that growth, development, and service delivery are aligned with the goals and priorities established in the Strategic Plan.

The Capital Improvement Plan (CIP) operationalizes these priorities through the when and with what resources. The CIP is a multi-year, fiscally constrained plan typically five to ten years that identifies, prioritizes, schedules, and funds specific capital investments such as facilities, equipment, and infrastructure. Each project included in the CIP should directly support the objectives of the Strategic Plan and the needs identified in the Master Plan.

These plans collectively inform annual planning and budgeting. During the annual budget process:

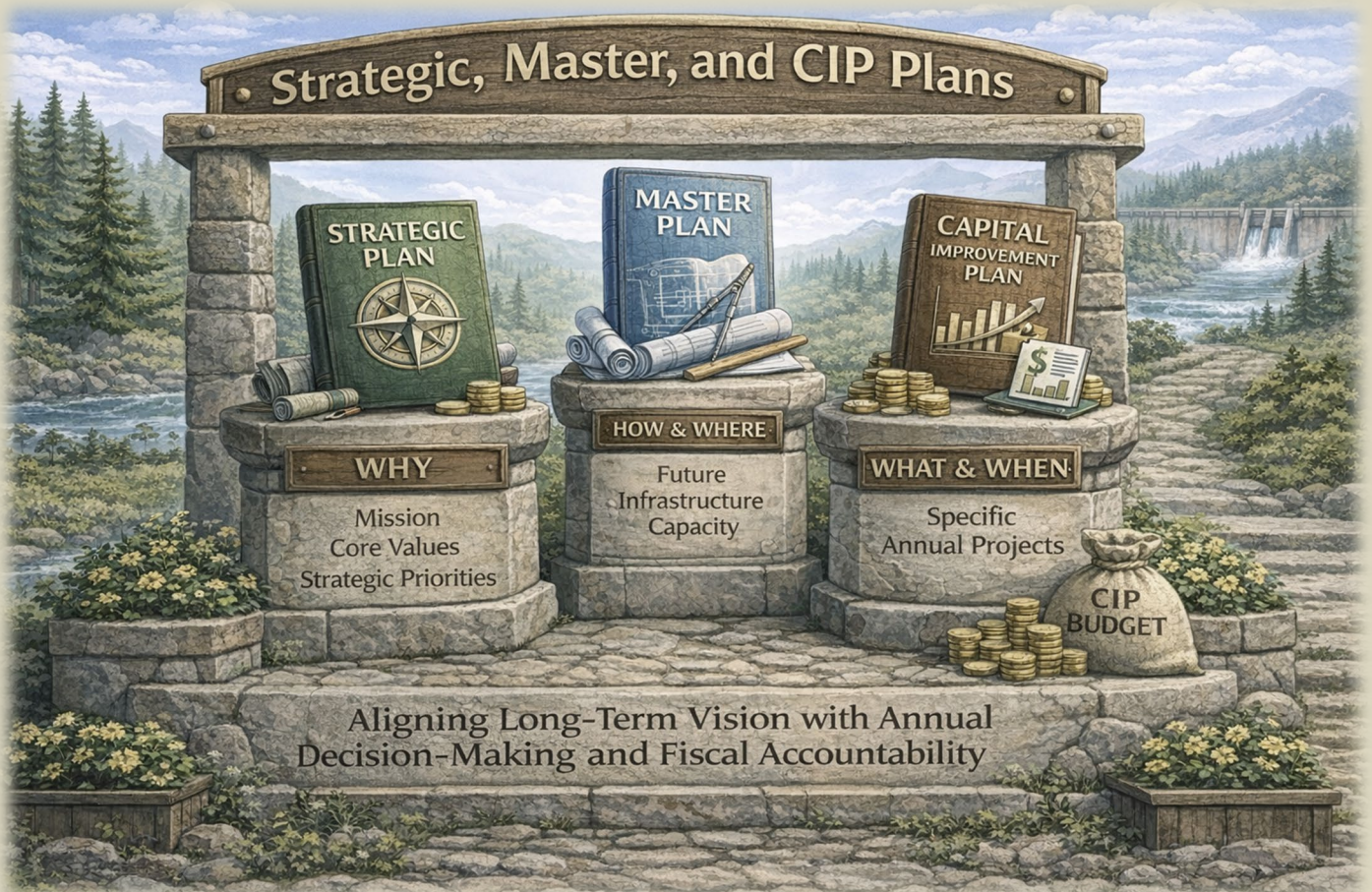
The Strategic Plan guides policy choices and funding priorities;

The Master Plan informs operational needs, service levels, and future capacity requirements; and

The CIP determines which capital projects advance to design, construction, or procurement in the budget year.

Annual operating and capital budgets represent the one-year execution of these longer-term plans, translating strategic goals and planned investments into authorized expenditures, staffing, and work programs. This alignment ensures that annual budgets are not reactive or ad hoc, but instead reflect deliberate, transparent, and accountable progress toward long-term objectives.

Together, these plans create a coherent planning and budgeting hierarchy: strategy defines direction, planning defines needs, investment allocates resources, and annual budgets deliver measurable results.



SECTION 2 — MISSION, VISION, AND VALUES

MISSION

We are committed to preserving local control of our water resources through sound fiscal and operational management, rigorous dam safety practices, and regulatory compliance, ensuring a safe and reliable water supply for municipal and agricultural stakeholders now and for future generations.

VISION

Utica Water and Power Authority envisions a future in which its historic water and hydroelectric systems are preserved, strengthened, and responsibly invested in to provide reliable, resilient water service and clean energy for generations to come.

VALUES

U — Upholding Public Trust

UWPA operates essential water conveyance and hydroelectric infrastructure in trust for the public. Decisions are guided by transparency, accountability, and a responsibility to protect public safety, environmental resources, and the long-term interests of member agencies and the community we serve.

T — Thoughtful Stewardship

UWPA stewards historic water rights, dams, and infrastructure with a long-term perspective. Stewardship requires balancing current service needs with responsible investment, risk reduction, and preservation of assets for future generations.

I — Integrity in Compliance

Regulatory compliance is a core value of UWPA. The Authority practices responsible self-compliance by maintaining rigorous internal standards and proactively addressing regulatory obligations

C — Commitment to Dam Safety

UWPA treats dam safety as a core responsibility and a central element of public protection. Planning, operations, and capital investment decisions prioritize the safe performance, monitoring, and maintenance of dams and associated facilities to minimize risk to downstream communities, personnel, and the environment.

A — Accountability

UWPA practices responsible regulation and compliance by maintaining rigorous internal standards, proactively addressing regulatory obligations, and integrating compliance considerations into planning, operations, and capital investment decisions.

How to Use This Strategic Plan

This Strategic Plan is intended to serve as a practical governance and management tool that guides UWPA's decision-making over the five-year planning horizon. It provides a shared framework for the Board of Directors, member agencies, and staff to align priorities, manage risk, and make informed choices under both stable and uncertain conditions.

How the Plan Should Be Used

The Strategic Plan is designed to guide, but not replace, UWPA's established planning and decision-making processes:

- **Capital Improvement Plan (CIP) Adoption:** The Strategic Plan establishes the strategic priorities and risk framework that inform the development, prioritization, and annual adoption of the CIP. CIP projects and funding levels remain subject to Board approval and annual review.
- **Annual Budget Decisions:** The Strategic Plan provides context for budget development by identifying long-term priorities, financial constraints, and scenario-based regulatory considerations. Annual budgets translate strategic direction into near-term financial commitments while preserving Board discretion.
- **Regulatory Responses:** The Strategic Plan frames UWPA's approach to regulatory uncertainty, including the FERC exemption and relicensing pathways. It supports consistent, compliant responses to regulatory requirements while allowing flexibility as conditions and timelines evolve.

What the Plan Does Not Do

This Strategic Plan does not prescribe specific projects, funding amounts, or staffing actions. It does not replace the CIP, annual budget, or regulatory filings, nor does it eliminate the need for future Board deliberation. Instead, it establishes guardrails and priorities within which those decisions are made.

Annual Review and Refresh

The Strategic Plan is intended to be a living document. Progress toward strategic goals will be reviewed annually in conjunction with the budget and CIP adoption process. Based on performance results, financial conditions, regulatory developments, and Board direction, the plan may be reaffirmed, adjusted, or refined to ensure continued relevance and effectiveness.

Plan Governance and Amendment

This Strategic Plan establishes UWPA’s long-term priorities and decision-making framework but is intended to remain adaptable as conditions, regulations, and organizational needs evolve. Clear governance and amendment provisions ensure the plan remains a useful, living document while preserving appropriate Board authority and operational flexibility.

Authority to Amend the Plan

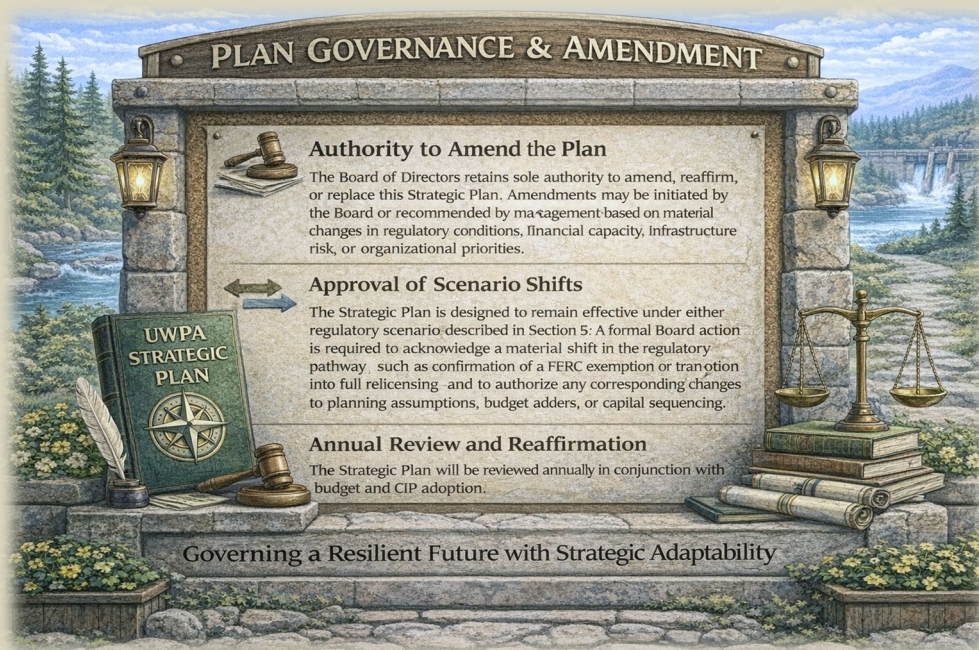
The Board of Directors retains sole authority to amend, reaffirm, or replace this Strategic Plan. Amendments may be initiated by the Board or recommended by management based on material changes in regulatory conditions, financial capacity, infrastructure risk, or organizational priorities. Minor clarifications or administrative updates that do not alter strategic direction may be incorporated during the annual review process.

Approval of Scenario Shifts

The Strategic Plan is designed to remain effective under either regulatory scenario described in Section 5. A formal Board action is required to acknowledge a material shift in the regulatory pathway—such as confirmation of a FERC exemption or transition into full relicensing—and to authorize any corresponding changes to planning assumptions, budget adders, or capital sequencing.

Annual Review and Reaffirmation

The Strategic Plan will be reviewed annually in conjunction with budget and CIP adoption. Following this review, the Board may reaffirm the plan, direct targeted amendments, or initiate development of a revised strategic plan to ensure continued alignment with UWPA’s mission, risk posture, and regulatory obligations.



SECTION 3 — CURRENT CONDITIONS AND KEY CHALLENGES

3.1 Aging Infrastructure and Reliability Vulnerability

UWPA's water conveyance and hydroelectric systems are comprised of aging infrastructure, with many assets having been in service for several decades and some exceeding a century of continuous use. While these assets have been maintained and repaired over time, much of the system does not meet modern design, safety, or redundancy standards. As a result, the likelihood and consequence of failures increase as assets continue to age.

Reliability vulnerabilities are not limited to individual components but extend across interconnected systems where a single failure can disrupt water deliveries, power generation, or both. Deferred reinvestment, constrained access, and environmental exposure—particularly for flumes, canals, and remote facilities—further compound reliability risk. These conditions underscore the need for a structured, risk-based approach to infrastructure reinvestment rather than continued reliance on reactive repairs.

Current Conditions & Key Challenges



3.2 Wildfire and Emergency Water Supply Consequences

UWPA's system operates in a wildfire-prone environment where prolonged drought, extreme weather, and limited access routes heighten both the probability and consequences of emergency events. Conveyance failures during wildfire or other emergencies could significantly impair the ability of member agencies to provide water for fire suppression, public safety, and essential services.

Many conveyance assets—particularly flumes and exposed canals—are vulnerable to wildfire, falling trees, debris flows, and post-fire erosion. In emergency conditions, access limitations may delay repairs and extend service outages. These risks elevate the importance of system resilience, redundancy where feasible, and advance planning to ensure that water supply reliability is maintained during high-consequence events.

3.3 Financial Constraints and Deferred Investment Pressures

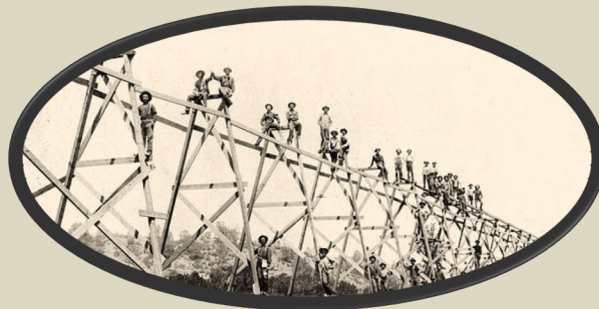
UWPA faces ongoing financial challenges driven by a combination of aging infrastructure, historic deferred maintenance, variable hydroelectric revenues, and increasing regulatory compliance costs. For many years, power generation revenues subsidized water conveyance operations and reduced member agency contributions. Changes in energy markets and rising operational and capital costs have reduced this margin and placed sustained pressure on UWPA's financial position.

Deferred capital investment has helped manage short-term affordability but has also increased long-term risk exposure. As assets continue to age, deferrals can result in higher future replacement costs, increased emergency repair expenditures, and greater service disruption. These financial constraints require careful balancing of affordability, risk reduction, and reserve stability within UWPA's long-term planning framework.

3.4 Regulatory Drivers and FERC Compliance Trajectory

UWPA's hydroelectric facilities are subject to significant regulatory oversight, with compliance obligations that will shape capital planning and financial commitments over the next decade. The ongoing Federal Energy Regulatory Commission (FERC) exemption or relicensing process represents a major regulatory driver, with substantial costs associated with engineering studies, environmental review, permitting, and administrative requirements.

Uncertainty regarding the ultimate regulatory pathway introduces both financial and scheduling risk. Failure to maintain compliance or meet regulatory milestones could jeopardize UWPA's ability to continue hydroelectric operations, with direct implications for revenue stability and overall system viability. As a result, regulatory readiness and proactive compliance planning are critical components of UWPA's strategic and capital investment decisions.



SECTION 4 — STRATEGIC GOALS

Goal 1 — Water Conveyance Reliability & Resilience

1. Clear Outcome

Maintain and improve the reliability, safety, and resilience of UWPA's water conveyance system to ensure uninterrupted delivery to member agencies and reduce the risk of unplanned outages, emergency repairs, and service disruptions over the five-year planning horizon.

2. Strategic Rationale

Reliable water conveyance is a core obligation of UWPA's joint powers mission and a critical dependency for both member agencies. Given the age and vulnerability of many conveyance assets, failure to reinvest exposes UWPA to elevated operational, public safety, regulatory, and reputational risk.

3. Specific Scope & Boundaries

Included: UWPA-owned conveyance assets and reliability-focused capital reinvestment.

Excluded: Member agency distribution systems; hydro facilities except where shared risk applies.

4. Measurable Success Criteria

Reduced unplanned outages, Tier 1 risks mitigated, current asset condition assessments, compliance readiness.

5. Timeline & Milestones

Years 1–2: Assessments and Tier 1 actions.

Years 3–4: Phased rehabilitation.

Year 5: Performance evaluation and next-cycle planning.

6. Ownership & Accountability

General Manager (accountable); Operations, Engineering, Maintenance (implementation); Board (oversight).

7. Key Strategies / Initiatives

Implement the CIP using the Tier framework, risk-based prioritization, asset management, and phased delivery.

8. Resources & Capacity

Board-authorized capital funding, staff resources, consultants, grants.

9. Risks & Mitigation

Aging assets, wildfire, funding limits mitigated through Tier prioritization, grants, and reserves.

10. Review & Adaptation Process

Annual CIP/budget review; mid-plan reassessment.

Goal 2 — Hydroelectric Asset Readiness & Revenue Protection

1. Clear Outcome

Maintain and enhance the operational readiness, reliability, and compliance posture of UWPA's hydroelectric assets to protect revenue generation, reduce forced outages, and preserve long-term power production capability over the five-year planning horizon.

2. Strategic Rationale

Hydroelectric generation provides the majority of UWPA's operating revenue and plays a critical role in funding system operations, capital reinvestment, and regulatory compliance obligations. Aging equipment, evolving regulatory requirements, and market pressures increase the risk of forced outages, revenue loss, and reduced operational flexibility. Proactive readiness and reinvestment are essential to protect UWPA's financial stability and long-term viability.

3. Specific Scope & Boundaries

Included: Hydroelectric powerhouses, turbines, generators, controls, substations, penstocks, monitoring systems, and compliance-related readiness activities.

Excluded: Market pricing decisions and power sales strategies beyond asset readiness and reliability.

Assumptions: Continued operation of hydro facilities under valid regulatory authorization and market participation.

4. Measurable Success Criteria

Reduced forced outage frequency and duration, improved unit availability, completion of Tier 1 and Tier 2 hydro projects, compliance milestone achievement, and stabilization or improvement of net power generation revenue.

5. Timeline & Milestones

Years 1–2: Address high-risk equipment, advance compliance readiness, refine condition assessments.

Years 3–4: Implement phased rehabilitation and efficiency improvements.

Year 5: Evaluate performance trends and inform next CIP and regulatory strategy.

6. Ownership & Accountability

Accountable Owner: General Manager.

Implementation Leads: Operations, Engineering, and Compliance staff.

Board Role: Strategic oversight, capital prioritization, and regulatory policy direction.

7. Key Strategies / Initiatives

Implement the CIP using the Tier framework, prioritize forced-outage risk reduction, align outage planning with revenue protection, advance monitoring and control systems, and integrate regulatory readiness with capital planning.

8. Resources & Capacity

Board-authorized capital funding, operations and engineering staff, specialized consultants, contractors, and external funding where eligible.

9. Risks & Mitigation

Key risks include equipment failure, regulatory delays, and revenue volatility. Mitigation includes Tier 1 prioritization, phased reinvestment, redundancy where feasible, and proactive regulatory engagement.

10. Review & Adaptation Process

Annual review through the CIP and budget process, tracking of outage and revenue metrics, and mid-plan reassessment to adjust priorities based on regulatory, operational, or market changes.

Goal 3 — Compliance and Risk Reduction

1. Clear Outcome

Achieve and maintain a strong compliance posture while systematically reducing regulatory, safety, operational, and financial risk exposure across UWPA's water conveyance and hydroelectric systems over the five-year planning horizon.

2. Strategic Rationale

UWPA operates complex and aging infrastructure subject to extensive regulatory oversight, including water, dam safety, workplace safety, and hydroelectric authorization requirements. Noncompliance or unmanaged risk can result in service disruption, financial penalties, increased costs, loss of operating authority, or public safety impacts. A proactive, structured approach to compliance and risk reduction protects UWPA's mission, finances, and credibility with regulators, member agencies, and the public.

3. Specific Scope & Boundaries

Included: Regulatory compliance obligations (e.g., FERC, dam safety, OSHA, environmental requirements), risk identification and tracking, corrective actions, and integration with capital planning.

Excluded: Day-to-day operational procedures not directly tied to compliance or risk mitigation.

Assumptions: Regulatory requirements will evolve and may increase in complexity and cost.

4. Measurable Success Criteria

Timely completion of required regulatory filings and corrective actions, reduction in the number of unresolved Tier 1 risks, successful inspections with minimal findings, avoidance of penalties or enforcement actions, and documented risk mitigation progress.

5. Timeline & Milestones

Years 1–2: Confirm compliance obligations, maintain risk register, address high-priority corrective actions.

Years 3–4: Implement phased compliance-driven capital and operational improvements.

Year 5: Evaluate compliance outcomes and update risk framework for the next planning cycle.

6. Ownership & Accountability

Accountable Owner: General Manager.

Implementation Leads: Operations, Engineering, and Compliance staff.

Board Role: Policy oversight, risk tolerance guidance, and review of compliance status.

7. Key Strategies / Initiatives

Maintain a Tier 1 Risk Register, integrate compliance requirements into the CIP, pursue early regulator engagement, bundle corrective actions with capital projects where feasible, and apply a risk-based prioritization framework to decision-making.

8. Resources & Capacity

Dedicated staff time for compliance management, consultant and legal support as needed, capital funding authorized through the CIP, and reserves to address emergent compliance-driven needs.

9. Risks & Mitigation

Key risks include regulatory changes, inspection findings, and funding constraints. Mitigation includes proactive planning, phased investment, early corrective action, and transparent Board reporting.

10. Review & Adaptation Process

Ongoing monitoring with annual Board reporting, integration into the budget and CIP update cycle, and periodic reassessment of risk exposure and compliance readiness.

Goal 4 — Infrastructure Stewardship & System Modernization

1. Clear Outcome

Sustain, rehabilitate, and modernize UWPA's critical infrastructure to ensure long-term system reliability, safety, and operational effectiveness across water conveyance and hydroelectric facilities.

2. Strategic Rationale

UWPA's infrastructure portfolio includes assets that are decades—and in some cases more than a century—old. These facilities are essential to water delivery, power generation, and public safety, yet many do not meet modern design, safety, or operational standards. Proactive infrastructure stewardship and modernization reduce failure risk, improve performance, and support compliance, financial stability, and service continuity.

3. Specific Scope & Boundaries

Included: Physical infrastructure assets such as canals, flumes, pipelines, reservoirs, penstocks, powerhouses, mechanical and electrical systems, and supporting facilities.

Excluded: Non-infrastructure organizational processes except where directly tied to system performance.

Assumptions: Infrastructure reinvestment will be phased and prioritized based on risk, condition, and funding capacity.

4. Measurable Success Criteria

Reduction in infrastructure-related failures and emergency repairs, completion of prioritized rehabilitation and replacement projects, improved system performance indicators, and extended useful life of critical assets.

5. Timeline & Milestones

Years 1–2: Address highest-risk infrastructure deficiencies and advance priority rehabilitation projects.

Years 3–4: Implement phased modernization and system upgrades.

Year 5: Evaluate infrastructure condition trends and inform the next capital and strategic planning cycle.

6. Ownership & Accountability

Accountable Owner: General Manager.

Implementation Leads: Operations, Engineering, and Maintenance staff.

Board Role: Strategic direction, capital prioritization, and oversight of infrastructure investment decisions.

7. Key Strategies / Initiatives

Implement the CIP using risk-based prioritization, bundle and phase projects for efficiency, incorporate modern design and safety standards into upgrades, and coordinate infrastructure work to minimize service disruptions.

8. Resources & Capacity

Capital funding authorized through the CIP, skilled operations and maintenance staff, engineering and construction consultants, and external funding sources where available.

9. Risks & Mitigation

Key risks include aging asset failure, access and environmental constraints, and funding limitations. Mitigation includes Tier 1 prioritization, phased delivery, early permitting coordination, and contingency planning.

10. Review & Adaptation Process

Annual review through the CIP and budget process, monitoring of infrastructure condition and performance trends, and periodic Board updates on system reinvestment progress.

Goal 5 — Financial Sustainability & Reserve Stability

1. Clear Outcome

Maintain UWPA's long-term financial sustainability by balancing operating costs, capital investment needs, and reserve levels in a manner that supports reliable service delivery, regulatory compliance, and prudent risk management.

2. Strategic Rationale

UWPA faces sustained financial pressure from aging infrastructure, deferred maintenance, regulatory obligations, and variable hydroelectric revenues. Without a deliberate financial strategy, the Authority risks erosion of reserves, increased exposure to emergency costs, and reduced flexibility to respond to failures or compliance requirements. Strengthening financial sustainability and reserve stability ensures UWPA can meet near-term obligations while responsibly planning for long-term system needs.

3. Specific Scope & Boundaries

Included: Operating and capital financial planning, reserve policies and targets, funding options evaluation, and integration of financial considerations into CIP decision-making.

Excluded: Short-term market pricing decisions or power sales strategies not directly tied to financial stability.

Assumptions: Revenue volatility will continue, and regulatory and capital cost demands will remain significant over the planning horizon.

4. Measurable Success Criteria

Maintenance of reserve balances within Board-established targets, reduced reliance on emergency reserve draws, predictable funding of Tier 1 priorities, transparent presentation of funding options, and improved alignment between financial plans and actual expenditures.

5. Timeline & Milestones

Years 1–2: Confirm reserve targets and integrate financial assumptions into the CIP and budget process.

Years 3–4: Adjust funding strategies based on performance, revenue trends, and capital delivery pace.

Year 5: Evaluate long-term financial position and inform next planning cycle.

6. Ownership & Accountability

Accountable Owner: General Manager.

Implementation Leads: Finance staff and executive leadership.

Board Role: Establish financial policy, approve budgets and CIP funding options, and set risk tolerance related to reserves.

7. Key Strategies / Initiatives

Apply integrated financial planning that links operations, capital, and reserves; evaluate funding options transparently; phase investments to manage affordability; and pursue grants or external funding to reduce member agency burden where feasible.

8. Resources & Capacity

Financial management staff, budgeting and forecasting tools, consultant support as needed, and Board-approved funding mechanisms.

9. Risks & Mitigation

Key risks include revenue volatility, cost escalation, and reserve depletion. Mitigation includes conservative forecasting, phased capital delivery, maintenance of reserve targets, and regular Board review of financial performance.

10. Review & Adaptation Process

Annual review through the budget and CIP adoption process, monitoring of reserve and expenditure trends, and adjustment of financial strategies based on actual performance and emerging risks.

Goal 6 — Grant Readiness & External Funding

1. Clear Outcome

Strengthen UWPA's ability to secure external funding by maintaining a high level of grant readiness, positioning priority projects competitively, and integrating grants and other outside funding sources into capital and financial planning.

2. Strategic Rationale

Given the scale of UWPA's infrastructure needs and the financial constraints facing the Authority and its member agencies, external funding is a critical tool for accelerating risk reduction, improving affordability, and advancing high-priority projects. Proactive grant readiness reduces missed opportunities, improves competitiveness, and ensures that outside funding complements—rather than disrupts—UWPA's capital and operational plans.

3. Specific Scope & Boundaries

Included: Grant readiness planning, identification of competitive projects, benefit narrative development, coordination of environmental and permitting readiness, and management of awarded funds.

Excluded: Pursuit of funding that creates unsustainable long-term obligations or conflicts with UWPA's strategic priorities.

Assumptions: Grant availability will fluctuate and competition will remain high.

4. Measurable Success Criteria

Number of grant-ready projects maintained, grant applications submitted, grant dollars awarded, alignment of funded projects with Tier 1 and Tier 2 priorities, and successful compliance with grant reporting and closeout requirements.

5. Timeline & Milestones

Years 1–2: Maintain a rolling list of grant-ready projects and standard readiness materials.

Years 3–4: Secure external funding for priority infrastructure and technology projects.

Year 5: Evaluate grant effectiveness and refine readiness strategy for the next planning cycle.

6. Ownership & Accountability

Accountable Owner: General Manager.

Implementation Leads: Executive leadership, engineering, finance, and administrative staff.

Board Role: Policy direction on funding strategy, acceptance of match commitments, and oversight of major awards.

7. Key Strategies / Initiatives

Maintain a grant readiness plan aligned with the CIP, advance design and permitting for competitive projects, quantify public benefits and risk reduction outcomes, coordinate matching fund strategies, and track application calendars and deadlines.

8. Resources & Capacity

Staff time for grant development and management, consultant support where cost-effective, internal coordination across departments, and financial capacity to meet match or compliance requirements.

9. Risks & Mitigation

Key risks include staff capacity constraints, unsuccessful applications, and compliance burdens. Mitigation includes selective pursuit of high-probability opportunities, standardized materials, phased commitments, and clear internal ownership.

10. Review & Adaptation Process

Annual review through the CIP and budget process, reporting to the Board on grant activity and outcomes, and adjustment of strategies based on funding trends and program performance.

Goal 7 — Organizational Effectiveness & Safety Culture

1. Clear Outcome

Strengthen UWPA's organizational effectiveness by fostering a strong safety culture, clear accountability, effective internal coordination, and a work environment that supports reliable operations, risk reduction, and staff well-being.

2. Strategic Rationale

UWPA operates complex, high-risk infrastructure where employee safety, operational discipline, and organizational clarity directly affect system reliability and public trust. Aging assets, regulatory demands, and limited staffing capacity increase the importance of a strong safety culture and effective organizational practices. Improving organizational effectiveness reduces the likelihood of accidents, operational errors, and inefficiencies while supporting long-term workforce sustainability.

3. Specific Scope & Boundaries

Included: Workplace safety practices, training, internal communication, role clarity, succession awareness, and coordination across operations, engineering, and administration.

Excluded: Individual personnel matters or labor negotiations beyond organizational policy direction.

Assumptions: Staffing levels will remain constrained, requiring efficient use of available resources.

4. Measurable Success Criteria

Reduction in safety incidents and near-misses, completion of required safety training, clear assignment of responsibilities for critical functions, improved coordination across departments, and positive safety and organizational feedback indicators.

5. Timeline & Milestones

Years 1–2: Reinforce safety training, clarify roles and responsibilities, and address identified safety gaps.

Years 3–4: Institutionalize safety and organizational best practices.

Year 5: Evaluate organizational effectiveness and safety culture maturity.

6. Ownership & Accountability

Accountable Owner: General Manager.

Implementation Leads: Supervisors and department lead.

Board Role: Set expectations for safety culture, receive reporting on organizational performance, and support resource needs.

7. Key Strategies / Initiatives

Promote a culture of safety and accountability, provide regular training, document and communicate procedures, encourage reporting of hazards and near-misses, and improve cross-functional coordination.

8. Resources & Capacity

Staff time for training and safety activities, safety and operational training resources, consultant support as needed, and leadership commitment to continuous improvement.

9. Risks & Mitigation

Key risks include staff fatigue, institutional knowledge loss, and safety complacency. Mitigation includes cross-training, documentation, leadership engagement, and continuous reinforcement of safety expectations.

10. Review & Adaptation Process

Regular internal reviews of safety performance, annual reporting to the Board, and periodic updates to organizational practices based on lessons learned and evolving operational needs.

Strategic Goals

Water Supply Reliability & Emergency Resilience
Enhance UWPA's water supply reliability and resilience under wildfire or extended outage conditions • 8.25

Revenue Protection & Ratepayer Equity
Optimize operational efficiency and control costs while ensuring equitable investment across customer classes.

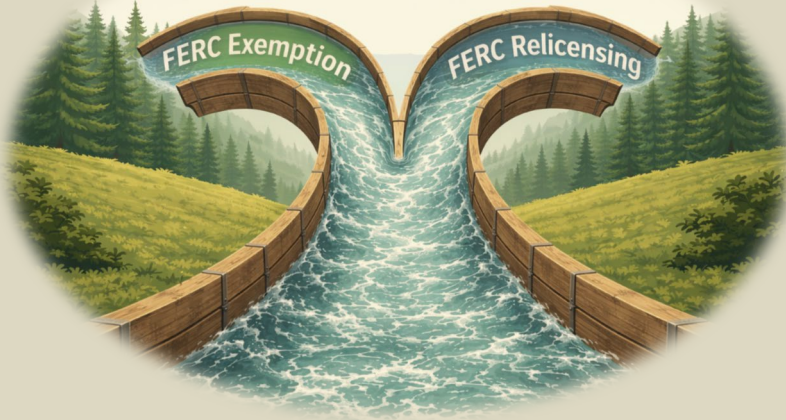
Compliance & Risk Reduction
Proactively identify and manage safety, liability, and regulatory compliance risks.

Infrastructure Investment & System Renewal
Prioritize and coordinate long-term asset reinvestment and reliability improvements.

Financial Sustainability & Reserve Stability
Achieve sustainable funding to address UWPA's Tier 1-3 priorities with appropriate reserve levels.

Grant Readiness & External Funding
Actively pursue feasible external funding to reduce local cost burden and accelerate Tier 1 projects.

SECTION 5 — THE REGULATORY FORK (DECISION FRAMEWORK + TWO PATHS)



5.1 The Decision Point (Regulatory Fork)

UWPA faces a critical regulatory decision point that will shape capital planning, financial commitments, staffing needs, and risk exposure over the remainder of the strategic planning horizon and beyond. This decision point—referred to as the “regulatory fork”—centers on the future authorization pathway for UWPA’s hydroelectric facilities under Federal Energy Regulatory Commission (FERC) oversight.

The regulatory fork does not represent a choice between compliance and noncompliance. Under all circumstances, UWPA is committed to remaining compliant, operational, and authorized to continue hydroelectric generation. Rather, the fork reflects two distinct but compliant regulatory outcomes, each with different timelines, cost profiles, and organizational implications.

Scenario A — FERC Exemption Achieved (Target Outcome: 2028)

Under Scenario A, UWPA successfully completes the FERC exemption process by approximately 2028. This outcome would shorten the overall regulatory timeline, reduce long-term administrative and consultant costs, and allow the Authority to shift focus more rapidly toward system reinvestment and operational optimization.

While the exemption pathway still requires significant near-term effort—including studies, documentation, agency coordination, and regulatory review—the total duration and cumulative cost exposure are expected to be lower than under full relicensing. Achieving an exemption would improve planning certainty, reduce extended regulatory overhead, and potentially ease long-term pressure on operating budgets and reserves.

Scenario B — Full FERC Relicensing (Target Outcome: 2033)

Under Scenario B, UWPA proceeds through the full FERC relicensing process, with completion anticipated around 2033. This pathway entails a longer regulatory horizon, higher cumulative costs, and sustained reliance on engineering, environmental, legal, and administrative consultants over an extended period.

A full relicensing process increases complexity and requires ongoing coordination with multiple agencies, expanded environmental review, and prolonged documentation and reporting obligations. While this path remains fully compliant and preserves long-term operating authority, it extends regulatory risk exposure and places continued pressure on staffing capacity, cash flow, and reserve planning.

Why the Regulatory Fork Matters

The regulatory fork materially affects UWPA’s strategic planning assumptions in several key areas:

- **Cost Horizon:** The duration and total cost of regulatory compliance varies significantly between the two scenarios, affecting long-term financial projections.

- **Compliance Urgency:** Each pathway imposes different near-term and long-term milestone pressures that influence project sequencing and staffing priorities.
- **Staffing and Consultant Needs:** A longer regulatory pathway requires sustained internal and external resource commitments.
- **Cash Flow and Reserve Impacts:** Extended compliance timelines increase cumulative costs and may require greater reliance on reserves or funding adjustments.

Understanding these differences allows the Board and management to make informed, flexible decisions that balance risk, affordability, and long-term system viability.

Planning Assumption

For purposes of this Strategic Plan, UWPA assumes that it will remain compliant, authorized, and operational under either regulatory outcome. Capital planning, financial strategies, and organizational priorities are therefore designed to remain adaptable, allowing UWPA to respond effectively as the regulatory pathway becomes clearer while continuing to meet all applicable obligations.

REGULATORY DECISION TRIGGER – STRATEGY SHIFT FRAMEWORK

TRIGGER EVENT	EVIDENCE / MILESTONE	UWPA ACTION
Exemption Approval Milestone Reached	Formal FERC exemption approval or milestone determination letter	Shift to Scenario A: Post-2028 Exemption Strategy (Revised capital scope and funding profile)
Exemption Denied or Materially Delayed	Formal denial, withdrawal, or extended regulatory timeline	Shift to Scenario B: Relicensing Planning and Funding Path (Expanded compliance scope)
New Compliance Conditions Added	Updated FERC requirements, additional studies, or modified obligations	Update CIP priorities, schedules, and budget adders (Board review and approval)

Figure: Decision triggers that activate a shift between UWPA regulatory planning scenarios. This framework allows the Capital Improvement Plan to remain flexible while maintaining regulatory compliance readiness and fiscal discipline.

5.2 Scenario A — Strategic Path if Exemption is Successful (2028)

1. Strategic Focus (2026–2028): fast-track exemption support work; maintain minimum compliance readiness; prioritize reliability + safety + revenue protection
2. Post-Exemption Operating Strategy (2028–2030+): step-down in regulatory effort; redirect capacity to highest-risk infrastructure renewal and resiliency

3. Capital Strategy: emphasize conveyance reliability + wildfire resilience; hydro improvements that protect revenue and operational safety
4. Financial Strategy: reserve stabilization plan; member contribution smoothing after 2028; increase focus on infrastructure renewal grants
5. KPIs: exemption achieved by target date; regulatory spend reduced after 2028; reliability improvements (Tier 1 risks mitigated/outages reduced)

5.3 Scenario B — Strategic Path if Relicensing Continues to 2033

1. Strategic Focus (2026–2033): plan for long-range compliance workload, studies, environmental and legal/admin costs
2. Compliance Readiness Roadmap: build a detailed compliance timeline and work program appendix
3. Capital Strategy: elevate hydro/compliance-driven upgrades; schedule outages strategically; maintain Tier 1 compliance priorities
4. Financial Strategy: dedicated relicensing/exemption reserve target; predictable annual contributions; grant/loan strategy; sustained staffing/consultant plan
5. KPIs: regulatory milestones met; annual funding targets met; compliance risk events avoided; stable reserves through cost horizon



SECTION 6 — IMPLEMENTATION PLAN (BOTH SCENARIOS)

6.1 Work Plan by Year (FY26–FY30)

Implementation of this Strategic Plan will occur through a rolling, multi-year work plan covering Fiscal Years 2026 through 2030. The work plan is designed to remain effective under both regulatory scenarios described in Section 5, distinguishing between common work required under either pathway and scenario-dependent work that will be adjusted as the regulatory trajectory becomes clearer.

Common work includes activities that advance UWPA’s core mission regardless of regulatory outcome, such as water conveyance reliability improvements, hydroelectric asset readiness, compliance maintenance, asset management practices, grant readiness, and organizational effectiveness initiatives. These efforts are expected to proceed across the full planning horizon and are reflected in annual budgets and the Capital Improvement Plan (CIP).

Scenario-dependent work includes regulatory-driven studies, permitting, consultant support, and capital sequencing that differ between the FERC exemption and full relicensing pathways. These activities will be planned and budgeted using scenario-based assumptions and regulatory-path adders, allowing UWPA to remain compliant and operational while preserving flexibility to adjust scope, timing, and funding commitments as regulatory milestones are reached.

6.2 Accountability and Reporting

Clear accountability and transparent reporting are essential to successful implementation of this Strategic Plan. Each strategic goal is assigned an accountable owner at the management level, responsible for coordinating implementation, tracking progress, and identifying emerging risks or needed adjustments. Supporting staff and external resources will be aligned to these goals through annual work plans and budget allocations.

Progress toward strategic goals will be reviewed and reported to the Board on a regular basis, with formal updates incorporated into the annual budget and CIP adoption process. This annual refresh provides an opportunity to evaluate progress, reassess priorities, and adjust implementation strategies based on system performance, financial conditions, regulatory developments, and Board direction.

This implementation framework ensures that the Strategic Plan remains a living document—actively guiding decisions rather than serving as a static reference—while preserving Board oversight and adaptability under both regulatory scenarios.



Goal Implementation & Accountability

6.1 Work Plan by Year (FY26–FY30)

Integrate common and scenario-based activities into a five-year work plan.

6.2 Accountability & Reporting

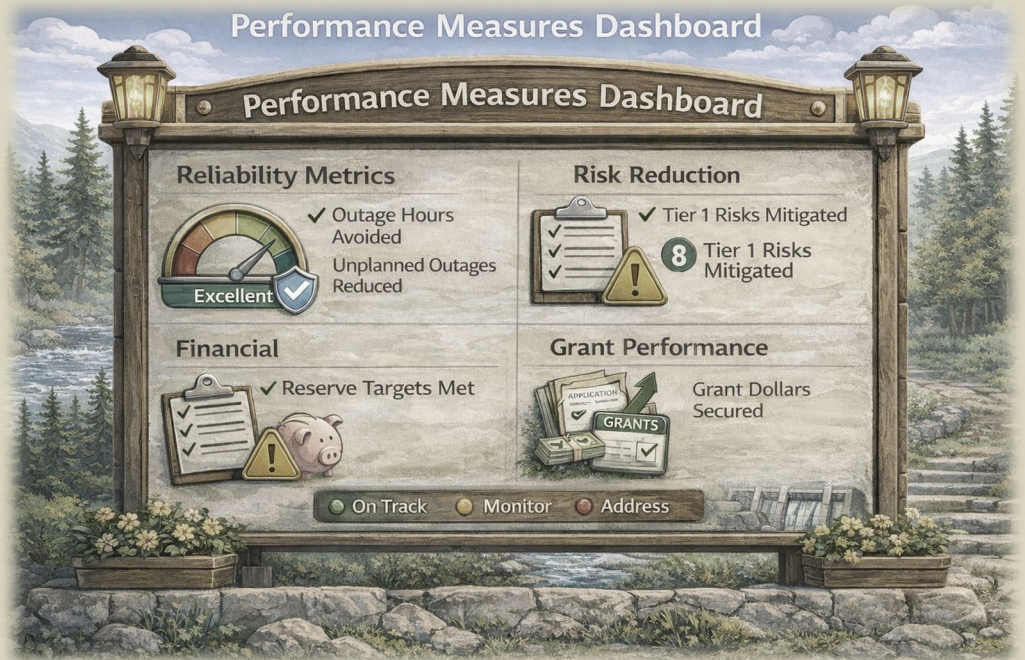
Assign goal owners; annual refresh linked to budget and CIP adoption.

3.2
Annual Report
to Budget and CIP
Adoption

SECTION 7 — PERFORMANCE MEASURES DASHBOARD

Purpose of the Dashboard

The Performance Measures Dashboard provides a concise, consistent framework for tracking progress toward UWPA’s strategic goals. The dashboard is designed to support Board oversight, management accountability, and transparent decision-making by focusing on a limited set of outcome-oriented metrics tied directly to reliability, risk reduction, financial stability, external funding performance, and regulatory compliance.



Metrics will be reviewed and refreshed annually in conjunction with the budget and CIP adoption process to ensure continued relevance and alignment with UWPA’s strategic priorities.

Reliability Metrics

Reliability performance will be measured using indicators that reflect system stability and operational effectiveness, including:

- Outage hours avoided through planned reinvestment and maintenance activities
- Reduction in unplanned outages affecting water conveyance or power generation

These metrics provide insight into whether capital and operational investments are successfully reducing service disruptions over time.

Risk Reduction Metrics

Risk reduction will be tracked through UWPA’s Tier-based risk framework, with a primary focus on:

- Number of Tier 1 risks mitigated or downgraded

This measure reflects progress in addressing the highest-consequence vulnerabilities within UWPA’s infrastructure and compliance portfolio.

Financial Performance Metrics

Financial sustainability will be monitored using indicators tied to Board policy and long-term planning assumptions, including:

- Reserve targets met or maintained within established thresholds

These metrics support evaluation of affordability, resilience to revenue volatility, and readiness to respond to emergencies or regulatory cost pressures.

Grant Performance Metrics

External funding performance will be tracked to evaluate the effectiveness of UWPA's grant readiness and funding strategy, including:

- Grant dollars secured
- Grant applications submitted

These measures provide visibility into how external funding is being used to accelerate priority projects and reduce reliance on internal funding sources.

Compliance and Regulatory Metrics

Regulatory performance will be tracked using scenario-based compliance milestones aligned with the applicable FERC pathway, including:

- Status of required filings, studies, and approvals under the applicable regulatory scenario

Tracking milestone completion ensures UWPA remains compliant and operational under either regulatory outcome while allowing the Board to monitor schedule and cost exposure.



SECTION 8 — FINANCIAL STRATEGY SUMMARY (TWO-SCENARIO BUDGET STORY)

8.1 Funding Approach

UWPA's funding approach is grounded in a long-term Capital Improvement Plan (CIP) funding philosophy that balances infrastructure reinvestment, financial stability, and affordability for member agencies. The CIP is structured around a risk-based prioritization framework that directs limited financial resources first toward Tier 1 risks—those with the highest likelihood and consequence of failure—while maintaining flexibility to adjust pacing based on funding capacity and regulatory requirements.



Reserve targets are a central component of this funding philosophy. UWPA maintains operating and contingency reserves to manage revenue volatility, emergency response needs, and regulatory-driven cost uncertainty. Reserve targets are intended to provide financial resilience rather than eliminate the need for strategic decision-making; their use is governed by Board policy and aligned with risk tolerance and long-term sustainability goals.

Grant funding and associated match strategies are integrated into the CIP as a means of accelerating priority investments and reducing pressure on reserves and member agency contributions. UWPA's grant strategy emphasizes readiness, selectivity, and alignment with CIP priorities, ensuring that external funding supplements—rather than distorts—capital planning and does not create unsustainable long-term obligations.

8.2 Multi-Year Budget Approach

UWPA's multi-year budget approach builds from a defined operations and maintenance (O&M) baseline that reflects the ongoing cost of safely operating and maintaining water conveyance and hydroelectric facilities. This baseline provides a stable foundation for evaluating incremental cost pressures, staffing needs, and efficiency opportunities over the planning horizon.

Capital funding is managed through a clear distinction between capital outlay expenditures and capital projects. Capital outlay addresses routine asset replacement and minor improvements, while capital projects represent larger, multi-year investments approved through the CIP and Board action. This distinction supports transparency, consistency, and disciplined decision-making.

Recognizing regulatory uncertainty, UWPA's budget framework incorporates regulatory-path adders that account for differing cost trajectories associated with FERC exemption versus full relicensing outcomes. These adders allow the Board to understand the incremental financial implications of each regulatory scenario and to

make informed, adaptive decisions as the regulatory pathway becomes clearer, while maintaining compliance and operational continuity under either scenario.

REGULATORY FORK SUMMARY — EXECUTIVE OVERVIEW

TOPIC	SCENARIO A: EXEMPTION (2028)	SCENARIO B: RELICENSE (2033)
Primary Driver	Shorter regulatory pathway	Long-term compliance program
Cost Pattern	Front-loaded costs, followed by step-down	Sustained cost curve over multiple years
Capital Emphasis	Reliability renewal and asset reinvestment	Compliance readiness plus asset renewal
Staffing / Consultants	2–3 year surge in staffing and consultant support	Sustained 7–8 year staffing and consultant need
Board Decision Focus	Strategic pivot following exemption determination	Annual milestone-based funding decisions

Figure: High-level comparison of UWPA’s two regulatory planning scenarios. The fork framework allows the Board to adapt funding and capital priorities based on regulatory outcomes while maintaining compliance readiness.





Item 8A

[Return to Agenda](#)



1168 Booster Way | PO Box 358, Angels Camp, CA 95222
gm@uticawater.com | (209) 736-9419 | www.uticawater.com

Via eFile

April 2, 2026

Mr. Frank Blackett, P.E., Regional Engineer
Division of Dam Safety and Inspections
Federal Energy Regulatory Commission
100 First Street, Suite 2300
San Francisco, CA 94105-3084

SUBJECT: Request to extend 13th Part 12D Comprehensive Assessment (CA) deadline and conduct a Periodic Inspection (PI) for the Utica Project - FERC#2019.

Dear Mr. Blackett:

This letter is to officially request an extension of time for conducting, the 13th Part 12D Comprehensive Assessment (CA) for the Utica Project (FERC# 2019) for a period of 5-years to align with Federal Energy Regulatory Commission (FERC) scheduling while also conducting a Periodic Inspection in lieu of a CA with a proposed submission date of November 1, 2027.

Since my tenure as General Manager began in January 2026, UWPA has undergone significant staffing and structural changes, including the departure of our regulatory compliance staff member. In response, we have redefined responsibilities and established a new Administrative Compliance Special position to support long-term compliance efforts. This request is not intended to delay inspections or compliance obligations. Rather it is, to ensure Utica Water and Power Authority (UWPA) is fully prepared to meet FERC requirements through strengthened internal processes and organizational alignment.

UWPA has also recently adopted a new Mission, Vision, and Values that outline our commitment to dam safety, regulatory compliance, and public safety as core organizational priorities. Building a strong internal culture around these principles requires time, training, and deliberate implementation.

Additionally, this request allows UWPA adequate time to ensure completion of critical initiatives underway, including: development of updated inundation maps, implementation of recommendations from a recently completed audit of our Owner's Dam Safety Plan (ODSP), finalization and submission of our updated ODSP, and completion of the DSSMR reviews; currently being reviewed by our Chief Dam Safety Engineer John Kessler which are expected to

be submitted within the next week. We are also in the process of developing a new strategic plan and capital improvement plan to ensure long- term financial and operational alignment with regulatory requirements..

Lastly, we recently conducted our annual Dam Safety Inspection with DSOD and will continue our efforts to ensure our organization is dedicated to the public's safety through rigorous dam safety and regulatory compliance, as outlined in our newly adopted mission statement.

Our request and proposal for extension of time is not to defer our compliance obligations. Our request is to allow our organization to adopt the principles and practices in accordance with FERC requirements as a programmatic foundation of how we move into the future as an organization. This request allows us time as an organization to continue with all requirements, while also allowing us time to develop our internal culture and leadership vision, financial planning, and strategic planning. We remain committed to conducting all of the necessary studies, requirements, and reporting while requesting the deadline for a CA be moved 5 years forward and conduct a Periodic Inspection in lieu of the CA during this time with a proposed submission date of November 1, 2027, should you approve our request.

UWPA is committed to ensuring the safety of our communities and maintain our responsible ownership of projects 2019 and 2699. If you have any questions, please contact me at gm@uticawater.com.



Erik Holt
General Manager
Utica Water and Power Authority

CC:
John Kessler, P.E.
Chief Dam Safety Engineer
Kessler and Associates

UTICA WATER AND POWER AUTHORITY MISSION:

We are committed to preserving local control of our water resources through sound fiscal and operational management, rigorous dam safety practices, and regulatory compliance, ensuring a safe and reliable water supply for municipal and agricultural stakeholders now and for future generations.



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gm@uticawater.com | (209) 736-9419 | www.uticawater.com

Notice: The enclosures to this letter contain Critical Energy Infrastructure Information (CEII) and are not for public

April 15, 2026

Via efile

Mr. Frank Blackett, P.E. - Regional Engineer
Federal Energy Regulatory Commission
Division of Dam Safety and Inspections
100 First Street, Suite #2300
San Francisco, CA 94105-3084

**FERC P-2019 - Utica Hydroelectric Project
FERC P-2699 - Angels Hydroelectric Project**

Subject: Transmittal of the 2025 Dam Safety Surveillance Monitoring Reports

Dear Mr. Blackett:

This letter is to transmit Utica Power Authority's 2025 Dam Safety Surveillance Monitoring Reports (DSSMRs) for the following dams and facilities associated with the Utica and Angels Hydroelectric Projects:

Utica Hydroelectric Project

Hunters Dam – NATDAM # CA00423, State Certificate of Approval #109-000
Murphys Forebay Dams – NATDAM # CA00420, State Certificate of Approval #109-004
Murphys Afterbay Dam - NATDAM # CA00410, State Certificate of Approval #109-003

Angels Hydroelectric Project

Ross Dam – NATDAM # CA00424, State Certificate of Approval #109-002
Angels Penstock

UWPA's consultant has concluded the dams are safe for continued operation. Surveillance data is consistent with historical trends and within threshold values, and inspections have not revealed any conditions of concern. The DSSMRs have been prepared by UWPA's consulting Chief Dam Safety Engineer, John Kessler of Kessler and Associates, LLC, in accordance with the associated Dam Safety Surveillance Monitoring Plans and FERC's Engineering Guidelines, Chapter 14 – Monitoring the Performance of Dams – Appendix K, Revision 3 dated May 2017. Mr. Kessler's qualifications are included with this transmittal.

If you have any questions or need additional information, please call me at 209-736-9419, or our consultant John Kessler at 530-306-5920.

Sincerely,

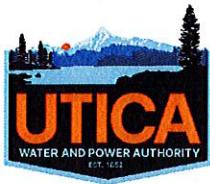
Erik Holt
General Manager

CEII Enclosures:

- Qualifications of John S. Kessler, P.E.
- Hunters Dam DSSMR
- Murphys Forebay Dams DSSMR
- Murphys Afterbay Dam DSSMR
- Ross Dam and Angels Penstock DSSMR

cc w/o enclosures:

Mr. John Kessler, P.E.
Kessler & Associates, LLC
2801 Shady Lane
Pollock Pines, CA 95726



1168 Booster Way | PO Box 358, Angels Camp, CA 95222

gm@uticawater.com | (209) 736-9419 | www.uticawater.com

Notice: The enclosures to this letter contain Critical Energy Infrastructure Information (CEII) and are not for public

April 15, 2026

Via U.S. Mail (2 copies & 1 Flash Drive)

Ms. Sharon Tapia, Chief
Division of Safety of Dams
CA Department of Water Resources
2720 Gateway Oaks Drive, # 300
Sacramento, CA 95833

**FERC P-2019 - Utica Hydroelectric Project
FERC P-2699 - Angels Hydroelectric Project**

Subject: Transmittal of the 2025 Dam Safety Surveillance Monitoring Reports

Dear Ms. Tapia:

This letter is to transmit Utica Power Authority's 2025 Dam Safety Surveillance Monitoring Reports (DSSMRs) for the following dams and facilities associated with the Utica and Angels Hydroelectric Projects:

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Sincerely,

Erik Holt
General Manager

CEII Enclosures:

- Qualifications of John S. Kessler, P.E.
- Hunters Dam DSSMR
- Murphys Forebay Dams DSSMR
- Murphys Afterbay Dam DSSMR
- Ross Dam and Angels Penstock DSSMR

cc w/o enclosures:

Mr. John Kessler, P.E.
Kessler & Associates, LLC
2801 Shady Lane
Pollock Pines, CA 95726