



REGULAR BOARD MEETING AGENDA

5:30 p.m., Tuesday, June 23, 2026
Utica Headquarters | 1168 Booster Way, Angels Camp, CA 95222

View the meeting agenda packet: www.uticawater.com/board-meetings

UTICA BOARD OF DIRECTORS

Caroline Schirato – Chair
Eric Bottomley – Vice Chair
Bruce Tallakson – Secretary
Alvin Broglio – Director
Gary Conrado – Director

ORDER OF BUSINESS

1. **Call to Order & Pledge of Allegiance**

2. **Roll Call**

3. **Approval of the Agenda**

4. **Public Comment**

Members of the public may address the Board on items not listed on the agenda. Comments are limited to five minutes. No action will be taken on items not on the agenda. The public is encouraged to work with staff to put items on the agenda for Board consideration.

5. **Consent Calendar**

These items are expected to be routine and non-controversial. Unless a Board member pulls an item, all items will be approved together at one time without discussion.

- A. Approve minutes from the April 2026 Regular Board Meeting (Walker)
- B. Approve minutes from the May 8, 2026, Special Board Meeting (Walker)

- C. Approve minutes from the May 20, 2026, Special Board Meeting (Walker)
- D. Accept Financial reports for April 2026 (Walker)
- E. Accept Generation report for April 2026 (Walker)
- F. Accept Financial reports for May 2026 (Walker)
- G. Accept Generation reports for May 2026 (Walker)
- H. Accept Operations Report April – May 2026 (Fields)
- I. Accept Operations Report May – June 2026 (Fields)
- J. Accept Water Conveyance Report (Rasmussen)
- K. Accept April FERC Exemption Billing Report (Sparks)
- L. Accept May FERC Exemption Billing Report (Sparks)
- M. Approval of Water Contractor Transfer of Service (Walker)

6. Regular Agenda

- A. Presentation, Discussion, and Action Regarding Utica’s Dam Safety Program, Updates to the Owner’s Dam Safety Plan (ODSP), and Adoption of Resolution 2026-06, Approving Updates to the ODSP and Reaffirming Commitment to Dam Safety
(John Kessler, CDSE, Kessler and Associates)(Erik Holt, General Manager)
Resolution 2026-06
- B. Discussion/Action regarding the Adoption of Resolution 2026-07, Approving an Updated Utica Water and Power Authority Strategic Plan
(Erik Holt, General Manager) **Resolution 2026-07**
- C. Discussion/Action regarding Transfer of Funds for Emergency TSV Repair at Murphys Powerhouse
(Erik Holt, General Manager)
- D. Discussion/Action regarding the adoption of Resolution 2026-08 Authorizing Applications for Grant Funding for the Darby Apple Fuels Reduction Project
(Erik Holt, General Manager)
Resolution 2026-08

7. Correspondence, Board / General Manager Reports, Future Agenda Items

- A. Correspondence
 - INCOMING: DSOD – No Comment regarding Utica submittal of DSSMR
 - INCOMING: FERC – Approval of Schedule Change for Part 12D Comprehensive Assessment and Periodic Inspection
 - INCOMING: FERC – Informing Thirteenth Part 12D Independent Consultant Report Due Date and Scope of Work
 - INCOMING: FERC – Response to Twelfth Part 12D Inspection re: Hunter Dam Supporting Technical Information Document
- B. General Manager Report

- C. Board reports
- D. Future agenda items

8. Closed Session

- A. Pursuant to Government Code § Section 54957(b)(1): Public Employee Performance Evaluation – Title: General Manager

9. Report Out of Closed Session

10. Upcoming Board Meetings and Calendar Events

- Regular Board Meeting, Tuesday, July 28, 2026, at 5:30 p.m.
- Regular Board Meeting, Tuesday, August 25, 2026, at 5:30 p.m.
- Regular Board Meeting, Tuesday, September 22, 2026, at 5:30 p.m.

11. Adjournment

Utica Mission Statement

We are committed to preserving local control of our water resources through sound fiscal and operational management, rigorous dam safety practices, and regulatory compliance, ensuring a safe and reliable water supply for municipal and agricultural stakeholders now and for future generations.

Americans With Disabilities Act

In compliance with Title II of the Americans with Disabilities Act, 28 CFR Part 36, if you need special assistance to participate in this meeting, please contact the Utica office at (209) 736-9419 or email your request to admin@uticawater.com. Notification in advance of the meeting will enable Utica to make reasonable accommodations to ensure accessibility to this meeting. Any materials distributed to the Board that relate to an item on the agenda are available at the Utica office 72 hours prior to the scheduled meeting.

Questions?

Contact Utica at admin@uticawater.com or (209) 736-9419.



Item 5A

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REGULAR BOARD MEETING MINUTES

5:30 p.m., Tuesday, April 28, 2026
Utica Headquarters | 1168 Booster Way, Angels Camp, CA 95222

View the meeting agenda packet: www.uticawater.com/board-meetings

UTICA BOARD OF DIRECTORS

Caroline Schirato – Chair
Eric Bottomley – Vice Chair
Bruce Tallakson – Secretary
Alvin Broglio – Director
Gary Conrado – Director

ORDER OF BUSINESS

1. Call to Order & Pledge of Allegiance

- The meeting was called to order at 5:30 p.m.

2. Roll Call

- Directors Present
 - Director Broglio
 - Director Bottomley
 - Director Tallakson
 - Director Conrado
- Directors Absent
 - Director Schirato
- Utica Staff Present
 - Erik Holt, General Manager
 - Stacie Walker, Clerk of the Board
 - Frank Fields, O&M Superintendent
 - Kyle Rasmussen, Conveyance Supervisor
- Others Present

- Luis Perez, CPA/consultant, Ryan Jolley CPAS (virtual)

3. Approval of the Agenda

Motion: Director Tallakson made a motion to approve the agenda

Second: Director Broglio

Board Discussion: None

Public Comment: None

Vote: 4-0-1

Ayes: Director Bottomley, Director Tallakson, Director Broglio, Director Conrado

Noes: None

Abstain: None

Absent: None

4. Public Comment

- None

5. Consent Calendar

A. Approve minutes from the March 2026 Special Board Meeting (Walker) – **Approved**

B. Accept Financial reports for March 2026 (Walker) – **Accepted**

C. Accept Generation report for March 2026 (Walker) – **Accepted**

D. Accept Operations Report (Fields) – **Accepted**

E. Accept Water Conveyance Report (Rasmussen) – **Accepted**

F. Accept FERC Exemption Billing Report (Sparks) – **Accepted**

G. Accept Quarterly Training Report (Sparks) – **Accepted**

H. Accept Quarterly Grants Report (Walker) – **Accepted**

Motion: Director Tallakson made a motion to approve all items on the consent calendar

Second: Director Broglio

Board Discussion: None

Public Comment: None

Vote: 4-0-1

Ayes: Director Bottomley, Director Tallakson, Director Broglio, Director Conrado

Noes: None

Abstain: None

Absent: None

6. Public Hearing

A. Information Report Regarding Status of Vacancies and Recruitment and Retention Efforts Pursuant to AB 2561
(Stacie Walker, Administrative Specialist)

- Vice Chair Bottomley opened the public hearing at 5:32 p.m.
- Ms. Walker gave a PowerPoint presentation that reviewed the recruitment and retention efforts of UWPA during 2025.
- The Chair invited public comment; hearing none, the comment period was closed at 5:40 p.m.

7. **Regular Agenda**

A. Discussion/Action regarding the FY 2024-2025 Financial Audit
(Erik Holt, General Manager)(Luis Perez, CPA, Bryant Jolley CPAs)

- The auditor presented the Fiscal Year financial audit results and reported that the financial statements were free of material misstatement and could be relied upon by users of the financial information. The auditor reviewed the District's financial position, including assets, liabilities, operating revenues and expenses, and noted the overall financial results for the year.

The auditor explained that non-current liabilities, including pension-related obligations, are based on actuarial calculations and may fluctuate based on assumptions and investment performance.

The auditor reported there were no deficiencies, reportable conditions, or compliance issues identified during the audit.

Director Bottomley asked about the net pension liability and whether the amount represented funds contributed toward employee pensions. The auditor explained that the calculation is a complex actuarial estimate prepared using assumptions established by the state and is updated periodically.

Motion: Director Broglio made a motion to accept and file the financial audit for Fiscal Year 2024-2025

Second: Director Tallakson

Board Discussion: None

Public Comment: None

Vote: 4-0-1

Ayes: Director Bottomley, Director Tallakson, Director Broglio, Director Conrado

Noes: None

Abstain: None

Absent: None

B. Discussion/Action regarding Ad Hoc Resources Committee recommendation for irrigation contractor's rates for the 2026-27 Irrigation Season.
(Erik Holt, General Manager)

- Mr. Holt reported that he met with the Resource Committee and recommended maintaining the current irrigation contractor rates for the upcoming season. He noted that rates have increased steadily over approximately the past nine years and that holding rates would provide an opportunity to re-engage with customers and assess the program. The Resource Committee supported the recommendation to maintain the existing rates.

Motion: Director Tallakson made a motion to approve the addendums to irrigation contractor agreements for the 2026-2027 irrigation season and authorize the General Manager to execute agreements.

Second: Director Conrado

Board Discussion: None

Public Comment: None

Vote: 4-0-1

Ayes: Director Bottomley, Director Tallakson, Director Broglio, Director Conrado

Noes: None

Abstain: None

Absent: None

C. Discussion regarding Ad Hoc Capital Improvement Plan (CIP) Committee Update
(Erik Holt, General Manager)

- Mr. Holt provided an update from the Ad Hoc CIP Committee and reported that the Committee will bring forward a more comprehensive CIP discussion following completion of the Strategic Plan and an additional Committee meeting. He noted that preliminary CIP priorities were identified for inclusion in the draft budget and emphasized the need for continued infrastructure investment.

Mr. Holt reviewed preliminary CIP projects, including Murphys Powerhouse Relays, Hunters Dam Concrete Comprehensive Study, Murphys Powerhouse Rewind Scoping, Angels Penstock Scoping, and the Darby Knob Tunnel Project, representing approximately \$450,000 in proposed FY 2026-27 investment.

The Board discussed project prioritization, costs, and the need for additional technical evaluations. Mr. Holt noted that several projects require further analysis to determine scope, timing, and funding needs.

D. Discussion regarding Budget Committee Update
(Erik Holt, General Manager)

- Mr. Holt reported that the Budget Committee reviewed the proposed budget line items and recommended including a 3% Cost of Living Adjustment (COLA). He noted that the CPI-U referenced in the General Manager's contract was 3.3%.

Motion: Director Tallakson made a motion to approve a 3% COLA for the FY 2026-2027

Second: Director Broglio

Board Discussion: None

Public Comment: None

Vote: 4-0-1

Ayes: Director Bottomley, Director Tallakson, Director Broglio, Director Conrado

Noes: None

Abstain: None

Absent: None

The Board also discussed the Hunter's rental rate. It was noted that the current tenants are well-established, maintain the property, and work to support Utica operations.

Motion: Director Broglio made a motion leave the Hunter's rental rate unchanged

Second: Director Tallakson

Board Discussion: None

Public Comment: None

Vote: 4-0-1

Ayes: Director Bottomley, Director Tallakson, Director Broglio, Director Conrado

Noes: None

Abstain: None

Absent: None

E. Discussion/Direction regarding Strategic Plan Review
(Erik Holt, General Manager)

- Item 7E was moved to be heard with the General Manager's report.

8. Correspondence, Board / General Manager Reports, Future Agenda Items

A. Correspondence

- Outgoing – To FERC Requesting Extension of Time on Part 12D Comprehensive Inspection
 - One consultant would not bid on the Part 12D because of complications associated with the Exemption and suggested requesting a change to the Part 12D schedule. Mr. Holt will email the Board when he gets an answer from FERC.
- Outgoing – To FERC Transmittal of DSSMRs (public cover page)

- Ms. Sparks, in her new role, did a great job. The Chief Dam Safety Engineer reviewed the reports, and they were submitted.
- Outgoing – To DSOD Transmittal of DSSMRs (public cover page)

B. General Manager Report

- ODSP – Updates to the Operations and Dam Safety Plan (ODSP) are nearing completion and are expected to be brought forward for Board adoption next month, along with the annual presentation and affirmation.
- Budget – Preliminary budget projections indicate the District is approximately \$40,000 under budget for FY 2025–26.
- Office Space – Facility improvements have been completed, including new carpeting, paint, and flooring.
- Security / ADT System – Board-approved security upgrades have been implemented, including mobile access controls, cameras, and motion detection systems.
- IT Assessment – A three-day IT assessment was completed at a cost of approximately \$3,200. The system was generally found to be secure, with minor policy updates recommended.
- FERC – A meeting is scheduled to discuss the FERC exemption application and Part 12D requirements.
- TSV Valve Project – Work on the TSV valve project is underway. The project remains generally on budget but behind schedule, resulting in revised timing and associated revenue impacts. Estimated combined repair and lost generation impacts are approximately \$350,000. Insurance coordination is ongoing.
- Ongoing Items:
 - Reserve Policy revisions will be brought forward in alignment with the preliminary budget for Board review and adoption.
 - Budget development remains in progress, including refinement of assumptions and policies.
 - Outage scheduling is being coordinated to align with operational and contractual requirements.

C. Board reports

- COA
 - The City Administrator is doing a good job, and staff seems happy
 - Meter replacements project is underway – upgrading to wifi meters will save staff time

- Sewer Project is almost complete
- CalTrans has resumed the Highway 49 project
- Public works – working hard to keep vegetation under control
- UPUD
 - Draft Connection and Capacity Fee presentation was given by the engineer. No decisions were made
 - Treatment Plant Upgrades to the filter media and electrical control board are set to go online in May.
 - Catematri Reservoir health has improved with the addition of aeration.
- At large
 - None

D. Future agenda items

9. Upcoming Board Meetings and Calendar Events

- Special Meeting set for 1 p.m. on May 8, 2026, to discuss the FY 2026-2027 Budget Development.

The Board discussed the upcoming meeting schedule and set the meetings:

- Special Board Meeting, Friday, May 8, 2026, at 5:30 p.m
- Special Board Meeting, Wednesday, May 20, 2026, at 3:00 p.m.
- Regular Board Meeting, Tuesday, May 26, 2026, at 5:30 p.m..
- Regular Board Meeting, Tuesday, June 23, 2026, at 5:30 p.m.

10. Adjournment

Motion: Director Broglio made a motion to adjourn the meeting at 7:07 p.m.

Second: Director Tallakson

Board Discussion: None

Public Comment: None

Vote: 4-0-1

Ayes: Director Bottomley, Director Broglio, Director Tallakson, Director Conrado

Noes: None

Abstain: None

Absent: None

- The meeting was adjourned at 7:07 p.m.

Utica Mission Statement

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Questions?

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DRAFT



Item 5B

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SPECIAL BOARD MEETING MINUTES

1:00 p.m., Friday, May 8, 2026

Utica Headquarters | 1168 Booster Way, Angels Camp, CA 95222

View the meeting agenda packet: www.uticawater.com/board-meetings

UTICA BOARD OF DIRECTORS

Caroline Schirato – Chair
Eric Bottomley – Vice Chair
Bruce Tallakson – Secretary
Alvin Broglio – Director
Gary Conrado – Director

ORDER OF BUSINESS

1. Call to Order & Pledge of Allegiance

- The meeting was called to order at 1:05

2. Roll Call

- Directors Present
 - Director Schirato
 - Director Broglio
 - Director Bottomley
 - Director Tallakson
 - Director Conrado
- Utica Staff Present
 - Erik Holt, General Manager
 - Stacie Walker, Clerk of the Board
 - Frank Fields, O&M Superintendent
 - Kyle Rasmussen, Conveyance Supervisor
- Others Present

- Tom Quincy, UPUD, Board Director
- Jessica Self, UPUD, General Manager
- Joe Darby, UPUD, Operations Manager
- Mike Hodson, City of Angels, City Administrator

3. Approval of the Agenda

Motion: Director Bottomley made a motion to approve the agenda

Second: Director Tallakson

Board Discussion: None

Public Comment: None

Vote: 5-0-0

Ayes: Director Schriato, Director Tallakson, Director Bottomley, Director Broglio, Director Conrado

Noes: None

Abstain: None

Absent: None

4. Public Comment

- None

5. Regular Agenda

- A. Discussion regarding the Utica Water and Power Authority Fiscal Year 2026-2027 Preliminary Budget
(Erik Holt, General Manager)

General Manager Erik Holt presented the preliminary FY 2026–2027 budget, which includes a proposed 3% COLA and no increases to rental or agricultural rates. Mr. Holt explained that the budget was developed using the reserve policy and CIP Committee recommendations as baseline assumptions. He noted that improved hydrologic conditions shifted the projected water year designation from WY 4 to WY 3, increasing projected revenues by approximately \$350,000. Total projected revenues were estimated at approximately \$2.431 million.

Mr. Holt reviewed operational and accounting changes intended to improve cost tracking and transparency and outlined several priority CIP projects, including Murphys Powerhouse relay upgrades, Hunters Dam assessment work required by DSOD, generator rehabilitation evaluation at Murphys Powerhouse, Angels Penstock repair engineering review, and a tunnel feasibility study intended to reduce long-term conveyance risks. Including CIP expenditures and reserve contributions, total projected expenditures were estimated at approximately \$2.946 million, resulting in a projected shortfall of approximately \$515,526. Mr.

Holt emphasized that many of the identified needs are tied to deferred maintenance, regulatory requirements, and aging infrastructure, and stated that the preliminary budget reflects minimum operational and compliance needs.

The Board discussed options for addressing the projected deficit, including reducing reserve contributions, delaying select CIP projects, pursuing grants, increasing revenues, and exploring long-term financing options such as USDA or private infrastructure loans. Directors also discussed historical underfunding of infrastructure needs and the financial limitations created by Proposition 218 requirements.

During discussion of member agency financial constraints, representatives from Union Public Utility District (UPUD) explained that ongoing litigation matters and potential customer refund obligations would be paid using its Utica reserve funds, limiting UPUD's ability to contribute additional funding beyond currently budgeted amounts.

The Board expressed interest in further evaluating long-term financing options and directed staff to continue refining the preliminary budget for future consideration and look into long-term loans.

6. Upcoming Board Meetings and Calendar Events

- Special Board Meeting, Wednesday, May 20, 2026, at 3:00 p.m.
- Regular Board Meeting, Tuesday, May 26, 2026, at 5:30 p.m.
- Regular Board Meeting, Tuesday, June 23, 2026, at 5:30 p.m.
- Regular Board Meeting, Tuesday, July 28, 2026, at 5:30 p.m.

7. Adjournment

Motion: Director Broglio made a motion to adjourn the meeting at 2:43 p.m.

Second: Director Tallakson

Board Discussion: None

Public Comment: None

Vote: 5-0-0

Ayes: Director Schirato, Director Broglio, Director Tallakson, Director Bottomley, Director Conrado

Noes: None

Abstain: None

Absent: None

- The meeting was adjourned at 2:43 p.m.

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Questions?

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Item 5C

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SPECIAL BOARD MEETING MINUTES

3:00 p.m., Wednesday, May 20, 2026
Utica Headquarters | 1168 Booster Way, Angels Camp, CA 95222

View the meeting agenda packet: www.uticawater.com/board-meetings

UTICA BOARD OF DIRECTORS

Caroline Schirato – Chair
Eric Bottomley – Vice Chair
Bruce Tallakson – Secretary
Alvin Broglio – Director
Gary Conrado – Director

ORDER OF BUSINESS

1. Call to Order & Pledge of Allegiance

- The meeting was called to order at 3:00 p.m.

2. Roll Call

- Directors Present
 - Director Schirato
 - Director Broglio
 - Director Bottomley
 - Director Tallakson
 - Director Conrado
- Utica Staff Present
 - Erik Holt, General Manager
 - Stacie Walker, Clerk of the Board
 - Frank Fields, O&M Superintendent
 - Kyle Rasmussen, Conveyance Supervisor
 - Brandi Sparks, Administrative Compliance Specialist
- Others Present

- Mike Hodson, City of Angels, City Manager
- Mike Perano, UPUD Customer
- Julie Hollars, UPUD Customer
- Gail Bunge, UPUD Customer

3. Approval of the Agenda

Motion: Director Tallakson made a motion to approve the agenda

Second: Director Bottomley

Board Discussion: None

Public Comment: None

Vote: 5-0-0

Ayes: Director Schriato, Director Tallakson, Director Bottomley, Director Broglio, Director Conrado

Noes: None

Abstain: None

Absent: None

4. Public Comment

- None

5. Regular Agenda

A. Discussion/Action regarding the Adoption of the Fiscal Year 2026-2027 Budget (Erik Holt, General Manager) **Resolution 2026-05**

- Mr. Holt provided a presentation regarding the budget development process, historical funding, current financial conditions, and long-term infrastructure needs. He noted that the only recommended change to the preliminary budget was removal of the \$25,000 Darby Knob feasibility study, reducing the projected deficit to \$490,526.86.

Mr. Holt reviewed UWPA's historical financial structure, including the role of hydropower revenues, member agency contributions, and the impact of water year variability on revenue. He emphasized that the hydroelectric facilities are long-term assets requiring ongoing maintenance and investment.

Mr. Holt reviewed the upcoming Capital Improvement Projects, including infrastructure needs and the Angels Penstock. Director Bottomley asked about the status of the penstock assessment. Kyle Rasmussen, Conveyance Supervisor, explained that the penstock was installed in 1940 and that the required assessment process began in 2017. He noted that the initial assessment was not fully funded, resulting in a partial assessment and the need for additional investigation. Mr. Rasmussen stated that a subsequent assessment by GEI identified that approximately one-third of the penstock may

require replacement. Mr. Holt stated that UWPA understands the condition of the asset but continues to evaluate repair options.

Mr. Holt addressed public concerns regarding the proposed funding request from JPA Member Agencies and stated that recent information had created confusion regarding the nature of the funding obligation. He clarified that the proposed contribution is an interagency funding obligation, and not a direct 73% increase to customer utility rates. Mr. Holt stated that transparency and public trust were priorities and reviewed prior funding studies and the history of the five-year funding agreement, which has not been executed.

Mr. Holt discussed current financial conditions, including the Murphys Powerhouse outage and associated repair costs, and explained that deferred maintenance has contributed to current infrastructure challenges. He reviewed reserve levels and noted that Utica plans to use some reserves to cover the cost of repairs to Murphys Powerhouse, noting that other reserves are funded to support dam safety and expensive regulatory requirements.

Mr. Holt reiterated that UWPA is a separate public agency and discussed the risks associated with operating at minimum funding levels, emphasizing the need to maintain critical infrastructure and dam safety.

Board Discussion

The Board discussed the budget, long-term infrastructure needs, funding strategies, and coordination between UWPA and its member agencies.

Director Bottomley expressed support for evaluating long-term financing options for major infrastructure projects rather than continuing to address significant needs incrementally. He emphasized the importance of long-term planning and communication between agency leadership and Boards.

Discussion occurred regarding public communication of the proposed funding request. Mr. Holt clarified that the request represented an interagency contribution obligation and not a direct customer rate increase. The Board discussed the importance of clear communication regarding UWPA funding needs and financial obligations.

The Board discussed historical funding levels and prior rate studies, noting that studies over the past decade have identified the need for increased funding for UWPA operations and infrastructure needs.

The Board discussed the role of member agency General Managers in the UWPA budget process. Directors expressed differing perspectives regarding the appropriate level of member agency involvement and coordination in UWPA budget development.

Mr. Holt explained UWPA's long-term funding approach, including the role of the JPA structure in providing sustainable funding for UWPA operations and infrastructure. He discussed the need for member agencies to fund approved UWPA budgets and address budget shortfalls in accordance with the JPA agreement. Mr. Holt also explained a new approach for critical infrastructure projects, utilizing reserves for projects during lower water years when revenue generation is reduced. He noted that even during high revenue water years, UWPA continues to operate with a deficit and emphasized the need to address deferred maintenance and critical infrastructure needs.

The Board discussed potential financing options, including long-term loans, for future capital projects. It was noted that while financing options may be evaluated for future infrastructure needs, they would not address the immediate budget-year funding requirement. The Board discussed the need to proceed with the current budget process while continuing to evaluate long-term funding strategies within the existing JPA framework.

Public Comment

The Chair opened the meeting for public comment. Comments were limited to three minutes per speaker.

Joe Darby provided comments as a representative of Union Public Utility District and as a ratepayer. Mr. Darby discussed the status of UPUD's Utica reserve funds and stated that fluctuations in the reserve account are impacted by customer delinquencies, timing of quarterly contributions, and outstanding customer payments. He explained that UWPA contributions and interest are deposited into the account, and funds are drawn as needed. Mr. Darby stated that additional information regarding the account is available through UPUD staff. Mr. Darby also commented as a resident and ratepayer, expressing concern regarding the funding of UWPA reserves. He questioned the need for funding reserves while member agency reserves are being utilized to fund the budget.

Julie Hollars expressed concerns regarding potential litigation costs at UPUD, future financial obligations, and the impact on ratepayers. She stated concerns regarding affordability, irrigation rates, and the community's ability to absorb increased costs. Ms. Hollars encouraged the agencies to work together and maintain civility while addressing the issues.

Mike Hodson commented on his experience working with Mr. Holt and stated that he had received additional information regarding UWPA operations and infrastructure. Mr. Hodson expressed concern regarding the consequences of deferred maintenance and potential impacts if critical infrastructure issues are not addressed.

Mike Perrano expressed concern regarding the use of UPUD reserves and potential future financial obligations, including possible litigation costs and

uncertainty regarding regulatory outcomes. He questioned how future costs would be addressed if reserves were reduced.

Board and Staff Response Following Public Comment

Following public comment, Mr. Holt and the Board addressed questions and concerns raised by the speakers regarding litigation, reserves, funding obligations, and the budget.

Mr. Holt clarified that UWPA is not a party to the referenced litigation and explained that UWPA reserves are intended to support UWPA operations and infrastructure needs. He stated that reducing funding to a level that prevents UWPA from operating or maintaining staffing would create significant operational challenges. Mr. Holt emphasized the need for the JPA to adopt a balanced budget within the required timeframe.

Discussion occurred regarding the use of UWPA reserves, potential litigation costs, and member agency obligations. Director Conrado stated that, based on legal guidance received, UWPA reserves would not be used for litigation-related expenses. Mr. Darby clarified his understanding that litigation-related costs would not be paid by UPUD's Utica Reserve.

Director Broglio asked whether member agency reserve funds could be used to address the current budget shortfall and discussed the need for direction regarding the immediate funding requirement. Director Bottomley stated he was not in a position to make that decision independently. The Board discussed the need to proceed with the budget process and determine appropriate funding actions.

Motion: Director Broglio made a motion to adopt the FY 2026-2027 budget at a \$490,526.86 deficit to be split in half by each member agency.

Second: Director Schirato

Board Discussion: The Board discussed concerns that the proposed deficit exceeded the amount available from UPUD's UWPA reserves. Following discussion, the Board considered reducing UWPA's budgeted reserve contribution by \$50,000 as a means of reducing the immediate funding requirement.

MOTION WAS WITHDRAWN

The Board continued discussing funding availability at each member agency.

Motion: Director Broglio made a motion to adopt Resolution 2026-05, adopting the Fiscal Year 2026-2027

Second: Director Schirato

Board Discussion: None

Poll the Board:

Director Schirato – Aye

Director Broglio – Aye
Director Bottomley – No
Director Tallakson – No
Director Conrado – Aye
Vote: 3-2-0

6. Upcoming Board Meetings and Calendar Events

- Regular Board Meeting, Tuesday, May 26, 2026, at 5:30 p.m.
 - The Board noted potential attendance conflicts
- Regular Board Meeting, Tuesday, June 23, 2026, at 5:30 p.m.
- Regular Board Meeting, Tuesday, July 28, 2026, at 5:30 p.m.

7. Adjournment

Motion: Director Tallakson made a motion to adjourn the meeting at 4:39 p.m.

Second: Director Broglio

Board Discussion: None

Vote: 5-0-0

Ayes: Director Schirato, Director Broglio, Director Tallakson, Director Bottomley, Director Conrado

Noes: None

Abstain: None

Absent: None

- The meeting was adjourned at 4:39 p.m.

Utica Mission Statement

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Americans With Disabilities Act

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Questions?

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Item 5D

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UTICA WATER AND POWER AUTHORITY

Date: May 26, 2026
To: Erik Holt, General Manager
From: Stacie Walker, Administrative Specialist
Re: Financial Report for April 2026

Power Generation and Revenue:

In April 2026, Angels Powerhouse (APH) generated \$38,765 in revenue. Murphys Powerhouse (MPH) did not generate. The preliminary net revenue is \$38,765 for both powerhouses. However, MPH is subject to the Resource Adequacy Availability Incentive Mechanism (RAAIM) adjustments, which can result in additional revenue or reduced payment. Due to MPH remaining offline for the entire month of April, power sales were reduced by \$6,068, leaving a combined net revenue of \$33,585 for both powerhouses.

Murphys Powerhouse was taken offline on March 11, 2026, due to mechanical issues with the turbine spherical valve (TSV) requiring repair. As a result, MPH could generate.

Water Sales and Irrigation:

NCPA purchased 937 acre-feet (AF) of raw water resulting in an additional \$39,980

Contractors for the 2025-2026 Regular Irrigation Season have been paid in full. Six Contractors have requested offseason water for irrigation use. Offseason water has no minimum use and will be billed in one-acre-foot increments, and invoicing will be generated in May. Contract addendums for the 2026-2027 Irrigation Season were approved by the Board of Directors and sent to irrigators for signatures.

JPA Member Contributions:

Joint Powers Authority member contributions are determined by the Utica Board of Directors, in coordination with the full JPA membership, during the annual budget development cycle. Each JPA member agency will pay \$407,000 in FY 2025-2026, per the June 24, 2025, Board Adopted Budget – invoiced each quarter to each entity for \$101,750. Due to accounting differences, Union Public Utility District (UPUD) is invoiced at the end of each quarter, while City of Angels (COA) is invoiced at the beginning. UPUD's third-quarter invoice was issued on March 1 and remains outstanding. COA's final payment for FY 2025-2026 was received on April 2.

Interest Income:

The Authority's funds are held in the Bank of Stockton's interest-bearing checking and money market accounts. These accounts earned \$5,513.97 in interest income in April 2026.

Utica Water and Power Authority

Budget vs. Actuals: FY 2025-2026 - FY26 P&L

July 2025 - June 2026

	TOTAL			
	ACTUAL	BUDGET	OVER BUDGET	% OF BUDGET
Income				
1200 Operating Income				
1200-01 NCPA Water Sales	320,730.00	0.00	320,730.00	
1200-02 COA Water Agreement	407,000.00	407,000.00	0.00	100.00 %
1200-03 UPUD Water Agreement	203,500.00	407,000.00	-203,500.00	50.00 %
1200-04 Irrigation Water Contractors	46,146.01	54,000.00	-7,853.99	85.46 %
1200-05 1200-05 Slurry Line / CCWD Wheeling Fees		1,000.00	-1,000.00	
Total 1200 Operating Income	986,626.01	869,000.00	117,626.01	113.54 %
1210 Power Sales				
1210-01 Angels Powerhouse - Power Sales	425,654.42	576,681.00	-151,026.58	73.81 %
1210-02 Murphys Powerhouse - Power Sales	703,461.73	1,124,321.00	-420,859.27	62.57 %
Total 1210 Power Sales	1,129,116.15	1,701,002.00	-571,885.85	66.38 %
1220 Other Income				
1220-01 Other Income	3,727.50	0.00	3,727.50	
Total 1220 Other Income	3,727.50	0.00	3,727.50	
1300 Interest Income				
1300-01 Interest Income	2,232.76		2,232.76	
Total 1300 Interest Income	2,232.76		2,232.76	
1310 Rent Income				
1310-01 Sierra HOPE Lease Agreement	44,890.52	81,270.00	-36,379.48	55.24 %
1310-02 Crown Castle MFB Tower Lease Agreement	2,232.76		2,232.76	
1310-03 Hunter Reservoir Rental House	44,295.45	27,847.00	16,448.45	159.07 %
1310-04 Cal.Net MFB Tower Lease Agreement	12,988.58	14,169.00	-1,180.42	91.67 %
Total 1310 Rent Income	65,458.03	66,764.00	-1,305.97	98.04 %
1340 Other Non-Operating Income				
1340-01 COA Sierra Hydrographics Pass Through	4,400.00	5,070.00	-670.00	86.79 %
1340-02 Other Income	10,190.00		10,190.00	
Total 1340 Other Non-Operating Income	14,590.00	5,070.00	9,520.00	287.77 %
1350 Reserve Income				
1350-01 FERC Relicensing / Exemption Reserve (transfer in)	400,500.00	400,500.00	0.00	100.00 %
1350-03 FERC Five Year Plan Reserve	40,000.00	40,000.00	0.00	100.00 %
1350-04 FERC 10 Year Plan Reserve	10,000.00	10,000.00	0.00	100.00 %
Total 1350 Reserve Income	450,500.00	450,500.00	0.00	100.00 %
1400 Grant Funding				
1400-01 Hunter Reservoir Fuels Reduction Project	500,210.33	557,941.00	-57,730.67	89.65 %
1400-02 Lower Utica Canal Wastegates and Gaging Stations Project	25,478.10	25,458.00	20.10	100.08 %
1400-06 Utica and Angels Canal Lining (USBR WaterSMART)	29,881.90	28,000.00	1,881.90	106.72 %
1400-10 Utica Grants Administration (% of grant award)	40,274.25		40,274.25	
Total 1400 Grant Funding	595,844.58	611,399.00	-15,554.42	97.46 %
1500 Disaster Relief Reimbursements				
1500-01 FEMA 75% (New Year's Storm)		0.00	0.00	

	TOTAL			
	ACTUAL	BUDGET	OVER BUDGET	% OF BUDGET
1500-02 Cal OES 75% of 25% Remainder (New Year's Storm)		0.00	0.00	
Total 1500 Disaster Relief Reimbursements		0.00	0.00	
Total Income	\$3,293,033.05	\$3,785,005.00	\$ -491,971.95	87.00 %
GROSS PROFIT	\$3,293,033.05	\$3,785,005.00	\$ -491,971.95	87.00 %
Expenses				
3000 Wages, Benefits & Burden				
3100 Power				
3101 Power Wages				
3101-01 Operations & Maintenance Superintendent	88,546.89	120,897.00	-32,350.11	73.24 %
3101-02 Hydroelectric Operator	63,380.05	78,853.00	-15,472.95	80.38 %
3101-03 Hydroelectric Operations Technician	75,200.24	84,906.00	-9,705.76	88.57 %
3101-04 On-Call	8,750.00	18,450.00	-9,700.00	47.43 %
3101-05 Hydro Management Leave	4,614.00	4,614.00	0.00	100.00 %
3101-10 Overtime	5,988.04	12,000.00	-6,011.96	49.90 %
Total 3101 Power Wages	246,479.22	319,720.00	-73,240.78	77.09 %
3102 Power Burden				
3102-01 Social Security, Medicare, State Unemployment Ins.	24,136.78	26,025.00	-1,888.22	92.74 %
3102-03 Workers Comp	10,113.64	15,823.00	-5,709.36	63.92 %
Total 3102 Power Burden	34,250.42	41,848.00	-7,597.58	81.84 %
3103 Power Benefits				
3103-01 Health Insurance - SDRMA	63,406.08	69,574.00	-6,167.92	91.13 %
3103-02 Health Reimbursement Account - EDIS	11,480.79	10,000.00	1,480.79	114.81 %
3103-03 Dental/Vision/Chiro/Life Insurance - Choice Builder	4,816.32	3,895.00	921.32	123.65 %
3103-04 CalPERS Pension	20,633.51	22,659.00	-2,025.49	91.06 %
3103-06 CalPERS Pension Unfunded Liability	8,270.80	8,548.00	-277.20	96.76 %
Total 3103 Power Benefits	108,607.50	114,676.00	-6,068.50	94.71 %
Total 3100 Power	389,337.14	476,244.00	-86,906.86	81.75 %
3200 Water				
3201 Water Wages				
3201-01 Water Conveyance Supervisor	70,493.46	103,459.00	-32,965.54	68.14 %
3201-02 Senior Water Conveyance Operator	67,371.41	82,846.00	-15,474.59	81.32 %
3201-03 General Manager	112,851.32	153,192.00	-40,340.68	73.67 %
3201-04 Administrative Compliance Specialist	54,387.74	61,589.00	-7,201.26	88.31 %
3201-05 Administrative Specialist, Clerk of the Board	57,706.87	67,995.00	-10,288.13	84.87 %
3201-06 Hydroelectric Relief Operator and Conveyance Operator	60,938.01	75,046.00	-14,107.99	81.20 %
3201-09 IT Administrator	22,888.38	28,117.00	-5,228.62	81.40 %
3201-10 Overtime	3,716.68	10,000.00	-6,283.32	37.17 %
3201-11 Conveyance Management Leave		3,979.00	-3,979.00	
3201-12 Administration Management Leave	5,892.00	5,892.00	0.00	100.00 %
Total 3201 Water Wages	456,245.87	592,115.00	-135,869.13	77.05 %
3202 Water Burden				
3202-01 Social Security, Medicare, State Unemployment Ins.	44,598.30	48,017.00	-3,418.70	92.88 %
3202-03 Workers Comp - Ops, GM, and Office Staff	15,170.46	24,292.00	-9,121.54	62.45 %
Total 3202 Water Burden	59,768.76	72,309.00	-12,540.24	82.66 %
3203 Water Benefits				
3203-01 Health Insurance - SDRMA	106,569.67	150,384.00	-43,814.33	70.87 %
3203-02 Health Reimbursement Account - EDIS	15,092.70	15,000.00	92.70	100.62 %

	TOTAL			
	ACTUAL	BUDGET	OVER BUDGET	% OF BUDGET
3203-03 Dental/Vision/Chiro/Life Insurance - ChoiceBuilder	6,525.00	6,520.00	5.00	100.08 %
3203-04 CalPERS Pension	35,529.85	45,551.00	-10,021.15	78.00 %
3203-06 CalPERS Pension Unfunded Liability	12,606.20	12,821.00	-214.80	98.32 %
Total 3203 Water Benefits	176,323.42	230,276.00	-53,952.58	76.57 %
Total 3200 Water	692,338.05	894,700.00	-202,361.95	77.38 %
Total 3000 Wages, Benefits & Burden	1,081,675.19	1,370,944.00	-289,268.81	78.90 %
4000 Conveyance Maintenance and Supplies				
4000-26 Angels Canal Maintenance and Supplies	145.58		145.58	
Total 4000 Conveyance Maintenance and Supplies	145.58		145.58	
4100 Maintenance & Repair				
4100-01 Road Maintenance	2,620.00	5,408.00	-2,788.00	48.45 %
4100-02 Flume Maintenance and Supplies	117,873.86	103,000.00	14,873.86	114.44 %
4100-05 Property Maintenance	11,016.77	5,408.00	5,608.77	203.71 %
4100-06 Fuel for Vehicles and Equipment	14,724.13	18,000.00	-3,275.87	81.80 %
4100-07 Maintenance for Vehicles and Equipment	7,524.39	7,718.00	-193.61	97.49 %
4100-08 Temporary Labor	17,794.78	35,000.00	-17,205.22	50.84 %
4100-09 Powerhouses Maintenance and Supplies	12,884.51	13,230.00	-345.49	97.39 %
4100-10 Gaging Station Maintenance	1,658.41	6,000.00	-4,341.59	27.64 %
4100-11 Misc Supplies and Repairs	10,171.90	11,576.00	-1,404.10	87.87 %
4100-14 Dam and Spillway Maintenance	10,265.98	15,000.00	-4,734.02	68.44 %
4100-15 Owners Dam Safety Plan Audit - Mandated Actions	154.89		154.89	
4100-16 Irrigation Maintenance (Not Meter Replacement)	438.15	2,100.00	-1,661.85	20.86 %
4100-17 Cal Fire	7,954.39		7,954.39	
Total 4100 Maintenance & Repair	215,082.16	222,440.00	-7,357.84	96.69 %
4110 Misc. Supplies & Services				
4110-01 Office Services, Supplies, Equipment, Misc.	11,374.04	11,576.00	-201.96	98.26 %
4110-02 Employee Uniforms, Boots, PPE	1,466.26	5,150.00	-3,683.74	28.47 %
4110-04 Headquarters Cleaning	1,565.00	1,950.00	-385.00	80.26 %
Total 4110 Misc. Supplies & Services	14,405.30	18,676.00	-4,270.70	77.13 %
4120 Leases & Subscriptions				
4120-02 Sierra Pacific Industries Lease	250.00	250.00	0.00	100.00 %
4120-03 Office Toshiba Copier Lease	3,204.21	2,336.00	868.21	137.17 %
4120-04 Software Subscriptions	7,028.57	8,755.00	-1,726.43	80.28 %
4120-06 Wylderidge Tower Lease NCPA	2,800.00	2,800.00	0.00	100.00 %
Total 4120 Leases & Subscriptions	13,282.78	14,141.00	-858.22	93.93 %
4200 Property & Liability Insurance				
4200-01 ACWA/JPIA Auto & General Liability	37,275.20	37,180.00	95.20	100.26 %
4200-02 ACWA/JPIA Property Insurance	54,064.47	73,332.00	-19,267.53	73.73 %
Total 4200 Property & Liability Insurance	91,339.67	110,512.00	-19,172.33	82.65 %
4200-03 ACWA / JPIA Cyber Liability	1,137.00	1,087.00	50.00	104.60 %
4300 Memberships				
4300-01 Association California Water Agencies	14,600.00	14,663.00	-63.00	99.57 %
4300-02 Tuolumne-Stanislaus Integrated Regional Water Management WAC	200.00	220.00	-20.00	90.91 %
4300-03 Mountain Counties Water Resources Association	1,443.00	1,497.00	-54.00	96.39 %
4300-04 Calaveras Historical Society		50.00	-50.00	
4300-05 California Special District Association	1,872.00	1,936.00	-64.00	96.69 %

	TOTAL			
	ACTUAL	BUDGET	OVER BUDGET	% OF BUDGET
4300-06 Amazon Prime	140.29	200.00	-59.71	70.15 %
4300-07 Farm Bureau - Calaveras Chapter	200.00	150.00	50.00	133.33 %
4300-08 Murphys Community Park Association		75.00	-75.00	
Total 4300 Memberships	18,455.29	18,791.00	-335.71	98.21 %
4400 Professional/Special. Services				
4400-03 Chief Dam Safety Engineer	9,140.00	15,000.00	-5,860.00	60.93 %
4400-05 Murphys/Angels Powerhouse Inspection Reports	3,405.00	10,400.00	-6,995.00	32.74 %
4400-07 SCADA and PLC Programming	8,750.00	8,320.00	430.00	105.17 %
4400-08 Certified Public Accountant	625.00	2,808.00	-2,183.00	22.26 %
4400-09 Annual Audit	14,000.00	14,000.00	0.00	100.00 %
4400-10 General Legal Counsel	19,866.41	22,204.00	-2,337.59	89.47 %
4400-11 FERC Exemption Legal Counsel	9,550.00	20,000.00	-10,450.00	47.75 %
4400-12 FERC Exemption General Services	36,158.35	50,000.00	-13,841.65	72.32 %
4400-14 FERC Regulatory Compliance	1,570.65	50,000.00	-48,429.35	3.14 %
4400-16 FERC Exemption Consultants	296,780.94	330,500.00	-33,719.06	89.80 %
4400-20 Hydrology Analysis Services	14,525.00	25,380.00	-10,855.00	57.23 %
4400-21 Community Education/Outreach	2,728.08	5,200.00	-2,471.92	52.46 %
4400-24 Grant Writing/Eng./Finance/Mgmt		5,000.00	-5,000.00	
4400-28 Website Maintenance and Support	5,524.00	6,000.00	-476.00	92.07 %
4400-29 GIS Mapping	843.75	5,200.00	-4,356.25	16.23 %
4400-31 Recruitment	5,349.32	1,000.00	4,349.32	534.93 %
4400-32 Water Rights Protection & Reporting	5,239.52	10,000.00	-4,760.48	52.40 %
4400-33 Human Resources Consulting Support	1,200.00	1,500.00	-300.00	80.00 %
4400-34 Revenue Meter Maintenance - CAISO	5,990.00	6,300.00	-310.00	95.08 %
4400-36 Water Rights Legal Counsel	11,336.85		11,336.85	
Total 4400 Professional/Special. Services	452,582.87	588,812.00	-136,229.13	76.86 %
4500 Governmental & Other Fees				
4500-02 FERC Annual Admin & Land Charge		11,000.00	-11,000.00	
4500-03 Dept Water Resources Dam Fees	70,244.00	75,920.00	-5,676.00	92.52 %
4500-04 WREGIS Annual Fee	75.00		75.00	
4500-05 Powersoft Billing Software (MPH & APH)	500.00		500.00	
4500-06 County Taxes (Booster, Hunters)	1,631.60	400.00	1,231.60	407.90 %
4500-07 USA North 811 Annual Fee	300.00	300.00	0.00	100.00 %
4500-08 County Environmental Health Hazardous Waste	644.00	674.00	-30.00	95.55 %
4500-09 CA Dept of Tax & Fee Admin - State Water Rights	2,282.70	2,500.00	-217.30	91.31 %
4500-11 United States Geological Service Fees		9,548.00	-9,548.00	
4500-12 Bank Charges	124.18	100.00	24.18	124.18 %
4500-13 Miscellaneous Fees and Expenses		1,000.00	-1,000.00	
4500-16 Wylderidge Road Fee	940.00		940.00	
Total 4500 Governmental & Other Fees	76,741.48	101,442.00	-24,700.52	75.65 %
4600 Communications				
4600-01 Comcast	12,613.02	8,500.00	4,113.02	148.39 %
4600-04 Verizon	11,383.69	11,000.00	383.69	103.49 %
4600-05 Columbia Communications	771.40	975.00	-203.60	79.12 %
4600-06 Cal.Net	2,217.10	2,912.00	-694.90	76.14 %
4600-12 Starlink	3,600.00	4,320.00	-720.00	83.33 %
4600-13 ADT Security	11,246.87		11,246.87	
Total 4600 Communications	41,832.08	27,707.00	14,125.08	150.98 %

	TOTAL			
	ACTUAL	BUDGET	OVER BUDGET	% OF BUDGET
4700 Utilities				
4700-01 Calaveras Public Power Agency	5,471.20	5,750.00	-278.80	95.15 %
4700-02 PG&E	16,269.26	15,000.00	1,269.26	108.46 %
4700-04 UPUD Water Service	1,006.37	1,000.00	6.37	100.64 %
4700-05 City of Angels Water Service	5,203.86	5,100.00	103.86	102.04 %
4700-07 Cal Waste Garbage & Recycling	2,337.66	2,700.00	-362.34	86.58 %
4700-08 Mountain Oasis Bottled Water	673.00	1,000.00	-327.00	67.30 %
4700-09 Ebbetts Pass Gas Propane	803.40	2,500.00	-1,696.60	32.14 %
Total 4700 Utilities	31,764.75	33,050.00	-1,285.25	96.11 %
4800 Travel & Training				
4800-01 Travel and Training	5,062.95	20,000.00	-14,937.05	25.31 %
4800-03 Mileage Reimbursement		1,500.00	-1,500.00	
Total 4800 Travel & Training	5,062.95	21,500.00	-16,437.05	23.55 %
4900 Information Technology				
4900-02 Computers, Software, Hardware, and Equipment	1,404.26	10,609.00	-9,204.74	13.24 %
Total 4900 Information Technology	1,404.26	10,609.00	-9,204.74	13.24 %
5000 Powerhouses				
5000-01 MPH Relay Protection Upgrade	35,478.50	17,500.00	17,978.50	202.73 %
5000-11 Backup MPH Transformer	5,022.86	123,111.00	-118,088.14	4.08 %
5000-12 MPH Static Exciter	95,846.44	100,000.00	-4,153.56	95.85 %
5000-16 MPH Emergency Sphere Valve Repair	4,593.59		4,593.59	
Total 5000 Powerhouses	140,941.39	240,611.00	-99,669.61	58.58 %
5100 Conveyance				
5100-06 Murphys Forebay Trash Rake Replacements		70,000.00	-70,000.00	
5100-08 Angels Penstock Repairs/Replacement	620.77		620.77	
Total 5100 Conveyance	620.77	70,000.00	-69,379.23	0.89 %
5200 General Projects				
5200-03 General Facilities	10,298.26	10,000.00	298.26	102.98 %
5200-04 Feasibility Assessments		25,000.00	-25,000.00	
Total 5200 General Projects	10,298.26	35,000.00	-24,701.74	29.42 %
5300 Regulatory Compliance		15,750.00	-15,750.00	
5300-02 ODSF	22,891.71		22,891.71	
5300-03 Slope Stability Condition Assessment	5,200.00		5,200.00	
5300-04 Innundation Mapping	24,431.00		24,431.00	
5300-05 Emergency Action Plan (EAP)	118.60		118.60	
Total 5300 Regulatory Compliance	52,641.31	15,750.00	36,891.31	334.23 %
5400 IT Outside Services	4,335.37		4,335.37	
6100 Equipment & Material Purchases				
6100-02 Heavy Equipment & Attachments	23,751.32	29,000.00	-5,248.68	81.90 %
6100-03 Irrigation Meter Purch./Install	1,904.18	0.00	1,904.18	
6100-04 Tools and Equipment	1,049.46	6,000.00	-4,950.54	17.49 %
Total 6100 Equipment & Material Purchases	26,704.96	35,000.00	-8,295.04	76.30 %
7000 FERC Relicensing/Exemption Reserve				
7000-01 FERC Relicensing/Exemption		300,000.00	-300,000.00	
Total 7000 FERC Relicensing/Exemption Reserve		300,000.00	-300,000.00	
7200 Operating Reserve Fund				
7200-01 Operating Reserve		30,000.00	-30,000.00	

	TOTAL			
	ACTUAL	BUDGET	OVER BUDGET	% OF BUDGET
Total 7200 Operating Reserve Fund		30,000.00	-30,000.00	
7400 FERC 5 Year Plan Reserve Fund	40,000.00		40,000.00	
7400-01 Five Year/Emergency Action Plan Re-Write		1,200.00	-1,200.00	
7400-02 Five Year/Tabletop Functional		1,800.00	-1,800.00	
7400-03 Part 12 Inspection and Potential Failure Mode Analysis		30,000.00	-30,000.00	
7400-04 Supporting Technical Information Documents		16,300.00	-16,300.00	
7400-05 Security Plan Rewrite		900.00	-900.00	
7400-06 Environmental & Public Use Inspections		4,800.00	-4,800.00	
7400-07 Dam Safety/Surveillance Monitoring Plans		1,800.00	-1,800.00	
7400-08 Owners Dam Safety Plan/Ext Audt		5,000.00	-5,000.00	
Total 7400 FERC 5 Year Plan Reserve Fund	40,000.00	61,800.00	-21,800.00	64.72 %
7500 FERC 10 Year Plan Reserve Fund				
7500-01 Murphys Afterbay Low Level Outlet ROV Inspec		3,000.00	-3,000.00	
7500-02 Murphys Afterbay Radial Gate Inspection		3,000.00	-3,000.00	
7500-03 Murphys Powerhouse Penstock ROV Inspection		6,000.00	-6,000.00	
Total 7500 FERC 10 Year Plan Reserve Fund		12,000.00	-12,000.00	
8000 Grants Expenditures				
8000-03 Hunter Reservoir Fuel Reduction Project	435,466.43	557,941.00	-122,474.57	78.05 %
8000-08 Automated Wastegates and Crossgates	39,249.84	50,000.00	-10,750.16	78.50 %
8000-10 Utica Canal Lining Project (USBR)	59,763.79	56,000.00	3,763.79	106.72 %
Total 8000 Grants Expenditures	534,480.06	663,941.00	-129,460.94	80.50 %
QuickBooks Payments Fees	16.36		16.36	
Total Expenses	\$2,854,949.84	\$4,003,813.00	\$ -	71.31 %
			1,148,863.16	
NET OPERATING INCOME	\$438,083.21	\$ -218,808.00	\$656,891.21	-200.21 %
NET INCOME	\$438,083.21	\$ -218,808.00	\$656,891.21	-200.21 %

Utica Water and Power Authority

Expenses by Vendor Summary

April 1-30, 2026

	TOTAL
Adobe	36.98
Amazon Business	24.12
Barton Overhead Door	1,488.00
Burson Machine, Inc.	5,589.77
Cal-Waste	234.88
Cal.Net	221.71
Calaveras Lumber Co, Inc.	2,250.93
Calaveras Power Agency	454.40
Choice Builder	896.04
City of Angels	434.26
Columbia Communications, Inc.	77.14
Comcast Business	1,312.79
Costco	173.89
Crawford Bookkeeping	242.45
Department of Water Resources	70,244.00
E.D.I.S	726.00
Ebbetts Pass Gas Service	337.74
General Plumbing Supply	207.90
Google LLC	235.20
Grainger	886.97
Griffith, Masuda, & Hobbs	8,341.85
Grounds	83.87
Jeremiah Hyde	85.22
Kevin's Crane Company LLC	735.00
Luz Ortigoza	75.00
Mbi Setl Med	1,208.11
McMaster-Carr	20.36
Microsoft	72.00
Mike's Pizza	302.21
Mountain Oasis Purified Water	127.75
O'Reilly Automotive, Inc.	587.69
Pacific Gas & Electric Co	1,178.68
Pinnell's Carpet One	4,939.00
Power Business Technology	194.47
Savemart	120.85
SDRMA	18,096.07
Shell	100.00
Southwest Valve and Equipment	1,488.15
Stantec	46,337.78
Starlink	360.00
State Compensation Insurance Fund	2,296.50
Streamline	524.00
SWCA Environmental Consultants	5,121.87
The Red Store	89.07
Toshiba Financial Services	201.02
USPS	13.75
Verizon Wireless	952.72

Utica Water and Power Authority

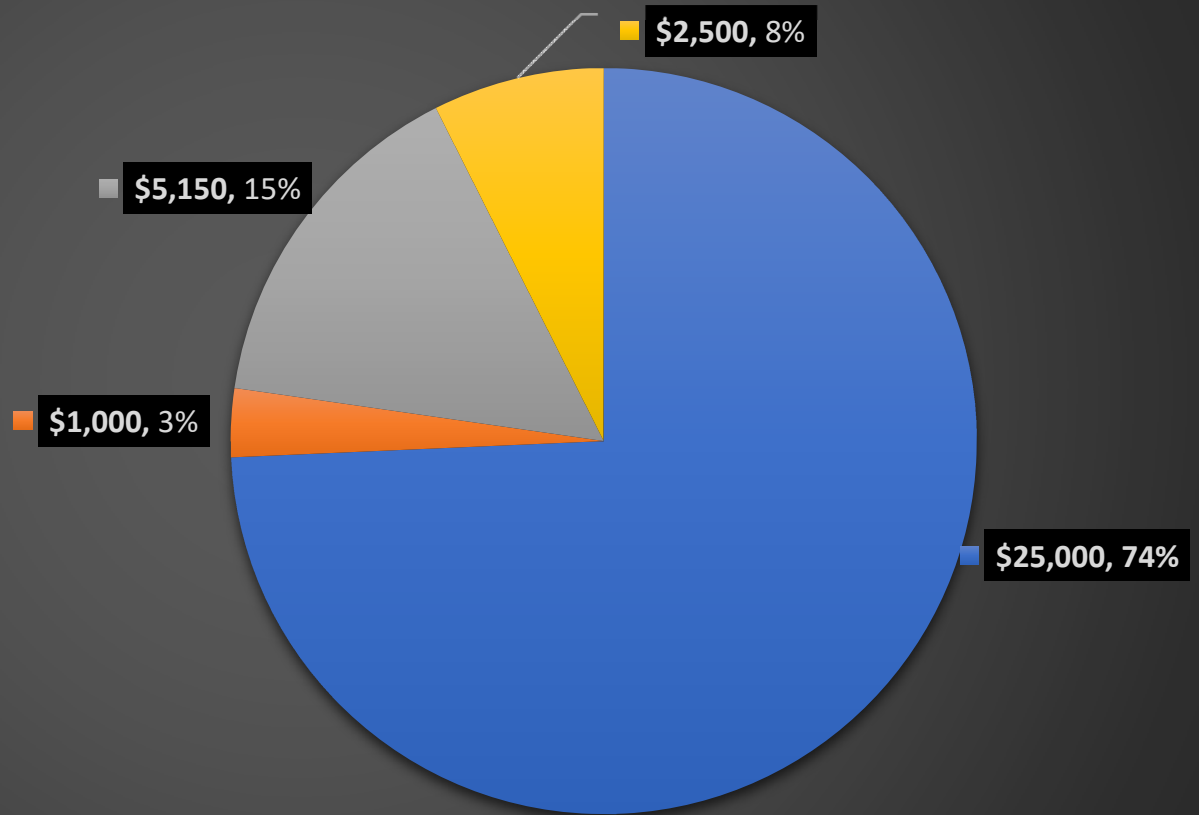
Expenses by Vendor Summary

April 1-30, 2026

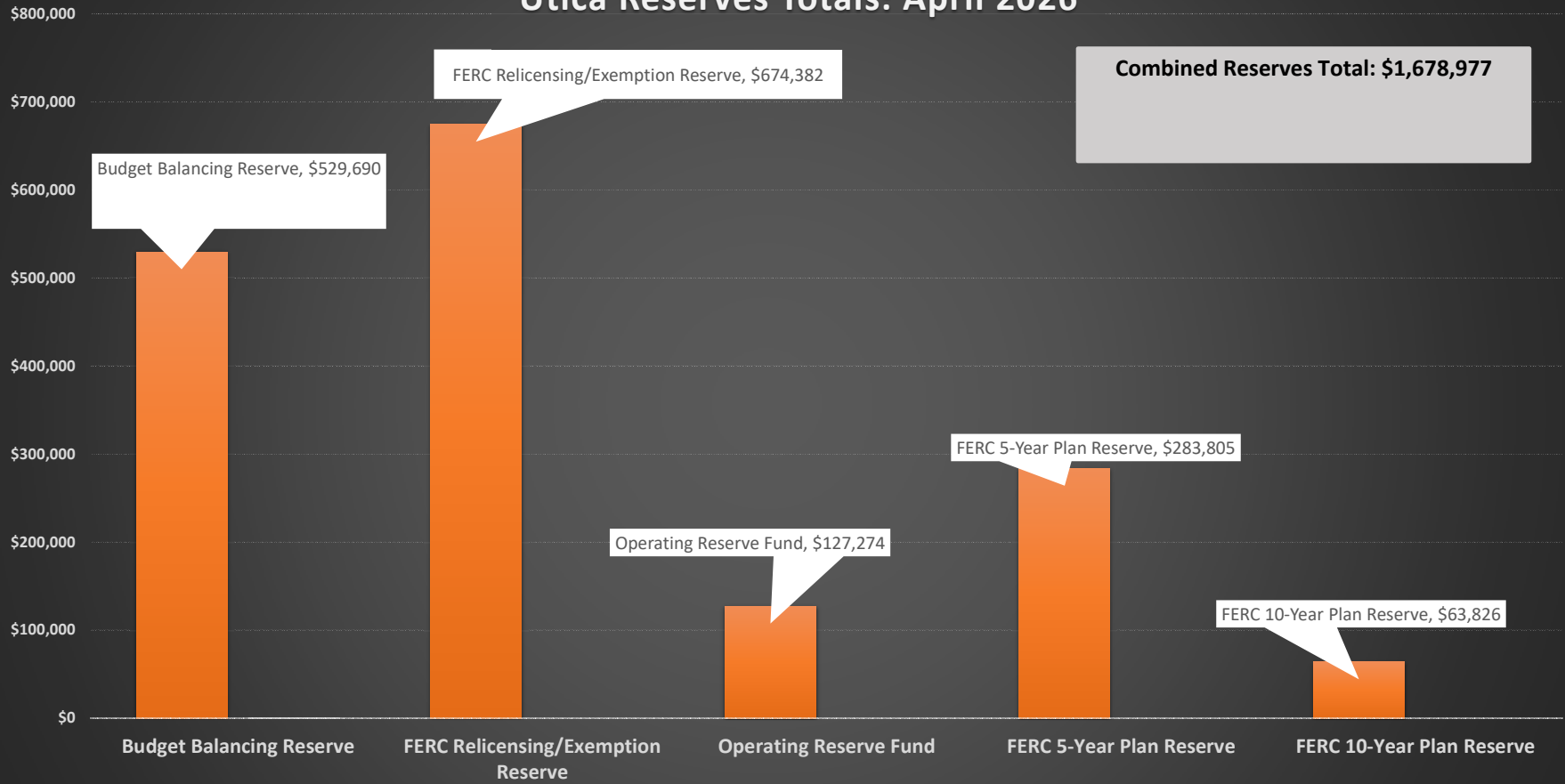
	TOTAL
Voyager Fleet Commander Online	1,395.37
ADT	11,761.05
TOTAL	\$192,884.58

April 2026 Reserve Contributions

- FERC Relicensing/Exemption Reserve
- FERC 10 Year Plan Reserve
- FERC 5 Year Plan Reserve
- Operating Reserve Fund



Utica Reserves Totals: April 2026





Item 5E

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April

2026

Water Year 1 as of May 1, 2025

RAINFALL

		APH	MPH
Rainfall	April	5.50	5.60
WYTD rainfall	10/1/25- 9/30/26	34.35	33.50
	WYTD this time last year	26.15	29.40

GENERATION

ANGELS (sold to PG&E)		
FROGTN_1_UTICAA		
PG&E has concurred		496

MURPHYS (sold to PG&E)		
FROGTN_1_UTICAM		
PG&E has concurred		0

TOTAL GENERATION:		496 MWhr
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REVENUES

April 2026 projected scheduled generation:

	\$47,789	APH (.852) @ \$77.88 (1)
	\$101,630	MPH (1.923) @ \$73.50
	\$149,419	Projected Total

April 2026 actual received generation:

	\$38,653	APH (0.8) @ \$
	\$0	MPH (1.4) @ \$73.50 (2)
	\$38,653	Actual Total

(1) Note that even though the APH ReMAT PPA is at \$89/MWh the TOD for APH varies during the year

(2) Represents MPH gross revenue. Penalties will be applied when generation does not meet CAISO's RAIM policy

Power Revenue:	\$38,653
RAAIM Fee:	-\$5,068
Negative LMP Charge	\$0
Water Revenue:	\$39,980
Water and Power:	\$73,565

OUTAGES

P=planned

IF = internal forced outage

EF = external forced outage

Date	Time Off	Duration	Cause	Plant
4/1/2026	0:00	Ongoing	IF - Turbine Sphere Valve	MPH

WATER

April '26 - Att A 2,654 + 937 carryover from March '26	2,654	AF
S-66 + S-127	2,118	AF
LESS water sale to NCPA	937	AF
Carry over to May	536	



Item 5F

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UTICA WATER AND POWER AUTHORITY

Date: June 23, 2026
To: Erik Holt, General Manager
From: Stacie Walker, Administrative Specialist
Re: Financial Report for May 2026

Power Generation and Revenue:

In May 2026, Angels Powerhouse (APH) generated \$27,065 in revenue. Murphys Powerhouse (MPH) did not generate. The preliminary net revenue is \$27,065 for both powerhouses. However, MPH is subject to the Resource Adequacy Availability Incentive Mechanism (RAAIM) adjustments, which can result in additional revenue or reduced payment. Due to MPH remaining offline for the entire month of April, staff is expecting power sales to be reduced, the amount to be determined.

Murphys Powerhouse was taken offline on March 11, 2026, due to mechanical issues with the turbine spherical valve (TSV) requiring repair. As a result, MPH could generate.

Water Sales and Irrigation:

Contractors for the 2025-2026 Regular Irrigation Season have been paid in full. Off-season 2025-2026 invoices were generated for a total of \$596.16. Invoices are due at the end of June.

Contract addendums for the 2026-2027 Irrigation Season were executed; invoices will be generated in July.

JPA Member Contributions:

Joint Powers Authority member contributions are determined by the Utica Board of Directors, in coordination with the full JPA membership, during the annual budget development cycle. Each JPA member agency will pay \$407,000 in FY 2025-2026, per the June 24, 2025, Board Adopted Budget – invoiced each quarter to each entity for \$101,750. Due to accounting differences, Union Public Utility District (UPUD) is invoiced at the end of each quarter, while City of Angels (COA) is invoiced at the beginning. UPUD's third-quarter invoice was issued on March 1 and remains outstanding. COA paid in full for FY 2025-2026.

Interest Income:

The Authority's funds are held in the Bank of Stockton's interest-bearing checking and money market accounts. These accounts earned \$5,711.29 in interest income in May 2026.

Utica Water and Power Authority

Budget vs. Actuals: FY 2025-2026 - FY26 P&L

July 2025 - June 2026

	TOTAL			
	ACTUAL	BUDGET	OVER BUDGET	%OF BUDGET
Income				
1200 Operating Income				
1200-01 NCPA Water Sales	320,730.00	0.00	320,730.00	
1200-02 GOA Water Agreement	407,000.00	407,000.00	0.00	100.00 %
1200-03 UPUD Water Agreement	203,500.00	407,000.00	-203,500.00	50.00 %
1200-04 Irrigation Water Contractors	46,658.75	54,000.00	-7,341.25	86.41 %
1200-05 1200-05 Slurry Line/ CCWD Wheeling Fees		1,000.00	-1,000.00	
Total 1200 Operating Income	1,070,388.75	869,000.00	201,388.75	123.17%
1210 Power Sales				
1210-01 Angels Powerhouse - Power Sales	473,898.75	576,681.00	-102,782.25	82.18 %
1210-02 Murphys Powerhouse - Power Sales	826,541.60	1,124,321.00	-297,779.40	73.51 %
Total 1210 Power Sales	1,300,440.35	1,701,002.00	-400,561.65	76.45%
1220 Other Income				
1220-01 Other Income	3,727.50	0.00	3,727.50	
Total 1220 Other Income	3,775.00	0.00	3,775.00	
1300 Interest Income				
1300-01 Interest Income	2,232.76		2,232.76	
Total 1300 Interest Income	58,337.13	81,270.00	-22,932.87	71.78%
1310 Rent Income				
1310-01 Sierra HOPE Lease Agreement	5,328.00	21,312.00	-15,984.00	25.00 %
1310-02 Crown Castle MFB Tower Lease Agreement	48,496.32	27,847.00	20,649.32	174.15 %
1310-03 Hunter Reservoir Rental House	12,988.58	14,169.00	-1,180.42	91.67 %
1310-04 Cal.Net MFB Tower Lease Agreement	2,846.00	3,436.00	-590.00	82.83 %
Total 1310 Rent Income	69,658.90	66,764.00	2,894.90	104.34%
1340 Other Non-Operating Income				
1340-01 GOA Sierra Hydrographics Pass Through	4,000.00	5,070.00	-1,070.00	78.90 %
1340-02 Other Income	10,190.99		10,190.99	
Total 1340 Other Non-Operating Income	14,190.99	5,070.00	9,120.99	279.90%
1350 Reserve Income				
1350-01 FERG Relicensing/ Exemption Reserve (transfer in)	400,500.00	400,500.00	0.00	100.00 %
1350-03 FERG Five Year Plan Reserve	40,000.00	40,000.00	0.00	100.00 %
1350-04 FERG 10 Year Plan Reserve	10,000.00	10,000.00	0.00	100.00 %
Total 1350 Reserve Income	450,500.00	450,500.00	0.00	100.00 %
1400 Grant Funding				
1400-01 Hunter Reservoir Fuels Reduction Project	500,210.33	557,941.00	-57,730.67	89.65 %
1400-02 Lower Utica Canal Wastegates and Gaging Stations Project	24,685.27	25,458.00	-772.73	96.96 %
1400-06 Utica and Angels Canal Lining (USBR WaterSMART)	29,881.90	28,000.00	1,881.90	106.72 %
1400-10 Utica Grants Administration (% of grant award)	40,274.25		40,274.25	
Total 1400 Grant Funding	595,051.75	611,399.00	-16,347.25	97.33%
1500 Disaster Relief Reimbursements				
1500-01 FEMA 75% (New Year's Storm)		0.00	0.00	

	TOTAL			
	ACTUAL	BUDGET	OVER BUDGET	%OF BUDGET
1500-02 Cal OES 75% of 25% Remainder (New Year's Storm)		0.00	0.00	
Total 1500 Disaster Relief Reimbursements		0.00	0.00	
Unapplied Cash Payment Revenue	926.97		926.97	
Total Income	\$3,563,269.84	\$3,785,005.00	\$-	94.14%
			221,735.16	
GROSS PROFIT	\$3,563,269.84	\$3,785,005.00	\$-	94.14%
			221,735.16	
Expenses				
3000 Wages, Benefits & Burden				
3100 Power				
3101 Power Wages				
3101-01 Operations & Maintenance Superintendent	98,194.99	120,897.00	-22,702.01	81.22 %
3101-02 Hydroelectric Operator	65,345.77	78,853.00	-13,507.23	82.87 %
3101-03 Hydroelectric Operations Technician	76,370.24	84,906.00	-8,535.76	89.95 %
3101-04 On-Call	17,815.00	18,450.00	-635.00	96.56 %
3101-05 Hydro Management Leave	4,614.00	4,614.00	0.00	100.00 %
3101-10 Overtime	8,598.70	12,000.00	-3,401.30	71.66 %
Total 3101 Power Wages	270,938.70	319,720.00	-48,781.30	84.74%
3102 Power Burden				
3102-01 Social Security, Medicare, State Unemployment Ins.	26,389.69	26,025.00	364.69	101.40%
3102-03 Workers Comp	10,113.64	15,823.00	-5,709.36	63.92 %
Total 3102 Power Burden	36,503.33	41,848.00	-5,344.67	87.23%
3103 Power Benefits				
3103-01 Health Insurance - SDRMA	70,005.29	69,574.00	431.29	100.62 %
3103-02 Health Reimbursement Account - EDIS	15,569.67	10,000.00	5,569.67	155.70 %
3103-03 Dental/Vision/Chiro/Life Insurance - Choice Builder	4,816.32	3,895.00	921.32	123.65 %
3103-04 CalPERS Pension	22,424.19	22,659.00	-234.81	98.96 %
3103-06 CalPERS Pension Unfunded Liability	8,270.80	8,548.00	-277.20	96.76 %
Total 3103 Power Benefits	121,086.27	114,676.00	6,410.27	105.59 %
Total 3100 Power	428,528.30	476,244.00	-47,715.70	89.98%
3200 Water				
3201 Water Wages				
3201-01 Water Conveyance Supervisor	78,610.26	103,459.00	-24,848.74	75.98 %
3201-02 Senior Water Conveyance Operator	73,057.57	82,846.00	-9,788.43	88.18 %
3201-03 General Manager	125,535.84	153,192.00	-27,656.16	81.95 %
3201-04 Administrative Compliance Specialist	59,592.54	61,589.00	-1,996.46	96.76 %
3201-05 Administrative Specialist, Clerk of the Board	63,041.27	67,995.00	-4,953.73	92.71 %
3201-06 Hydroelectric Relief Operator and Conveyance Operator	65,654.81	75,046.00	-9,391.19	87.49 %
3201-09 IT Administrator	25,329.73	28,117.00	-2,787.27	90.09 %
3201-10 Overtime	4,990.76	10,000.00	-5,009.24	49.91 %
3201-11 Conveyance Management Leave		3,979.00	-3,979.00	
3201-12 Administration Management Leave	5,892.00	5,892.00	0.00	100.00 %
Total 3201 Water Wages	501,704.78	592,115.00	-90,410.22	84.73%
3202 Water Burden				
3202-01 Social Security, Medicare, State Unemployment Ins.	47,715.08	48,017.00	-301.92	99.37 %
3202-03 Workers Comp - Ops, GM, and Office Staff	15,170.46	24,292.00	-9,121.54	62.45 %
Total 3202 Water Burden	62,885.54	72,309.00	-9,423.46	86.97%
3203 Water Benefits				

	TOTAL			
	ACTUAL	BUDGET	OVER BUDGET	%OF BUDGET
3203-01 Health Insurance - SDRMA	118,066.53	150,384.00	-32,317.47	78.51 %
3203-02 Health Reimbursement Account - EDIS	15,921.99	15,000.00	921.99	106.15%
3203-03 Dental/Vision/Chiro/Life Insurance - ChoiceBuilder	6,525.00	6,520.00	5.00	100.08 %
3203-04 CalPERS Pension	39,090.06	45,551.00	-6,460.94	85.82 %
3203-06 CalPERS Pension Unfunded Liability	12,606.20	12,821.00	-214.80	98.32 %
Total 3203 Water Benefits	192,209.78	230,276.00	-38,066.22	83.47%
Total 3200 Water	756,800.10	894,700.00	-137,899.90	84.59%
Total 3000 Wages, Benefits & Burden	1,185,328.40	1,370,944.00	-185,615.60	86.46%
4000 Conveyance Maintenance and Supplies				
4000-26 Angels Canal Maintenance and Supplies	145.58		145.58	
Total 4000 Conveyance Maintenance and Supplies	145.58		145.58	
4100 Maintenance & Repair				
4100-01 Road Maintenance	2,620.00	5,408.00	-2,788.00	48.45 %
4100-02 Flume Maintenance and Supplies	120,520.85	103,000.00	17,520.85	117.01 %
4100-05 Property Maintenance	11,133.38	5,408.00	5,725.38	205.87 %
4100-06 Fuel for Vehicles and Equipment	16,259.82	18,000.00	-1,740.18	90.33 %
4100-07 Maintenance for Vehicles and Equipment	9,498.93	7,718.00	1,780.93	123.08 %
4100-08 Temporary Labor	17,794.78	35,000.00	-17,205.22	50.84 %
4100-09 Powerhouses Maintenance and Supplies	12,884.51	13,230.00	-345.49	97.39 %
4100-10 Gaging Station Maintenance	1,658.41	6,000.00	-4,341.59	27.64 %
4100-11 Misc Supplies and Repairs	10,936.75	11,576.00	-639.25	94.48 %
4100-14 Dam and Spillway Maintenance	10,272.48	15,000.00	-4,727.52	68.48 %
4100-15 Owners Dam Safety Plan Audit - Mandated Actions	154.89		154.89	
4100-16 Irrigation Maintenance (Not Meter Replacement)	438.15	2,100.00	-1,661.85	20.86 %
4100-17 Cal Fire	7,954.39		7,954.39	
Total 4100 Maintenance & Repair	222,127.34	222,440.00	-312.66	99.86%
4110 Misc. Supplies & Services				
4110-01 Office Services, Supplies, Equipment, Misc.	11,922.76	11,576.00	346.76	103.00 %
4110-02 Employee Uniforms, Boots, PPE	2,009.11	5,150.00	-3,140.89	39.01 %
4110-04 Headquarters Cleaning	1,770.00	1,950.00	-180.00	90.77%
Total 4110 Misc. Supplies & Services	15,701.87	18,676.00	-2,974.13	84.08%
4120 Leases & Subscriptions				
4120-02 Sierra Pacific Industries Lease	250.00	250.00	0.00	100.00 %
4120-03 Office Toshiba Copier Lease	3,524.33	2,336.00	1,188.33	150.87 %
4120-04 Software Subscriptions	7,557.20	8,755.00	-1,197.80	86.32 %
4120-06 Wylderidge Tower Lease NCPA	2,800.00	2,800.00	0.00	100.00 %
Total 4120 Leases & Subscriptions	14,131.53	14,141.00	-9.47	99.93%
4200 Property & Liability Insurance				
4200-01 ACWNJPIA Auto & General Liability	37,275.20	37,180.00	95.20	100.26 %
4200-02 ACWNJPIA Property Insurance	54,064.47	73,332.00	-19,267.53	73.73 %
Total 4200 Property & Liability Insurance	91,339.67	110,512.00	-19,172.33	82.65%
4200-03 ACWA / JPIA Cyber Liability	1,137.00	1,087.00	50.00	104.60 %
4300 Memberships				
4300-01 Association California Water Agencies	14,600.00	14,663.00	-63.00	99.57 %
4300-02 Tuolumne-Stanislaus Integrated Regional Water Management	200.00	220.00	-20.00	90.91 %
WAC				
4300-03 Mountain Counties Water Resources Association	1,443.00	1,497.00	-54.00	96.39 %

	TOTAL			
	ACTUAL	BUDGET	OVER BUDGET	%OF BUDGET
4300-04 Calaveras Historical Society		50.00	-50.00	
4300-05 California Special District Association	1,872.00	1,936.00	-64.00	96.69 %
4300-06 Amazon Prime	140.29	200.00	-59.71	70.15 %
4300-07 Farm Bureau - Calaveras Chapter	200.00	150.00	50.00	133.33 %
4300-08 Murphys Community Park Association		75.00	-75.00	
Total 4300 Memberships	18,455.29	18,791.00	-335.71	98.21 %
4400 Professional/Special. Services				
4400-03 Chief Dam Safety Engineer	9,740.00	15,000.00	-5,260.00	64.93 %
4400-05 Murphys/Angels Powerhouse Inspection Reports	3,405.00	10,400.00	-6,995.00	32.74 %
4400-07 SCADA and PLC Programming	8,750.00	8,320.00	430.00	105.17 %
4400-08 Certified Public Accountant	625.00	2,808.00	-2,183.00	22.26 %
4400-09 Annual Audit	14,000.00	14,000.00	0.00	100.00 %
4400-10 General Legal Counsel	33,038.41	22,204.00	10,834.41	148.79 %
4400-11 FERC Exemption Legal Counsel	17,640.00	20,000.00	-2,360.00	88.20 %
4400-12 FERC Exemption General Services	58,810.80	50,000.00	8,810.80	117.62 %
4400-14 FERC Regulatory Compliance	1,570.65	50,000.00	-48,429.35	3.14%
4400-16 FERC Exemption Consultants	431,795.81	330,500.00	101,295.81	130.65 %
4400-20 Hydrology Analysis Services	20,750.00	25,380.00	-4,630.00	81.76 %
4400-21 Community Education/Outreach	2,938.69	5,200.00	-2,261.31	56.51 %
4400-24 Grant Writing/Eng./Finance/Mgmt		5,000.00	-5,000.00	
4400-28 Website Maintenance and Support	6,048.00	6,000.00	48.00	100.80 %
4400-29 GIS Mapping	843.75	5,200.00	-4,356.25	16.23 %
4400-31 Recruitment	5,349.32	1,000.00	4,349.32	534.93 %
4400-32 Water Rights Protection & Reporting	8,028.02	10,000.00	-1,971.98	80.28 %
4400-33 Human Resources Consulting Support	2,400.00	1,500.00	900.00	160.00 %
4400-34 Revenue Meter Maintenance - CAISO	5,990.00	6,300.00	-310.00	95.08 %
4400-36 Water Rights Legal Counsel	14,556.85		14,556.85	
Total 4400 Professional/Special. Services	646,280.30	588,812.00	57,468.30	109.76 %
4500 Governmental & Other Fees				
4500-02 FERC Annual Admin & Land Charge		11,000.00	-11,000.00	
4500-03 Dept Water Resources Dam Fees	70,244.00	75,920.00	-5,676.00	92.52 %
4500-04 WREGIS Annual Fee	75.00		75.00	
4500-05 Powersoft Billing Software (MPH & APH)	500.00		500.00	
4500-06 County Taxes (Booster, Hunters)	1,631.60	400.00	1,231.60	407.90 %
4500-07 USA North 811 Annual Fee	300.00	300.00	0.00	100.00 %
4500-08 County Environmental Health Hazardous Waste	644.00	674.00	-30.00	95.55 %
4500-09 CA Dept of Tax & Fee Admin - State Water Rights	2,282.70	2,500.00	-217.30	91.31 %
4500-11 United States Geological Service Fees		9,548.00	-9,548.00	
4500-12 Bank Charges	124.18	100.00	24.18	124.18 %
4500-13 Miscellaneous Fees and Expenses		1,000.00	-1,000.00	
4500-16 Wylderidge Road Fee	940.00		940.00	
Total 4500 Governmental & Other Fees	76,741.48	101,442.00	-24,700.52	75.65%
4600 Communications				
4600-01 Comcast	13,649.57	8,500.00	5,149.57	160.58 %
4600-04 Verizon	12,335.65	11,000.00	1,335.65	112.14 %
4600-05 Columbia Communications	848.54	975.00	-126.46	87.03 %
4600-06 Cal.Net	2,438.81	2,912.00	-473.19	83.75 %
4600-12 Starlink	3,960.00	4,320.00	-360.00	91.67 %

	TOTAL			
	ACTUAL	BUDGET	OVER BUDGET	%OF BUDGET
4600-13 ADT Security	11,356.87		11,356.87	
Total 4600 Communications	44,589.44	27,707.00	16,882.44	160.93 %
4700 Utilities				
4700-01 Calaveras Public Power Agency	8,103.20	5,750.00	2,353.20	140.93 %
4700-02 PG&E	17,494.97	15,000.00	2,494.97	116.63 %
4700-04 UPUD Water Service	1,006.37	1,000.00	6.37	100.64 %
4700-05 City of Angels Water Service	5,203.86	5,100.00	103.86	102.04 %
4700-07 Cal Waste Garbage & Recycling	2,572.54	2,700.00	-127.46	95.28 %
4700-08 Mountain Oasis Bottled Water	822.10	1,000.00	-177.90	82.21 %
4700-09 Ebbetts Pass Gas Propane	803.40	2,500.00	-1,696.60	32.14 %
Total 4700 Utilities	36,006.44	33,050.00	2,956.44	108.95%
4800 Travel & Training				
4800-01 Travel and Training	5,379.62	20,000.00	-14,620.38	26.90 %
4800-03 Mileage Reimbursement	116.13	1,500.00	-1,383.87	7.74%
Total 4800 Travel & Training	5,495.75	21,500.00	-16,004.25	25.56%
4900 Information Technology				
4900-02 Computers, Software, Hardware, and Equipment	1,480.37	10,609.00	-9,128.63	13.95 %
Total 4900 Information Technology	1,480.37	10,609.00	-9,128.63	13.95 %
5000 Powerhouses				
5000-01 MPH Relay Protection Upgrade	35,478.50	17,500.00	17,978.50	202.73 %
5000-08 APH Deflector Valve and Hydraulic Control Replacement	327.73		327.73	
5000-11 Backup MPH Transformer	13,947.86	123,111.00	-109,163.14	11.33 %
5000-12 MPH Static Exciter	95,846.44	100,000.00	-4,153.56	95.85 %
5000-16 MPH Emergency Sphere Valve Repair	4,593.59		4,593.59	
Total 5000 Powerhouses	150,194.12	240,611.00	-90,416.88	62.42%
5100 Conveyance				
5100-06 Murphys Forebay Trash Rake Replacements		70,000.00	-70,000.00	
5100-08 Angels Penstock Repairs/Replacement	620.77		620.77	
Total 5100 Conveyance	620.77	70,000.00	-69,379.23	0.89%
5200 General Projects				
5200-03 General Facilities	11,603.16	10,000.00	1,603.16	116.03 %
5200-04 Feasibility Assessments		25,000.00	-25,000.00	
Total 5200 General Projects	11,603.16	35,000.00	-23,396.84	33.15%
5300 Regulatory Compliance		15,750.00	-15,750.00	
5300-01 Angels Penstock Assessment	6,992.04		6,992.04	
5300-02 ODSP	22,891.71		22,891.71	
5300-03 Slope Stability Condition Assessment	5,200.00		5,200.00	
5300-04 Innundation Mapping	24,431.00		24,431.00	
5300-05 Emergency Action Plan (EAP)	118.60		118.60	
5300-06 Noxious Weed Survey	47.25		47.25	
5300-10 FERG	6,409.68		6,409.68	
Total 5300 Regulatory Compliance	66,090.28	15,750.00	50,340.28	419.62%
5400 IT Outside Services	4,335.37		4,335.37	
6100 Equipment & Material Purchases				
6100-02 Heavy Equipment & Attachments	24,002.77	29,000.00	-4,997.23	82.77%
6100-03 Irrigation Meter Purch./Install	1,904.18	0.00	1,904.18	
6100-04 Tools and Equipment	1,049.46	6,000.00	-4,950.54	17.49 %

	TOTAL			
	ACTUAL	BUDGET	OVER BUDGET	%OF BUDGET
Total 6100 Equipment & Material Purchases	26,956.41	35,000.00	-8,043.59	77.02%
7000 FERG Relicensing/Exemption Reserve				
7000-01 FERG Relicensing/Exemption		300,000.00	-300,000.00	
Total 7000 FERC Relicensing/Exemption Reserve		300,000.00	-300,000.00	
7200 Operating Reserve Fund				
7200-01 Operating Reserve		30,000.00	-30,000.00	
Total 7200 Operating Reserve Fund		30,000.00	-30,000.00	
7400 FERG 5 Year Plan Reserve Fund	40,000.00		40,000.00	
7400-01 Five Year/Emergency Action Plan Re-Write		1,200.00	-1,200.00	
7400-02 Five Year/Tabletop Functional		1,800.00	-1,800.00	
7400-03 Part 12 Inspection and Potential Failure Mode Analysis		30,000.00	-30,000.00	
7400-04 Supporting Technical Information Documents		16,300.00	-16,300.00	
7400-05 Security Plan Rewrite		900.00	-900.00	
7400-06 Environmental & Public Use Inspections		4,800.00	-4,800.00	
7400-07 Dam Safety/Surveillance Monitoring Plans		1,800.00	-1,800.00	
7400-08 Owners Dam Safety Plan/Ext Audt		5,000.00	-5,000.00	
Total 7400 FERC 5 Year Plan Reserve Fund	40,000.00	61,800.00	-21,800.00	64.72%
7500 FERG 10 Year Plan Reserve Fund				
7500-01 Murphys Afterbay Low Level Outlet ROV Inspec		3,000.00	-3,000.00	
7500-02 Murphys Afterbay Radial Gate Inspection		3,000.00	-3,000.00	
7500-03 Murphys Powerhouse Penstock ROV Inspection		6,000.00	-6,000.00	
Total 7500 FERC 10 Year Plan Reserve Fund		12,000.00	-12,000.00	
8000 Grants Expenditures				
8000-03 Hunter Reservoir Fuel Reduction Project	497,314.31	557,941.00	-60,626.69	89.13 %
8000-08 Automated Wastegates and Crossgates	39,249.84	50,000.00	-10,750.16	78.50 %
8000-10 Utica Canal Lining Project (USBR)	59,763.79	56,000.00	3,763.79	106.72 %
Total 8000 Grants Expenditures	596,327.94	663,941.00	-67,613.06	89.82%
QuickBooks Payments Fees	16.36		16.36	
Unapplied Cash Bill Payment Expenditure	0.00		0.00	
Total Expenses	\$3,255,104.87	\$4,003,813.00	\$-748,708.13	81.30 %
NET OPERATING INCOME	\$308,164.97	\$ -218,808.00	\$526,972.97	-140.84%
NET INCOME	\$308,164.97	\$ -218,808.00	\$526,972.97	-140.84%

Utica Water and Power Authority

Expenses by Vendor Summary

May 1-31, 2026

	TOTAL
A-1 Sharpening & Small Engine	174.93
Adobe	36.98
ADT	110.00
Amazon Business	76.11
Angels Food Market	119.57
Arnold Auto Supply (NAPA)	333.20
Banks Glass	268.14
Burson Machine, Inc.	655.31
CAL FIRE	1,778.07
Cal-Waste	234.88
Cal.Net	221.71
Calaveras County Environmental Health	644.00
Calaveras Lumber Co, Inc.	1,105.93
Calaveras Power Agency	648.80
CDK Supply	248.80
Choice Builder	1,004.14
City of Angels	432.54
Columbia Communications, Inc.	77.14
Comcast Business	1,217.02
Crawford Bookkeeping	242.45
E.D.I.S	726.00
Fleet E-Payments	1,429.18
GFT Infrastructure, Inc	5,022.86
Gold Electric, Inc.	530.00
Google LLC	249.20
Grainger	78.60
Griffith, Masuda, & Hobbs	5,090.00
Hammer Down	157.16
Jeremiah Hyde	292.26
John Kessler	4,440.00
KGS Group	8,810.00
Kyle A Rasmussen	296.89
Los Pinos	47.25
Luz Ortigoza	75.00
Mbi Setl Med	4,859.19
Mountain Oasis Purified Water	59.85
Nash Chevron	106.51
O'Reilly Automotive, Inc.	182.26
Pacific Gas & Electric Co	1,639.32
Renogy	762.01
Sam Berri Towing	225.00
Savemart	157.66
SDRMA	18,096.07
Sell Lumber Corporation	36,564.31
Sherwin Williams	528.56
Sierra Hydrographics	2,075.00
Sierra Motors	473.67

Utica Water and Power Authority

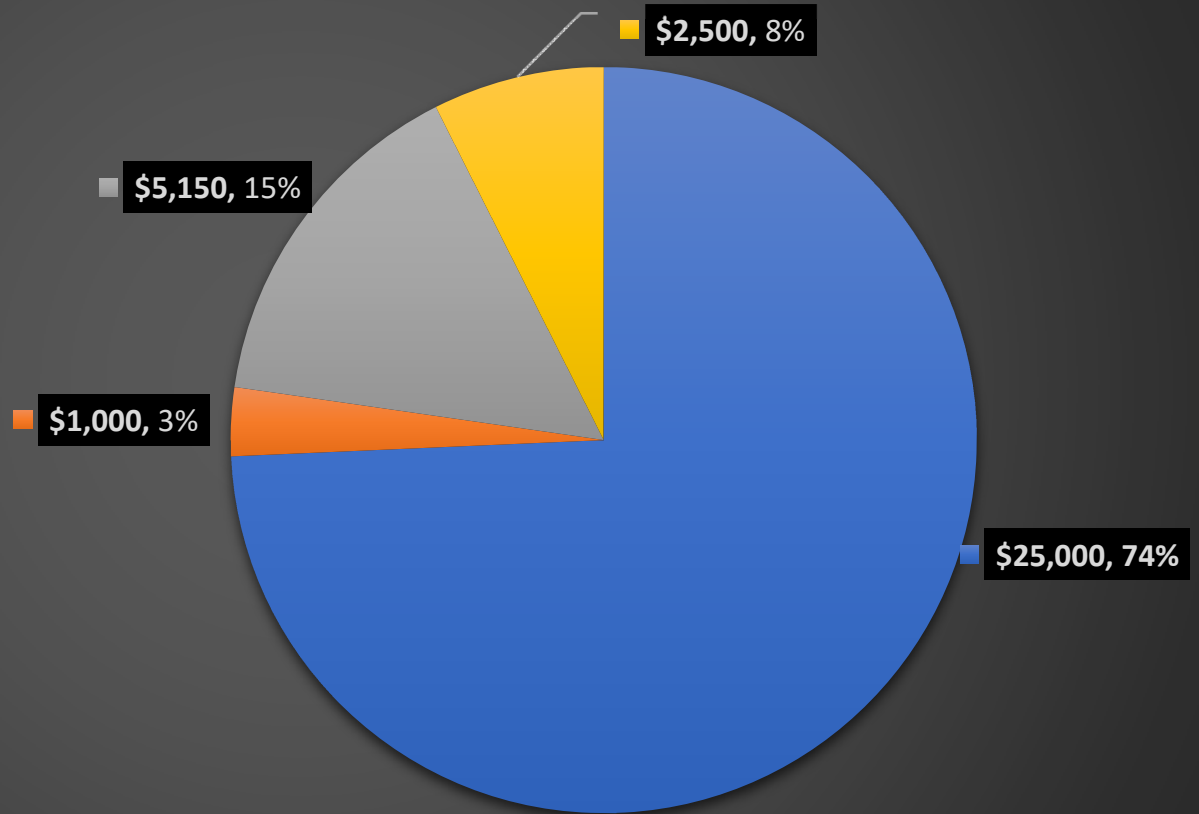
Expenses by Vendor Summary

May 1-31, 2026

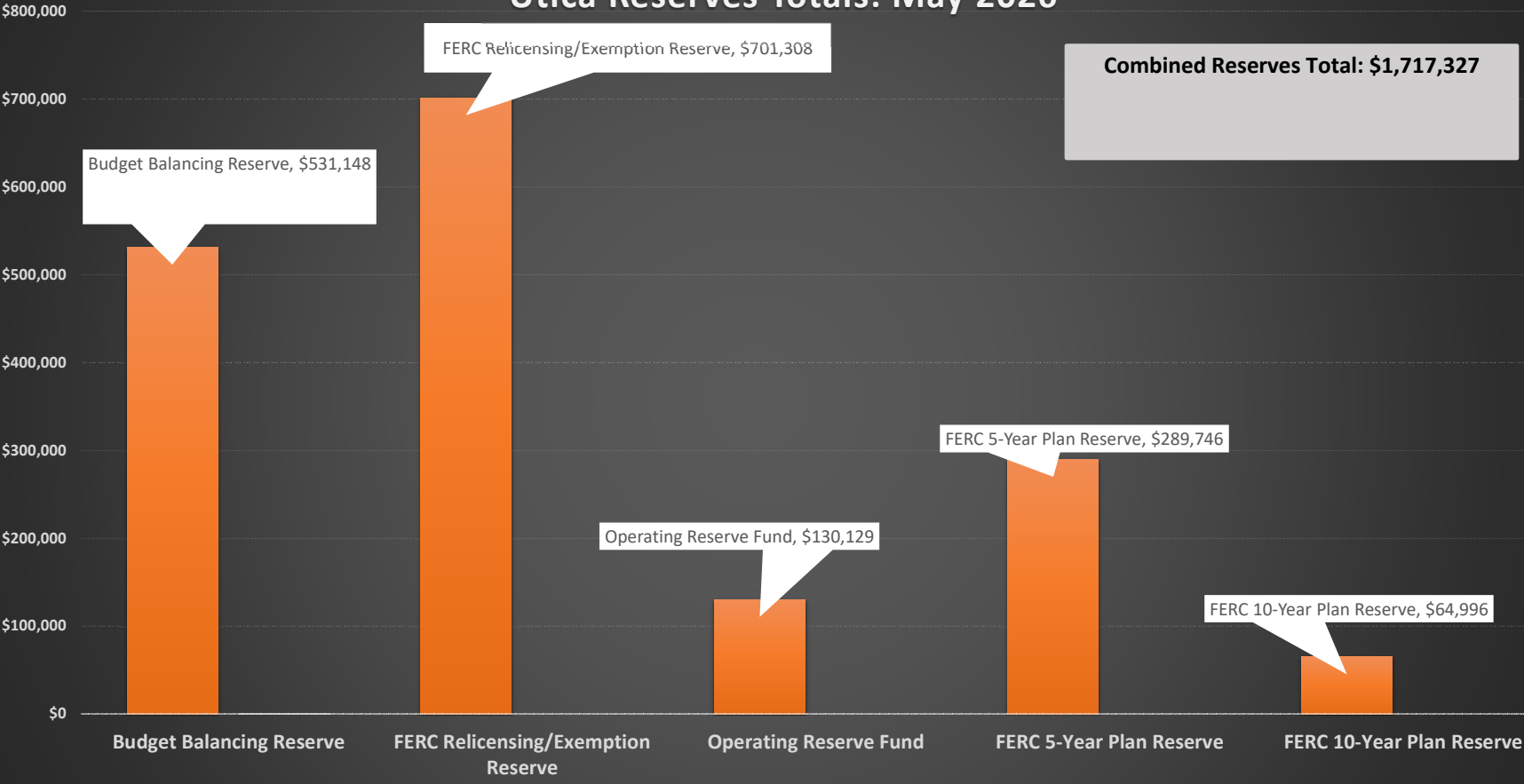
	TOTAL
Stantec	7,947.29
Staples Credit Plan	365.19
Starlink	360.00
State Compensation Insurance Fund	2,296.50
Streamline	524.00
SWCA Environmental Consultants	19,356.57
Tire Hub	1,579.93
Toshiba Financial Services	208.34
UPUD.	369.02
Verizon Wireless	1,903.92
XTreme US	251.45
	0.00
TOTAL	\$137,765.74

May 2026 Reserve Contributions

- FERC Relicensing/Exemption Reserve
- FERC 10 Year Plan Reserve
- FERC 5 Year Plan Reserve
- Operating Reserve Fund



Utica Reserves Totals: May 2026





Item 5G

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May

2026

Water Year 3 as of May 1, 2026

RAINFALL

		APH	MPH
Rainfall	May	0.10	0.75
WYTD rainfall	10/1/25- 9/30/26	34.45	34.25
	WYTD this time last year	26.35	29.60

GENERATION

ANGELS (sold to PG&E)		
FROGTN_1_UTICAA		
PG&E has concurred		346

MURPHYS (sold to PG&E)		
FROGTN_1_UTICAM		
PG&E has concurred		0

TOTAL GENERATION:		346 MWhr
--------------------------	--	-----------------

REVENUES

May 2026 projected scheduled generation:

	\$27,577	APH (.476) @ \$78.00 (1)
	\$76,641	MPH (1.4) @ \$73.50
	\$104,217	Projected Total

May 2026 actual received generation:

	\$27,065	APH (0.8) @ \$78.28
	\$0	MPH (0) @ \$73.50 (2)
	\$27,065	Actual Total

(1) Note that even though the APH ReMAT PPA is at \$89/MWh the TOD for APH varies during the year

(2) Represents MPH gross revenue. Penalties will be applied when generation does not meet CAISO's RAIM policy

Power Revenue:		\$27,065
RAAIM Fee:		TBD
Negative LMP Charge		\$0
Water Revenue:		\$0
Water and Power:		\$27,065

OUTAGES

P=planned

IF = internal forced outage

EF = external forced outage

Date	Time Off	Duration	Cause	Plant
5/1/2026	0:00	Ongoing	IF - Turbine Sphere Valve	MPH
5/4/2026	1:10	57 mins	EF - PGE Cause Unknown	APH
5/9/2026	23:56	55 mins	EF - Momentary Outage on PGE Grid	APH
5/19/2026	8:04	4 hours 23 mins	P - PGE Outage; Grid Testing	APH

WATER

May '26 - Att A 2,257 + 536 carryover from April '26

S-66 + S-127

LESS water sale to NCPA

Carry over to June

	2,793	AF
	2,030	AF
	0	AF
	736	



Item 5H

[Return to Agenda](#)

UTICA WATER AND POWER AUTHORITY

Date: May 22, 2026

To: Erk Holt, GM

From: Frank Fields, O&M Superintendent

Re: Operations Report: April 2026 – May 2026

Hunter Dam

- PG&E undergrounding of distribution circuits continues
- SCADA system Ignition work was performed to re-establish connectivity to operator phones.

Murphys Powerhouse

- Powerhouse remains offline
- Protection relays tested
- Battery bank load tested
- TSV findings from Martech submitted to insurance
- GFT engineers performed scoping for the 5 MVA Transformer

Murphys Afterbay

- S78 replacement actuator nut had to wait for cutting tool to get machined
- Generator Service A schedule was performed by Gold Electric

Angels Powerhouse

- Continuing to operate
- IETS letter regarding the squirrel damaged vacuum reclosure was provided to insurance

Angels Admin

- Angels Admin generator Service A schedule was performed by Gold Electric
- ADT Alarming is in use

Operationally

- Cody Cable is progressing in training for the relief operator position
- Several trips to Martech shop were performed to get visual updates on TSV progress.
- UWPA altered flows for the May Trout Fishing Event in Murphys Park



Item 5I

[Return to Agenda](#)

UTICA WATER AND POWER AUTHORITY

Date: June 22, 2026

To: Erk Holt, GM

From: Frank Fields, O&M Superintendent

Re: Operations Report: May 2026 – June 2026

Hunter Dam

- PG&E completed the underground in our area and energized the new feeders. This also included the Tunnel Tap.
- Utica performed a rotation check to ensure proper operation of spill gates

Murphys Powerhouse

- Penstock coating issue was addressed during the TSV outage
- Reached out to GE Vernova and Ed Wade attempting to schedule assessment during the week November outage. In order for assessment to be performed, GE Vernova required Asbestos testing and an asbestos free certification.
- Asbestos sampling performed on June 10th and submitted to lab. The results came back negative so mitigation and additional delays are not anticipated.
- Powerhouse has been in operation since June 4th
- Received initial Transformer Scoping documentation from Gannet Flemming
- Expecting protection relay submittals to have PG&E comments returned before end of June. These comments will need to be addressed in order finalize a Pre-parallel inspection once the relay installation is completed.

Murphys Afterbay

- S78 actuator bearings have been sourced, The replacement actuator nut has been machined and is expected to be installed June 23rd.

Angels Powerhouse

- JPIA insurance handler Stan Fandrich was replaced by Mathew Solorio so previous information submitted was forwarded to address the APH reclosure claim



Item 5J

[Return to Agenda](#)

UTICA WATER AND POWER AUTHORITY

Date: June 23, 2026
To: Erik Holt, General Manager
From: Kyle Rasmussen, Conveyance Supervisor
Re: Conveyance Report

Conveyance work

- Annual road maintenance. Vegetation removal with the masticator head on the excavator, grading and gravel where needed.
- Routine canal patrols
- Monthly Dam safety inspections and penstock inspection
- Assist with TSV re-installation and penstock coatings.

Dam Safety

- Vegetation control on all the dams with Cal Fire crews. We had to weed eat the dams twice this year. With the late spring rains, the vegetation grew back

Utica Canal vandalism

- During routine canal patrol on June 3rd, conveyance staff found vandalism and garbage left behind by people trespassing behind closed gates at ¾ Mile Flume and Flume 15. There was graffiti sprayed on the flumes and rock structures as well as empty float tube boxes.



Canal Rd. maintenance



Murphys Forebay West Dam





Item 5K

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UTICA WATER AND POWER AUTHORITY

Date: May 26, 2026
To: Erik Holt, General Manager
From: Brandi Sparks, Administrative Compliance Specialist
Re: FERC Exemption Invoicing April 2026

Informational Item.

Staff reports the following regarding recent FERC Exemption invoices:

- **Stantec** – Two invoices were received in April for services through April 3, 2026.
 - Invoice 2555521, for Task order 10 – Project Management, Strategic Coordination, and Technical Studies totaling \$6,229.56.
 - Invoice 2555519, for Task Order 7/ Task Order 9 – 401/CEQA Technical Studies, Agency Consultation totaling \$3,142.88.
- **SWCA Environmental Consultants** – One invoice was received in April for services through April 11, 2026.
 - Invoice 244453, for Project Management, Cultural Resources Reporting, and Resource Evaluation, Finding of Effect totaling \$16,451.44.
- **Rock Creek** – No invoices were received in April

Staff will continue to monitor incoming invoices and provide updates as outstanding billings are received.

Attachments: Stantec Invoices: 2555521 and 2555519. SWCA invoice 244453.

Erik Holt, General Manager
Utica Water and Power Authority
GM@uticawater.com

Dear Mr. Holt,

**Reference: Utica Water and Power Authority – Stantec Invoice and Progress Report
(Through April 3, 2025)**

This Progress Report provides a breakdown of the work activities performed by Stantec covering billing period March 6, 2026, through April 3, 2026 to support Utica Water and Power Authority (UWPA) on strategic and technical services for pursuit of the FERC Conduit Exemption at the Angels Hydroelectric Project and Utica Hydroelectric Project. This invoice for **\$9,442.44** represents the following tasks:

Task Order 10 - Project Management, Strategic Coordination, WQ Technical Studies (\$6,229.56)

Activities performed related to this task order include overall strategic support to UWPA and execution authorized technical studies for the Angels Project and Murphys Project. The following presents the staff activities related to Task Order 10 during the subject billing period:

- Mike Manwaring (6 hours) – Strategic coordination across team; meetings on finalizing water quality report, monitoring FERC filings.
- Dylan Doherty (5 hours) - Project management support and coordination for invoice development and submittals to UWPA.
- Robert Stoddard (20 hours) – finalizing Water Quality Report for both Angels and Murphys projects, updates to figures based on UWPA feedback.
- Lorraine Hubick (0.75 hour) – GIS figure updates for Water Quality Report.
- Wayne Lifton (3.5 hours) – QC/review of Water Quality Report for both Angels and Murphys projects.

Task Order 7/Task Order 9 - 401/CEQA Technical Studies, Agency Consultation (\$3,142.88)

These charges represent Stantec's activities performed related to conducting authorized technical studies associated with Task Order 7 and Task Order 9, to support activities UWPA agreed do during the negotiations of the FERC Conduit Exemption Application process.

- Task Order 7 - 401 WQ Applications Support:
 - No staff activity or billing at this time.
- Task Order 9 - CEQA Compliance Support:
 - Nicole Darby (14.5 hours) – Ongoing development of draft CEQA IS/MND document for the Angels Project and Murphys Project; coordination with UWPA and team on deliverables; response to comments on draft documents.

Project Billing Summary

4/27/2026


224202690/185707085 Project March 2026 Billing Summary Utica Water and Power Authority FERC Conduit Exemption Regulatory Support Services						
Top Task Description	Contract Value	Previously Invoiced	Current Invoice	Invoiced to Date	Contract Remaining	% of Contract Invoiced
Task Order 1 - PM, IDC Support, Site Visit	\$ 185,265.00	\$184,609.37	\$ -	\$ 184,609.37	\$ 655.63	99.65%
Task Order 2 - Strategic Support/CE Applications	\$ 156,278.00	\$156,123.01	\$ -	\$ 156,123.01	\$ 154.99	99.90%
Task Order 3 - Foothill Yellow Legged Frog	\$ 155,058.88	\$154,375.97	\$ -	\$ 154,375.97	\$ 682.91	99.56%
Task Order 4 - SHPO Consultation / TPP / APE Letter	\$ 29,989.00	\$ 29,985.77	\$ -	\$ 29,985.77	\$ 3.23	99.99%
Task Order 5: Water Quality Monitoring Program	\$ 121,781.20	\$139,897.52	\$ -	\$ 139,897.52	\$ (18,116.32)	114.88%
Task Order 6: Fish Study Plan	\$ 109,570.37	\$ 84,774.58	\$ -	\$ 84,774.58	\$ 24,795.79	77.37%
Task Order 7: 401 WQ Applications	\$ 14,543.93	\$ 14,430.88	\$ -	\$ 14,430.88	\$ 113.05	99.22%
Task Order 8: Support for Regulatory Services	\$ 50,495.85	\$ 50,379.54	\$ -	\$ 50,379.54	\$ 116.31	99.77%
Task Order 9: CEQA Compliance Support	\$ 81,962.53	\$ 75,657.25	\$ 3,142.88	\$ 78,800.13	\$ 3,162.40	96.14%
Task Order 10: Support to UWPA	\$ 184,166.70	\$ 92,143.93	\$ 6,299.56	\$ 98,443.49	\$ 85,723.21	53.45%
Total for Project# 224202690/185707085	\$1,089,111.46	\$982,377.82	\$ 9,442.44	\$ 991,820.26	\$ 97,291.20	91.07%

Note: The following task orders are closed to future billings: TO-1 thru TO-6 and TO-8.

Should you have any questions on this invoice, please reach out to me.

Sincerely,

STANTEC CONSULTING SERVICES INC.



Michael Manwaring PG
 Western U.S. Regional Sector Lead (Energy)
 Phone: 425-750-7989
 michael.manwaring@stantec.com



INVOICE

Invoice Number 2555521
Invoice Date April 27, 2026
Customer Number 189496
Project Number 224202690

Bill To
Utica Water and Power Authority
Joel Metzger
1168 Booster Way
Angels Camp CA 95222
United States

EFT/ACH Remit To (Preferred)
Stantec Consulting Services Inc. (SCSI)
Bank of America
ABA No.: 111000012
Account No: 3752096026
Email Remittance: eft@stantec.com

Alternative Remit To
Stantec Consulting Services Inc. (SCSI)
13980 Collections Center Drive
Chicago IL 60693
United States
Federal Tax ID 11-2167170

Project Description: FERC Conduit Exemption Regulatory Support Services

Stantec Project Manager: Doherty, Dylan J
Authorization No: PSA
Authorization Amount: \$621,107.54
Authorization Previously Billed: \$545,394.79
Authorization Billed to Date: \$551,694.35
Current Invoice Due: \$6,299.56
Bill Through Date: March 31, 2026

Client Invoices: reception@uticawater.com
Client Contact Name: Joel Metzger (joelm@uticawater.com)

INVOICE

Invoice Number
Project Number

2555521
224202690

PSA

Top Task 1000 TO 10 - Sub. 12025

Professional Services

Billing Level

	Hours	Rate	Current Amount
Principle QA/QC/Strategic Advisor			
Manwaring, Michael D	4.00	296.00	1,184.00
	<u>4.00</u>		<u>1,184.00</u>
Professional Services Subtotal	<u>4.00</u>		<u>1,184.00</u>

Disbursements

	Date	Cost	%	Current Amount
Flat Rate Disbursement				
6.25% of 1,184.00				
	2026-03-31			74.00
Disbursements Subtotal				<u>74.00</u>

Top Task 1000 Total 1,258.00

Top Task 1010 TO 10 - Sub. 1b 2026

Professional Services

Billing Level

	Hours	Rate	Current Amount
Project/Contract Management			
Doherty, Dylan J	4.00	164.00	656.00
	<u>4.00</u>		<u>656.00</u>
Professional Services Subtotal	<u>4.00</u>		<u>656.00</u>

INVOICE

Invoice Number
Project Number

2555521
224202690

Disbursements

	Date	Cost	%	Current Amount
Flat Rate Disbursement				
6.25% of 656.00				
	2026-03-31			41.00
Disbursements Subtotal				41.00

Top Task 1010 Total	697.00
----------------------------	---------------

Top Task 1030 TO 10 - Sub. 3

Professional Services

Billing Level

	Hours	Rate	Current Amount
Principle Scientist/FERC Specialist			
Lifton, Wayne	2.75	285.00	783.75
	2.75		783.75
Senior Specialist			
Stoddard, Robert Howard	18.00	178.00	3,204.00
	18.00		3,204.00
Technical Editor			
Hubick, Cindy Lorraine	0.75	135.00	101.25
	0.75		101.25
Professional Services Subtotal	21.50		4,089.00

Disbursements

	Date	Cost	%	Current Amount
Flat Rate Disbursement				
6.25% of 4,089.00				
	2026-03-31			255.56

INVOICE

Invoice Number

2555521

Project Number

224202690

Disbursements Subtotal

255.56

Top Task 1030 Total

4,344.56

Total Fees & Disbursements

\$6,299.56

INVOICE TOTAL (USD)

\$6,299.56



INVOICE

Invoice Number 2555519
Invoice Date April 27, 2026
Customer Number 189496
Project Number 185707085

Bill To
Utica Water and Power Authority
Joel Metzger
1168 Booster Way
Angels Camp CA 95222
United States

EFT/ACH Remit To (Preferred)
Stantec Consulting Services Inc. (SCSI)
Bank of America
ABA No.: 111000012
Account No: 3752096026
Email Remittance: eft@stantec.com

Alternative Remit To
Stantec Consulting Services Inc. (SCSI)
13980 Collections Center Drive
Chicago IL 60693
United States
Federal Tax ID 11-2167170

Project Description: Utica and Angels CEQA - Admin Draft ISMNDS

Stantec Project Manager: Bezy, Bernadette
Authorization Amount: \$96,506.46
Authorization Previously Billed: \$90,088.13
Authorization Billed to Date: \$93,231.01
Current Invoice Due: \$3,142.88
Bill Through Date: March 31, 2026

Client Invoices: reception@uticawater.com
Client Contact Name: Joel Metzger (joelm@uticawater.com)

INVOICE

Invoice Number

255519

Project Number

185707085

Top Task 3

Administrative Draft IS/MND

Professional Services

Billing Level

	Hours	Rate	Current Amount
CEQA Manager			
Darby, Nicole S	14.50	204.00	2,958.00
	14.50		2,958.00
Professional Services Subtotal	14.50		2,958.00

Disbursements

	Date	Cost	%	Current Amount
Flat Rate Disbursement				
6.25% of 2,958.00				
	2026-03-31			184.88
Disbursements Subtotal				184.88

Top Task 3 Total

3,142.88

Total Fees & Disbursements

\$3,142.88

INVOICE TOTAL (USD)

\$3,142.88

Routing # 071922777 | Account # 7811583501 | Payable to: SWCA, Incorporated | Business Checking Account: First American Bank
 Notification: payment@swca.com | Physical Remittance: P.O. Box 7217, Carol Stream, IL 60197- 7217 | EIN: #86-0483317

Erik Holt
 Utica Water and Power Authority
 1168 Booster Way
 PO Box 358
 Angels Camp, California 95222

April 30, 2026
 Invoice No: 244453
 Project Manager: Indya Messier
 Project No: 00096602-000-SLC

Invoice Total	\$16,451.44
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UWPA FERC Cultural Resources

Professional Services through April 11, 2026

Phase	01	Project Management
Task	01	Project Management and Conference Calls

Professional Services

	Hours	Rate	Amount
Planning-Specialist III Ellis, Jessica	1.50	95.68	143.52
Planning-Specialist XI Messier, Indya	5.25	190.44	999.81
Totals	6.75		1,143.33
Total Professional Services			1,143.33
		Total this Task	\$1,143.33
		Total this Phase	\$1,143.33

Phase	02	Cultural Resources Study
Task	03	Resource Evaluation, Finding of Effect and Treatment Plan

Professional Services

	Hours	Rate	Amount
Cultural Resources-Specialist VIII Foster, Brandon	23.50	145.36	3,415.96
Cultural Resources-Specialist X Demarais, LC	16.75	173.88	2,912.49
GIS/CADD-Specialist X Respall, Aramis	3.50	173.88	608.58
Planning-Specialist XI Messier, Indya	13.25	190.44	2,523.33
Technical Writing/Editing-Specialist V Kapfer, Hannah	.50	113.16	56.58
Technical Writing/Editing-Specialist VI Knodell, Deidre	20.25	126.04	2,552.31
Cultural Resources-SME II Peabody, Joshua	.50	222.64	111.32
Totals	78.25		12,180.57
Total Professional Services			12,180.57

Total this Task \$12,180.57

Task 06 Cultural Resources Reporting

Professional Services

	Hours	Rate	Amount	
Planning-Specialist XI				
Messier, Indya	13.50	190.44	2,570.94	
Cultural Resources-SME II				
Peabody, Joshua	2.50	222.64	556.60	
Totals	16.00		3,127.54	
Total Professional Services				3,127.54

Total this Task \$3,127.54

Total this Phase \$15,308.11

Contract Limits

	Current	Prior	To-Date
Total Billings	16,451.44	139,480.94	155,932.38
Limit			189,863.00
Remaining			33,930.62

PLEASE PAY THIS AMOUNT =====> \$16,451.44

For any questions regarding this invoice please contact Saul Martinez
 Phone: 602.274.3831 Email: saul.martinez@swca.com

UWPA FERC Cultural Resources (Invoice)

Phase #	Task #	Name	Invoice through April 11, 2026	JTD Spent through April 11, 2026	Contract Amount	Budget Remaining	% Spent
1	1	Project Management and Project Controls	\$ 1,143.33	\$ 11,866.39	\$ 14,782.00	\$ 2,915.61	80%
2	1	Study Plan Development	\$ -	\$ 7,128.85	\$ 7,568.00	\$ 439.15	94%
	2	Fieldwork	\$ -	\$ 27,945.20	\$ 19,211.00	\$ (8,734.20)	145%
	3	Resource Evaluation, Finding of Effect	\$ 12,180.57	\$ 87,897.95	\$ 79,802.00	\$ (8,095.95)	110%
	4	Tribal Engagement	\$ -	\$ 2,788.98	\$ 3,500.00	\$ 711.02	80%
	5	Application Updates	\$ -	\$ 8,396.38	\$ 17,000.00	\$ 8,603.62	49%
	6	Cultural Resources Reporting	\$ 3,127.54	\$ 9,908.63	\$ 37,000.00	\$ 27,091.37	27%
	7	On-Call Support	\$ -	\$ -	\$ 11,000.00	\$ 11,000.00	0%
			\$ 16,451.44	\$ 155,932.38	\$ 189,863.00	\$ 33,930.62	82%



Item 5L

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UTICA WATER AND POWER AUTHORITY

Date: June 23, 2026
To: Erik Holt, General Manager
From: Brandi Sparks, Administrative Compliance Specialist
Re: FERC Exemption Invoicing May 2026

Informational Item.

Staff reports the following regarding recent FERC Exemption invoices:

- **Stantec** – Two invoices were received in May for services through May 8, 2026.
 - Invoice 2564310, for Task order 10 – Project Management, Strategic Coordination, and Technical Studies totaling \$4,737.42.
 - Invoice 2564308, for Task Order 7/ Task Order 9 – 401/CEQA Technical Studies, Agency Consultation totaling \$3,209.87.
- **SWCA Environmental Consultants** – One invoice was received in May for services through May 9, 2026.
 - Invoice 246304, for Project Management, Cultural Resources Reporting, and Resource Evaluation, Finding of Effect totaling \$2,905.13.
- **Rock Creek** – No invoices were received in May

Staff will continue to monitor incoming invoices and provide updates as outstanding billings are received.

Attachments: Stantec Invoices: 2564310 and 2564308. SWCA invoice 246304.

Routing # 071922777 | Account # 7811583501 | Payable to: SWCA, Incorporated | Business Checking Account: First American Bank
 Notification: payment@swca.com | Physical Remittance: P.O. Box 7217, Carol Stream, IL 60197- 7217 | EIN: #86-0483317

Erik Holt
 Utica Water and Power Authority
 1168 Booster Way
 PO Box 358
 Angels Camp, California 95222

May 27, 2026
 Invoice No: 246304
 Project Manager: Indya Messier
 Project No: 00096602-000-SLC

Invoice Total	\$2,905.13
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UWPA FERC Cultural Resources
Professional Services through May 9, 2026

Phase 01 Project Management

Task 01 Project Management and Conference Calls

Professional Services

	Hours	Rate	Amount	
Planning-Specialist III Ellis, Jessica	2.00	95.68	191.36	
Planning-Specialist XI Messier, Indya	2.75	190.44	523.71	
Totals	4.75		715.07	
Total Professional Services				715.07
		Total this Task		\$715.07
		Total this Phase		\$715.07

Phase 02 Cultural Resources Study

Task 03 Resource Evaluation, Finding of Effect and Treatment Plan

Professional Services

	Hours	Rate	Amount	
Cultural Resources-Specialist X Demarais, LC	1.00	173.88	173.88	
Planning-Specialist III Ellis, Jessica	1.00	95.68	95.68	
Planning-Specialist XI Messier, Indya	.25	190.44	47.61	
Totals	2.25		317.17	
Total Professional Services				317.17
		Total this Task		\$317.17

Task 06 Cultural Resources Reporting

Professional Services

	Hours	Rate	Amount
Planning-Specialist XI Messier, Indya	9.25	190.44	1,761.57

Project	00096602-000-SLC	UWPA FERC Cultural Resources	Invoice	246304
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Cultural Resources-SME II

Peabody, Joshua	.50	222.64	111.32
Totals	9.75		1,872.89

Total Professional Services **1,872.89**

Total this Task **\$1,872.89**

Total this Phase **\$2,190.06**

Contract Limits	Current	Prior	To-Date
Total Billings	2,905.13	155,932.38	158,837.51
Limit			189,863.00
Remaining			31,025.49

PLEASE PAY THIS AMOUNT =====> **\$2,905.13**

For any questions regarding this invoice please contact Saul Martinez
 Phone: 602.274.3831 Email: saul.martinez@swca.com

UWPA FERC Cultural Resources (Invoice)

Phase #	Task #	Name	Invoice through May 9, 2026	JTD Spent through May 9, 2026	Contract Amount	Budget Remaining	% Spent
1	1	Project Management and Project Controls	\$ 715.07	\$ 12,581.46	\$ 14,782.00	\$ 2,200.54	85%
2	1	Study Plan Development	\$ -	\$ 7,128.85	\$ 7,568.00	\$ 439.15	94%
	2	Fieldwork	\$ -	\$ 27,945.20	\$ 19,211.00	\$ (8,734.20)	145%
	3	Resource Evaluation, Finding of Effect	\$ 317.17	\$ 88,215.12	\$ 79,802.00	\$ (8,413.12)	111%
	4	Tribal Engagement	\$ -	\$ 2,788.98	\$ 3,500.00	\$ 711.02	80%
	5	Application Updates	\$ -	\$ 8,396.38	\$ 17,000.00	\$ 8,603.62	49%
	6	Cultural Resources Reporting	\$ 1,872.89	\$ 11,781.52	\$ 37,000.00	\$ 25,218.48	32%
	7	On-Call Support	\$ -	\$ -	\$ 11,000.00	\$ 11,000.00	0%
			\$ 2,905.13	\$ 158,837.51	\$ 189,863.00	\$ 31,025.49	84%

Erik Holt, General Manager
Utica Water and Power Authority
GM@uticawater.com

Dear Mr. Holt,

**Reference: Utica Water and Power Authority – Stantec Invoice and Progress Report
(Through May 8, 2025)**

This Progress Report provides a breakdown of the work activities performed by Stantec covering billing period April 4, 2026, through May 8, 2026 to support Utica Water and Power Authority (UWPA) on strategic and technical services for pursuit of the FERC Conduit Exemption at the Angels Hydroelectric Project and Utica Hydroelectric Project. This invoice for **\$7,682.30** represents the following tasks:

Task Order 10 - Project Management, Strategic Coordination, WQ Technical Studies (\$4,458.75)

Activities performed related to this task order include overall strategic support to UWPA and execution authorized technical studies for the Angels Project and Murphys Project. The following presents the staff activities related to Task Order 10 during the subject billing period:

- Mike Manwaring (6.5 hours) – Strategic coordination across team; CEQA document review, QC of final water quality report, project management support, and monitoring FERC filings.
- Dylan Doherty (7 hours) - Project management support and coordination for invoice development and submittals to UWPA.
- Robert Stoddard (6 hours) – Preparation of Addendum to Water Quality Report for both Angels and Murphys projects, UWPA comments and submittal of final deliverable.
- Lorraine Hubick (0.25 hour) – Assemble final Water Quality Report for submittal to UWPA.
- Wayne Lifton (1 hour) – QC/review of Water Quality Report for both Angels and Murphys projects.

Task Order 7/Task Order 9 - 401/CEQA Technical Studies, Agency Consultation (\$3,223.55)

These charges represent Stantec's activities performed related to conducting authorized technical studies associated with Task Order 7 and Task Order 9, to support activities UWPA agreed do during the negotiations of the FERC Conduit Exemption Application process.

- Task Order 7 - 401 WQ Applications Support:
 - Nicole Darby (0.5 hours) – Client communications regarding pre-filing information for development of 401 Water Quality Certification.
- Task Order 9 - CEQA Compliance Support:
 - Nicole Darby (6.25 hours), Ken Hogan (0.75 Hour) – Ongoing preparation of the CEQA IS/MND document for the Angels Project and Murphys Project, addressing UWPA comments, research on ADA compliance, development of reference list; coordination with UWPA and team on deliverables.
 - Amy Lehman (10.5 hours), Rose Keeler (0.25 Hour) – Formatting the draft CEQA IS/MND documents for the Angels Project and Murphys Project.

224202690/185707085 Project May 2026 Billing Summary Utica Water and Power Authority FERC Conduit Exemption Regulatory Support Services						
Top Task Description	Contract Value	Previously Invoiced	Current Invoice	Invoiced to Date	Contract Remaining	% of Contract Invoiced
Task Order 1 - PM, IDC Support, Site Visit	\$ 185,265.00	\$ 184,609.37	\$ -	\$ 184,609.37	\$ 655.63	99.65%
Task Order 2 - Strategic Support/CE Applications	\$ 156,278.00	\$ 156,123.01	\$ -	\$ 156,123.01	\$ 154.99	99.90%
Task Order 3 - Foothill Yellow Legged Frog	\$ 155,058.88	\$ 154,375.97	\$ -	\$ 154,375.97	\$ 682.91	99.56%
Task Order 4 - SHPO Consultation / TPP / APE Letter	\$ 29,989.00	\$ 29,985.77	\$ -	\$ 29,985.77	\$ 3.23	99.99%
Task Order 5: Water Quality Monitoring Program	\$ 121,781.20	\$139,897.52	\$ -	\$ 139,897.52	\$ (18,116.32)	114.88%
Task Order 6: Fish Study Plan	\$ 109,570.37	\$ 84,774.58	\$ -	\$ 84,774.58	\$ 24,795.79	77.37%
Task Order 7: 401 WQ Applications	\$ 14,543.93	\$ 14,430.88	\$ 108.38	\$ 14,539.26	\$ 4.67	99.97%
Task Order 8: Support for Regulatory Services	\$ 50,495.85	\$ 50,379.54	\$ -	\$ 50,379.54	\$ 116.31	99.77%
Task Order 9: CEQA Compliance Support	\$ 81,962.53	\$ 78,800.13	\$ 3,101.49	\$ 81,901.62	\$ 60.91	99.93%
Task Order 10: Support to UWPA	\$ 184,166.70	\$ 107,672.90	\$ 4,737.42	\$ 112,410.32	\$ 71,756.38	61.04%
Total for Project# 224202690/185707085	\$1,089,111.46	\$1,001,049.67	\$ 7,947.29	\$1,008,996.96	\$ 80,114.50	92.64%

Note: The following task orders are closed to future billings: TO-1 thru TO-6 and TO-8.

Should you have any questions on this invoice, please reach out to me.

Sincerely,

STANTEC CONSULTING SERVICES INC.



Michael Manwaring PG
Western U.S. Regional Sector Lead (Energy)
Phone: 425-750-7989
michael.manwaring@stantec.com



INVOICE

Invoice Number 2564310
Invoice Date May 19, 2026
Customer Number 189496
Project Number 224202690

Bill To
Utica Water and Power Authority
Joel Metzger
1168 Booster Way
Angels Camp CA 95222
United States

EFT/ACH Remit To (Preferred)
Stantec Consulting Services Inc. (SCSI)
Bank of America
ABA No.: 111000012
Account No: 3752096026
Email Remittance: eft@stantec.com

Alternative Remit To
Stantec Consulting Services Inc. (SCSI)
13980 Collections Center Drive
Chicago IL 60693
United States
Federal Tax ID 11-2167170

Project Description: FERC Conduit Exemption Regulatory Support Services

Stantec Project Manager: Doherty, Dylan J
Authorization No: PSA
Authorization Amount: \$621,107.54
Authorization Previously Billed: \$551,694.35
Authorization Billed to Date: \$556,431.77
Current Invoice Due: \$4,737.42
Bill Through Date: May 8, 2026

Client Invoices: reception@uticawater.com
Client Contact Name: Joel Metzger (joelm@uticawater.com)

INVOICE

Invoice Number
Project Number

2564310
224202690

PSA

Top Task 1000 TO 10 - Sub. 12025

Professional Services

Billing Level

	Hours	Rate	Current Amount
Principle QA/QC/Strategic Advisor			
Manwaring, Michael D	6.50	296.00	1,924.00
	<u>6.50</u>		<u>1,924.00</u>
Professional Services Subtotal	<u>6.50</u>		<u>1,924.00</u>

Disbursements

	Date	Cost	%	Current Amount
Flat Rate Disbursement				
6.25% of 1,924.00				
	2026-05-08			120.25
Disbursements Subtotal				<u>120.25</u>

Top Task 1000 Total 2,044.25

Top Task 1010 TO 10 - Sub. 1b 2026

Professional Services

Billing Level

	Hours	Rate	Current Amount
Project/Contract Management			
Doherty, Dylan J	7.00	164.00	1,148.00
	<u>7.00</u>		<u>1,148.00</u>
Professional Services Subtotal	<u>7.00</u>		<u>1,148.00</u>

INVOICE

Invoice Number
Project Number

2564310
224202690

Disbursements

	Date	Cost	%	Current Amount
Flat Rate Disbursement 6.25% of 1,148.00				
	2026-05-08			71.75
Disbursements Subtotal				71.75

Top Task 1010 Total	1,219.75
----------------------------	-----------------

Top Task 1030 TO 10 - Sub. 3

Professional Services

Billing Level

	Hours	Rate	Current Amount
Principle Scientist/FERC Specialist			
Lifton, Wayne	1.00	285.00	285.00
	1.00		285.00
Senior Specialist			
Stoddard, Robert Howard	6.00	178.00	1,068.00
	6.00		1,068.00
Technical Editor			
Hubick, Cindy Lorraine	0.25	135.00	33.75
	0.25		33.75
Professional Services Subtotal	7.25		1,386.75

Disbursements

	Date	Cost	%	Current Amount
Flat Rate Disbursement 6.25% of 1,386.75				
	2026-05-08			86.67

INVOICE

Invoice Number

2564310

Project Number

224202690

Disbursements Subtotal

86.67

Top Task 1030 Total

1,473.42

Total Fees & Disbursements

\$4,737.42

INVOICE TOTAL (USD)

\$4,737.42



INVOICE

Invoice Number 2564308
Invoice Date May 19, 2026
Customer Number 189496
Project Number 185707085

Bill To
Utica Water and Power Authority
Joel Metzger
1168 Booster Way
Angels Camp CA 95222
United States

EFT/ACH Remit To (Preferred)
Stantec Consulting Services Inc. (SCSI)
Bank of America
ABA No.: 111000012
Account No: 3752096026
Email Remittance: eft@stantec.com

Alternative Remit To
Stantec Consulting Services Inc. (SCSI)
13980 Collections Center Drive
Chicago IL 60693
United States
Federal Tax ID 11-2167170

Project Description: Utica and Angels CEQA - Admin Draft ISMNDS

Stantec Project Manager: Bezy, Bernadette
Authorization Amount: \$96,506.46
Authorization Previously Billed: \$93,231.01
Authorization Billed to Date: \$96,440.88
Current Invoice Due: \$3,209.87
Bill Through Date: May 8, 2026

Client Invoices: reception@uticawater.com
Client Contact Name: Joel Metzger (joelm@uticawater.com)

INVOICE

Invoice Number
Project Number

2564308
185707085

Lehman, Amy	10.50	135.00	1,417.50
	10.50		1,417.50
Professional Services Subtotal	17.50		2,885.25

Disbursements

	Date	Cost	%	Current Amount
Flat Rate Disbursement 6.25% of 2,885.25				
	2026-05-08			180.33
				180.33
Disbursements Subtotal				180.33

Top Task 3 Total **3,065.58**

Top Task 4 Prepare 401 Water Quality Certifications

Professional Services

Billing Level

	Hours	Rate	Current Amount
CEQA Manager			
Darby, Nicole S	0.50	204.00	102.00
	0.50		102.00
Professional Services Subtotal	0.50		102.00

Disbursements

	Date	Cost	%	Current Amount
Flat Rate Disbursement 6.25% of 102.00				
	2026-05-08			6.38
				6.38
Disbursements Subtotal				6.38

INVOICE

Invoice Number

2564308

Project Number

185707085

Top Task 4 Total

108.38

Total Fees & Disbursements

\$3,209.87

INVOICE TOTAL (USD)

\$3,209.87



Item 5M

[Return to Agenda](#)

UTICA WATER AND POWER AUTHORITY

Date: June 23, 2026
To: Erik Holt, General Manager
From: Stacie Walker, Administrative Specialist
Re: Water Contractor Request for Irrigation Service – Geiser

RECOMMENDED ACTION:

Staff recommends approving Andrew Geiser's application to become a water contractor and receive irrigation water from Utica Water and Power Authority (Utica).

BACKGROUND:

Utica has 23 water contractors that use water directly from the water conveyance system. Each year, the Utica Board considers approval of water contracts and the amount of water allocated to each contractor. Per Utica's policy, these contractors must request a water contract each year, and that request must be approved by Utica. These water contractors are not guaranteed any water. Even if a water contract is offered, Utica has the right to curtail or discontinue contractors' water usage if there is an insufficiency of supply, or for any reason.

SUMMARY:

Mr. Geiser purchased property in Murphys from the Bennett family. Mr. Geiser submitted an irrigation application for a 5-acre-foot allocation annually (attached). He plans to use water for irrigating landscape and fire suppression.

The irrigation connection for this property is already established directly off the Angles Canal.

Staff is confident that approving the transfer of service for the usage of 5 acre-feet annually will not affect Utica's ability to provide water to Joint Powers Authority members, other contractors, or the environment.

FINANCIAL CONSIDERATIONS:

A one-time transfer fee of \$2,500 that will be used to assess and upgrade the existing irrigation connection.

At the 2026 irrigation rates, approving Mr. Geiser's application would continue the collection of irrigation revenues for APN 057-004-029.

The following estimate is based on the 2026 irrigation fees:

- \$374 – Annual Admin Fee
- \$100 – Annual Meter Replacement Fee
- \$216 – Annual Operation and Maintenance Fee
- \$148.10 – Water use fee below Murphys Powerhouse \$29.62 / AF

ATTACHMENTS:

1. *Request for Irrigation Water – Andrew Geiser*



**REQUEST FOR IRRIGATION WATER
FROM THE UTICA/ANGELS HYDROELECTRIC PROJECTS**

I request 5 acre feet (AF)* of irrigation water per year. **

Describe the purpose of water use: Irrigation / Fire Suppression

Place of diversion: Canal

Physical address: 3300 Fairview Park Court, Murphys, CA 95247

Property APN: 057-004-029

Name: Andrew Geiser

Mailing address (if different from above):

PO Box 571

Murphys, CA

Phone: 415-990-5973

Andrew Geiser
Andrew Geiser (Apr 29, 2026 14:28:43 PDT)

Signature

04/29/2026
Date

Please email the completed form to: admin@uticawater.com

Or mail to: Utica Water and Power Authority
P.O. Box 358
Angels Camp, CA 95222

* 1 acre-foot (AF) = 43,560 cubic feet (CF) = 325,851 gallons (gal)
1 cubic foot per second (cfs) = 1.9835 acre feet (AF) per day
1 cubic foot (CF) = 7.48 gallons (gal)

** The normal "on-season" is May 1st through October 30th.



Item 6A

[Return to Agenda](#)

UTICA WATER AND POWER AUTHORITY

Date: June 23, 2026
To: Erik Holt, General Manager
From: Brandi Sparks, Administrative Compliance Specialist
Re: Adoption of Owner's Dam Safety Program (ODSP) and Reaffirmation of Commitment to Dam Safety

RECOMMENDED ACTION:

Staff recommends that the Board adopt Resolution No. 2026-06, Adoption of Owner's Dam Safety Program and Reaffirmation of Commitment to Dam Safety

BACKGROUND:

The Utica Water and Power Authority owns and operates dams and related facilities regulated by the Federal Energy Regulatory Commission (FERC) under Project Nos. P-2019 and P-2699, as well as the California Division of Safety of Dams (DSOD). Both agencies require dam owners to maintain comprehensive dam safety programs that include defined procedures, monitoring protocols, and clear lines of responsibility.

The Authority has developed and maintained an ODSP consistent with these requirements and industry best practices. Recently, an independent external audit of the ODSP was completed. The audit provided recommendations aimed at strengthening procedures, documentation, and communication processes and the ODSP has been updated in accordance with the recommendations.

SUMMARY:

Adoption of the ODSP by formal Board resolution demonstrates the Authority's continued commitment to dam safety and regulatory compliance. The plan establishes a structured framework for safe operation and maintenance of dam facilities, routine inspection and monitoring, defined staff roles and responsibilities, effective communication and reporting procedures, ongoing evaluation and continuous improvement.

Attachment:

- 1. Resolution No. 2026-06 – ODSP Adoption and Reaffirmation of Commitment to Dam Safety*



RESOLUTION NO. 2026-06

A RESOLUTION OF THE BOARD OF DIRECTORS OF THE UTICA WATER AND POWER AUTHORITY ADOPTING THE OWNER'S DAM SAFETY PROGRAM AND REAFFIRMING THE AUTHORITY'S COMMITMENT TO DAM SAFETY

WHEREAS, the Utica Water and Power Authority (Authority) owns, operates, and maintains dams and related facilities subject to the jurisdiction of the Federal Energy Regulatory Commission (FERC), including the Utica Project (FERC Project No. P-2019) and the Angels Project (FERC Project No. P-2699); and

WHEREAS, the Authority's dams are also subject to oversight by the California Division of Safety of Dams (DSOD), which requires dam owners to operate and maintain their facilities in a safe manner and in accordance with applicable State regulations; and

WHEREAS, FERC and DSOD require dam owners to implement comprehensive dam safety programs, including policies, procedures, and monitoring practices to ensure the safe operation and maintenance of jurisdictional dams; and

WHEREAS, the Authority has developed and maintains an Owner's Dam Safety Program (ODSP) consistent with regulatory requirements and industry best practices; and

WHEREAS, the Authority recently completed an independent external audit of its ODSP, which provided recommendations for continued program improvement; and

WHEREAS, the Board of Directors recognizes that dam safety is a fundamental responsibility requiring continuous attention, proactive management, and clear communication across all levels of the organization; and

WHEREAS, maintaining dam safety requires a coordinated effort involving trained personnel, defined roles and responsibilities, routine inspection and monitoring, and prompt response to changing conditions.

NOW, THEREFORE, BE IT RESOLVED, that the Board of Directors of the Utica Water and Power Authority formally adopts the ODSP in compliance with requirements of the FERC and the DSOD, reaffirms its commitment to dam safety, and authorizes the General Manager to implement and maintain the program. The Authority commits to providing necessary resources, ensuring ongoing updates, and continuous improvement.

ON A MOTION, by Director , and seconded by Director , the foregoing resolution was duly passed and adopted by the Board of Directors of the Utica Water and Power Authority on the 23th day of June 2026, by the following vote:

AYES:
NOES:

ABSENT:
ABSTAIN:

Caroline Schirato, Board Chair

ATTEST:

Stacie Walker, Board Clerk

DRAFT



Item 6B

[Return to Agenda](#)

UTICA WATER AND POWER AUTHORITY

Date: June 19, 2026
To: Board of Directors
From: Erik Holt, General Manager
Re: Discussion/Adoption of the Strategic Plan

RECOMMENDED ACTION:

Motion to adopt Resolution 2026-07, adopting the 2026–2030 Strategic Plan as presented

SUMMARY:

The draft 2026–2030 Strategic Plan (the “Plan”) is presented for the Board’s review and action. The Plan establishes a disciplined policy framework to guide UWPA’s decision-making over the five-year planning horizon and integrates with the Authority’s Master Plan, CIP, and annual budget process. It sets policy direction and priorities rather than prescribing specific projects or funding amounts.

BACKGROUND:

UWPA operates a 27-mile water conveyance system, multiple reservoirs, and legacy hydroelectric assets – much of it more than a century old – in trust for the communities of Calaveras County. The Plan responds to four converging pressures identified in Section 3 of the Plan: aging infrastructure and reliability vulnerability, wildfire and emergency water supply risk, financial constraints, and deferred-investment pressure, and a pivotal regulatory decision point under Federal Energy Regulatory Commission (FERC) oversight.

The Plan is anchored by seven strategic goals: (1) Water Conveyance Reliability & Resilience; (2) Hydroelectric Asset Readiness & Revenue Protection; (3) Compliance and Risk Reduction; (4) Infrastructure Stewardship & System Modernization; (5) Financial Sustainability & Reserve Stability; (6) Grant Readiness & External Funding; and (7) Organizational Effectiveness & Safety Culture.

A central feature of the Plan is the Regulatory Fork framework (Section 5), which identifies two compliant FERC pathways – achievement of an exemption (target 2028) or continuation of full relicensing (target 2033) – and defines the decision triggers and Board actions associated with each. Under either outcome, UWPA remains compliant, authorized, and operational.

Implementation occurs through a rolling FY26-FY30 work plan, with progress tracked against a focused Performance Measures Dashboard.

In reviewing the draft, the Board may wish to focus its discussion on whether the seven strategic goals reflect its priorities; whether the Regulatory Fork assumptions, decision triggers, and scenario-shift provisions are appropriate; whether the financial strategy, reserve philosophy, and regulatory-path budget adders provide a sound framework; whether the performance measures support effective oversight; and whether the annual review and amendment provisions are sufficient to keep the Plan a useful living document.

Because the Plan is expressly designed as a living document subject to annual reaffirmation and amendment, adoption does not foreclose future refinement; it establishes the policy framework within which the FY2026–27 budget and CIP can proceed.

FINANCIAL IMPACTS:

Adoption of the Strategic Plan has no direct fiscal impact. The Plan sets policy direction and priorities; it does not authorize expenditures, appropriate funds, or commit the Authority to specific projects or funding levels. All capital projects and funding decisions remain subject to separate Board approval through the annual budget and CIP adoption process.

Attachments

- 1. Resolution 2026-07 Approving Strategic Plan FY 26-30*
- 2. 2026-2033 Strategic Plan (Draft) – Exhibit 1*



RESOLUTION NO. 2026-07

A RESOLUTION OF THE BOARD OF DIRECTORS OF THE UTICA WATER AND POWER AUTHORITY ADOPTING THE 2026-2030 STRATEGIC PLAN

WHEREAS, the Utica Water and Power Authority (Utica) provides raw water to Joint Powers Authority (JPA) member agencies and irrigation contractors by operating a 27-mile-long water conveyance system; and

WHEREAS, the Board of Directors recognizes the importance of long-term strategic planning to fulfill the organization's mission, establish clear priorities, and guide the allocation of resources; and

WHEREAS, the Board of Directors recognizes that continued stewardship of Utica's infrastructure requires proactive planning, responsible asset management, operational resilience, and ongoing investment in maintenance, improvements, and regulatory compliance; and

WHEREAS, the Board of Directors recognizes that the 2026–2030 Strategic Plan is intended to serve as a dynamic framework that will be reviewed periodically and may be updated or amended as necessary to reflect changing operational needs, regulatory requirements, financial conditions, infrastructure priorities, and organizational objectives; and

WHEREAS, the Board of Directors intends for the Strategic Plan to be reviewed annually in conjunction with Utica's Capital Improvement Program ("CIP"), budget development process, and long-term financial planning efforts to ensure alignment between strategic priorities, available resources, and implementation activities; and

NOW, THEREFORE, BE IT RESOLVED, that the Utica Board officially adopts the 2026-2030 Strategic Plan as presented – Exhibit 1.

BE IT FURTHER RESOLVED, that the General Manager is authorized to take all actions necessary to implement the Strategic Plan.

ON A MOTION, by Director xxxx, and seconded by Director xxx, the foregoing resolution was duly passed and adopted by the Board of Directors of the Utica Water and Power Authority on the 23th day of June 2026, by the following vote:

AYES:
NOES:
ABSENT:
ABSTAIN:

Caroline Schirato, Board Chair

ATTEST:

Stacie Walker, Clerk of the Board

DRAFT

Exhibit 1



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*We are **committed to preserving local control** of our **water resources** through sound fiscal and operational management, **rigorous dam safety practices**, and **regulatory compliance**, ensuring a **safe and reliable water supply** for **municipal and agricultural stakeholders** now and for **future generations**.*

Executive Summary

Utica Water and Power Authority (UWPA) is a Joint Powers Authority with a long and significant history of providing water conveyance and hydroelectric services to the communities of Calaveras County. UWPA's infrastructure—much of which dates back more than a century—remains critical to municipal water supply, agricultural use, public safety, and regional energy generation. This Strategic Plan establishes a clear, disciplined framework to guide UWPA's decisions over the 2026–2030 planning horizon.

The Strategic Plan is designed to address current conditions and emerging challenges, including aging infrastructure, wildfire and emergency supply risks, financial constraints, and a pivotal regulatory decision point associated with Federal Energy Regulatory Commission (FERC) oversight. Rather than treating uncertainty as a barrier to planning, the plan explicitly incorporates regulatory uncertainty through a two-scenario framework, ensuring UWPA remains compliant, operational, and financially responsible under either outcome.

The plan is anchored by seven strategic goals that define UWPA's priorities over the next five years. These goals focus on water conveyance reliability and resilience; hydroelectric asset readiness and revenue protection; compliance and risk reduction; infrastructure stewardship and modernization; financial sustainability and reserve stability; grant readiness and external funding; and organizational effectiveness and safety culture. Together, these goals establish a balanced approach that protects core services while advancing long-term system reliability and affordability.

A central feature of the Strategic Plan is the Regulatory Fork framework, which identifies two compliant regulatory paths: achievement of a FERC exemption by 2028 or continuation of full relicensing through 2033. The plan defines clear decision triggers, planning assumptions, and Board actions associated with each path, allowing UWPA to adapt capital planning, staffing, and financial strategies as regulatory outcomes become clearer.

Financial strategy is closely integrated with strategic priorities through a disciplined Capital Improvement Plan (CIP), defined reserve targets, and a selective grant strategy. The plan distinguishes between operating and maintenance baselines, capital outlay, and capital projects, and incorporates regulatory-path budget adders to transparently reflect differing cost horizons. This approach supports informed Board decision-making while maintaining affordability and fiscal resilience.

Implementation of the Strategic Plan occurs through annual budgets, the CIP, and rolling work plans. Clear accountability is established for each strategic goal, and progress is tracked using a focused Performance Measures Dashboard that emphasizes reliability, risk reduction, financial stability, grant performance, and regulatory compliance.

This Strategic Plan is intended to be a living governance document. It does not prescribe specific projects or funding levels but instead provides a policy framework that guides annual decisions and adapts to changing conditions. Through annual review and Board reaffirmation, the plan ensures UWPA remains aligned with its mission to preserve local control, operate safely and compliantly, and provide reliable water and power services for generations to come.

SECTION 1 — UWPA HISTORY

Utica Water and Power Authority (UWPA) is a regional water and power agency with origins dating to the California Gold Rush. Its system was initially developed in the mid-19th century to support mining operations and has since evolved into a critical public water supply and hydropower infrastructure serving communities in Calaveras County.

**History of the
UTICA WATER
AND POWER AUTHORITY**

**JUMPING FROG
CALAVERAS COUNTY**

**Traces Roots to the
GOLD RUSH**

1852 Union Water Company
Organized in Murphys & Columbia
Brings Reliable Water to Mining Operations
in Murphys, Columbia, Angels Camp & Washington Flat

**1853–1854 Water System Completed
& Expanded**
Builds Network of Canals & Flumes
to Deliver Water

1880s Utica Gold Mining Co. Assumes Control
Built for High Elevation Storage
Acquired Calaveras County Water Company

1889 Lake Alpine (Silver Valley Reservoir) Created.
Dammed Silver Creek to Form Alpine Lake Storage

1895–1900 Hydropower Begins

1901–1911, Major Storage Upgrades
• Union Reservoir Enlarged (1901–03)
• Lake Alpine Dam Raised (1905–06)
• Utica Reservoir Upgraded

**UTICA
WATER AND POWER AUTHORITY
EST. 1852**

We are committed to preserving and protecting local control of water resources through effective fiscal and operational management and assuring a safe and reliable water supply for municipal and agricultural stakeholders, while ensuring the quality and quantity of this resource for come.

Figure 2. Lower System Overview

Map showing the lower system overview with various locations and infrastructure. Legend includes:
 - Utica Water Authority Owned & Operated
 - Utica Water Authority Operated
 - Calaveras County Water District Owned
 - Hardness, California State District Operated
 - Calaveras County Water Dist. Operated

UWPA traces its roots to the Union Water Company (UWC), formed in 1852 to deliver water from Mill Creek and Angels Creek to mining operations in Murphys and Angels Camp. By 1854, UWC expanded its system to the North Fork of the Stanislaus River and constructed high-elevation storage, including Union Reservoir (1858). Additional conveyance canals, flumes, and reservoirs were built throughout the late 1800s to support expanding mining activity.

In the 1880s, the Utica Gold Mining Company (UGMC) acquired UWC's assets and significantly expanded water storage and power generation. Lake Alpine was completed in 1889, followed by the construction of multiple powerhouses in Angels Camp and Murphys between 1895 and 1906. Utica Reservoir was completed in 1906, and the original Spicer Meadow Reservoir followed in 1929, forming the backbone of the up-country storage system.

As mining declined in the early 1900s, system use shifted toward agricultural irrigation and residential and commercial

consumptive supply. A 1939 dispute regarding service obligations resulted in a settlement allowing the Calaveras Water Users Association—now Union Public Utility District (UPUD)—to purchase water from the Utica system to serve customers outside Angels Camp.

In 1946, UGMC sold the water conveyance system and powerhouses to Pacific Gas and Electric (PG&E), which operated and invested in the system for several decades. In the late 1980s, during the Federal Energy Regulatory Commission (FERC) relicensing process, a competing application by the Northern California Power Agency (NCPA) raised community concerns regarding outside control of long-held water rights. Following extensive negotiations, the Utica Power Authority was formed in 1996 as a Joint Powers Authority (JPA) consisting of the Calaveras County Water District (CCWD), UPUD, and the City of Angels Camp. The JPA acquired ownership of a 27-mile water conveyance system, five reservoirs, and two powerhouses. Under the settlement agreement, UWPA retained 60 cubic feet per second (CFS) of water rights, while NCPA received 28 CFS.

UWPA also benefits from the North Fork Stanislaus River Project, constructed in the 1980s and owned by CCWD. This project includes New Spicer Dam and Powerhouse, McKay's Dam, the Collierville Tunnel, and the Collierville Powerhouse. UWPA withdraws water from the Collierville Tunnel via a gravity-fed "Tunnel Tap" near Avery, delivering water into the Upper Utica Canal and the remaining Utica conveyance system without the need for pumping.

In 2001, the Darby Fire destroyed the system's largest wooden flume and several smaller structures, temporarily cutting off water service to more than 10,000 residents. The system was rebuilt over a 10-month period with state and federal emergency assistance. In 2003, CCWD withdrew from the JPA, leaving UPUD and the City of Angels Camp as the remaining members. In 2013, the agency was renamed Utica Water and Power Authority to reflect its primary mission: to provide a safe, reliable, and resilient water supply to the communities that depend on the Utica system, while continuing to manage legacy hydropower assets.

1.2 Governance and operations

UWPA is governed by a five-member Board of Directors (Board). The Board is comprised of two City of Angels Camp Council Members, two Union Public Utility District Directors, and one Public Member appointed by the other four members. Each entity has an alternate to fill-in if an appointed member is scheduled to be absent, as well as an appointed alternate Public Member.

1.3 Statement on Strategic, Master, and Capital Improvement Plans and Their Role in Annual Budgeting

A Strategic Plan, Master Plan, and Capital Improvement Plan (CIP) serve distinct but interdependent roles in organizational and community governance. Together, they form an integrated planning and budgeting framework that aligns long-term vision with annual decision-making and fiscal accountability.

The Strategic Plan establishes the why and what. It defines the organization's mission, vision, core values, and strategic priorities, typically over a three- to five-year horizon. The Strategic Plan sets policy direction, desired outcomes, and performance objectives, providing the foundational guidance for all subsequent planning and budgeting decisions.

The Master Plan translates the strategic vision into the how and where. It provides a comprehensive, long-range framework often spanning 10 to 20 years that identifies future service levels, facility needs, infrastructure requirements, and operational capacities. The Master Plan ensures that growth, development, and service delivery are aligned with the goals and priorities established in the Strategic Plan.

The Capital Improvement Plan (CIP) operationalizes these priorities through the when and with what resources. The CIP is a multi-year, fiscally constrained plan typically five to ten years that identifies, prioritizes, schedules, and funds specific capital investments such as facilities, equipment, and infrastructure. Each project included in the CIP should directly support the objectives of the Strategic Plan and the needs identified in the Master Plan.

These plans collectively inform annual planning and budgeting. During the annual budget process:

The Strategic Plan guides policy choices and funding priorities;

The Master Plan informs operational needs, service levels, and future capacity requirements; and

The CIP determines which capital projects advance to design, construction, or procurement in the budget year.

Annual operating and capital budgets represent the one-year execution of these longer-term plans, translating strategic goals and planned investments into authorized expenditures, staffing, and work programs. This alignment ensures that annual budgets are not reactive or ad hoc, but instead reflect deliberate, transparent, and accountable progress toward long-term objectives.

Together, these plans create a coherent planning and budgeting hierarchy: strategy defines direction, planning defines needs, investment allocates resources, and annual budgets deliver measurable results.



SECTION 2 — MISSION, VISION, AND VALUES

MISSION

We are committed to preserving local control of our water resources through sound fiscal and operational management, rigorous dam safety practices, and regulatory compliance, ensuring a safe and reliable water supply for municipal and agricultural stakeholders now and for future generations.

VISION

Utica Water and Power Authority envisions a future in which its historic water and hydroelectric systems are preserved, strengthened, and responsibly invested in to provide reliable, resilient water service and clean energy for generations to come.

VALUES

U — Upholding Public Trust

UWPA operates essential water conveyance and hydroelectric infrastructure in trust for the public. Decisions are guided by transparency, accountability, and a responsibility to protect public safety, environmental resources, and the long-term interests of member agencies and the community we serve.

T — Thoughtful Stewardship

UWPA stewards historic water rights, dams, and infrastructure with a long-term perspective. Stewardship requires balancing current service needs with responsible investment, risk reduction, and preservation of assets for future generations.

I — Integrity in Compliance

Regulatory compliance is a core value of UWPA. The Authority practices responsible self-compliance by maintaining rigorous internal standards and proactively addressing regulatory obligations.

C — Commitment to Dam Safety

UWPA treats dam safety as a core responsibility and a central element of public protection. Planning, operations, and capital investment decisions prioritize the safe performance, monitoring, and maintenance of dams and associated facilities to minimize risk to downstream communities, personnel, and the environment.

A — Accountability

UWPA practices responsible regulation and compliance by maintaining rigorous internal standards, proactively addressing regulatory obligations, and integrating compliance considerations into planning, operations, and capital investment decisions.

How to Use This Strategic Plan

This Strategic Plan is intended to serve as a practical governance and management tool that guides UWPA's decision-making over the five-year planning horizon. It provides a shared framework for the Board of Directors, member agencies, and staff to align priorities, manage risk, and make informed choices under both stable and uncertain conditions.

How the Plan Should Be Used

The Strategic Plan is designed to guide, but not replace, UWPA's established planning and decision-making processes:

- **Capital Improvement Plan (CIP) Adoption:** The Strategic Plan establishes the strategic priorities and risk framework that inform the development, prioritization, and annual adoption of the CIP. CIP projects and funding levels remain subject to Board approval and annual review.
- **Annual Budget Decisions:** The Strategic Plan provides context for budget development by identifying long-term priorities, financial constraints, and scenario-based regulatory considerations. Annual budgets translate strategic direction into near-term financial commitments while preserving Board discretion.
- **Regulatory Responses:** The Strategic Plan frames UWPA's approach to regulatory uncertainty, including the FERC exemption and relicensing pathways. It supports consistent, compliant responses to regulatory requirements while allowing flexibility as conditions and timelines evolve.

What the Plan Does Not Do

This Strategic Plan does not prescribe specific projects, funding amounts, or staffing actions. It does not replace the CIP, annual budget, or regulatory filings, nor does it eliminate the need for future Board deliberation. Instead, it establishes guardrails and priorities within which those decisions are made.

Annual Review and Refresh

The Strategic Plan is intended to be a living document. Progress toward strategic goals will be reviewed annually in conjunction with the budget and CIP adoption process. Based on performance results, financial conditions, regulatory developments, and Board direction, the plan may be reaffirmed, adjusted, or refined to ensure continued relevance and effectiveness.

Plan Governance and Amendment

This Strategic Plan establishes UWPA's long-term priorities and decision-making framework but is intended to remain adaptable as conditions, regulations, and organizational needs evolve. Clear governance and amendment provisions ensure the plan remains a useful, living document while preserving appropriate Board authority and operational flexibility.

Authority to Amend the Plan

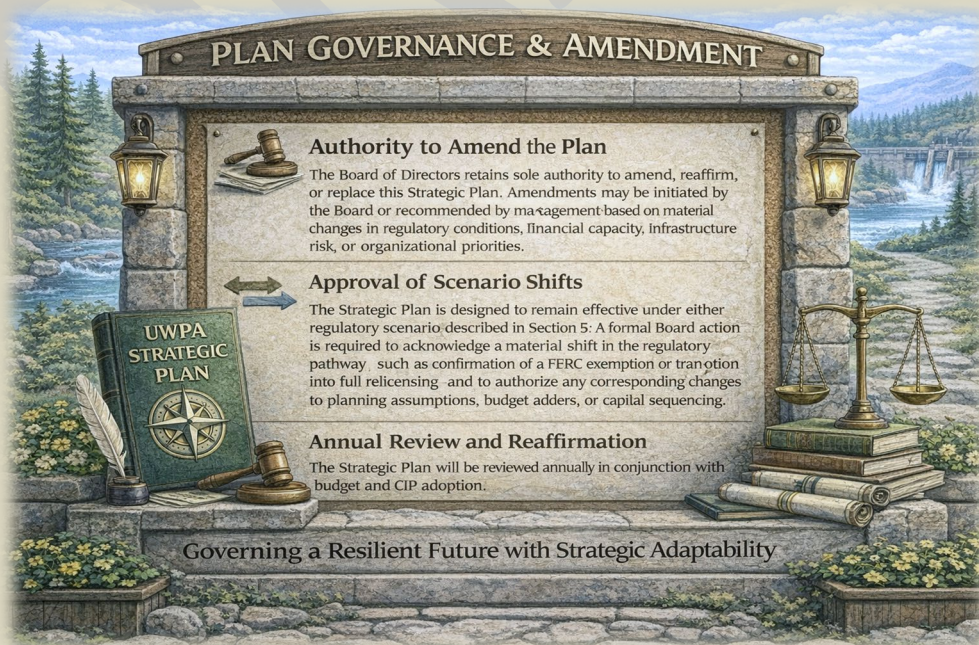
The Board of Directors retains sole authority to amend, reaffirm, or replace this Strategic Plan. Amendments may be initiated by the Board or recommended by management based on material changes in regulatory conditions, financial capacity, infrastructure risk, or organizational priorities. Minor clarifications or administrative updates that do not alter strategic direction may be incorporated during the annual review process.

Approval of Scenario Shifts

The Strategic Plan is designed to remain effective under either regulatory scenario described in Section 5. A formal Board action is required to acknowledge a material shift in the regulatory pathway—such as confirmation of a FERC exemption or transition into full relicensing—and to authorize any corresponding changes to planning assumptions, budget adders, or capital sequencing.

Annual Review and Reaffirmation

The Strategic Plan will be reviewed annually in conjunction with budget and CIP adoption. Following this review, the Board may reaffirm the plan, direct targeted amendments, or initiate development of a revised strategic plan to ensure continued alignment with UWPA's mission, risk posture, and regulatory obligations.



SECTION 3 — CURRENT CONDITIONS AND KEY CHALLENGES

3.1 Aging Infrastructure and Reliability Vulnerability

UWPA's water conveyance and hydroelectric systems are comprised of aging infrastructure, with many assets having been in service for several decades and some exceeding a century of continuous use. While these assets have been maintained and repaired over time, much of the system does not meet modern design, safety, or redundancy standards. As a result, the likelihood and consequence of failures increase as assets continue to age.

Reliability vulnerabilities are not limited to individual components but extend across interconnected systems where a single failure can disrupt water deliveries, power generation, or both. Deferred reinvestment, constrained access, and environmental exposure—particularly for flumes, canals, and remote facilities—further compound reliability risk. These conditions underscore the need for a structured, risk-based approach to infrastructure reinvestment rather than continued reliance on reactive repairs.

Current Conditions & Key Challenges



3.1 Aging Infrastructure & Reliability Vulnerability

Aging structures lack redundancy and can trigger water supply and power disruptions.

3.2 Wildfire & Emergency Water Supply Consequences

Wildfire can damage critical conveyance, delaying service restoration to communities.

3.1 Aging Infrastructure & Reliability Vulnerability

Aging structures lack redundancy and can trigger water supply and power disruptions.

3.3 Financial Constraints & Deferred Investment Pressures

Aging systems and deferred investment increase long-term operational costs.

3.4 Regulatory Drivers & FERC Compliance Trajectory

3.2 Wildfire and Emergency Water Supply Consequences

UWPA's system operates in a wildfire-prone environment where prolonged drought, extreme weather, and limited access routes heighten both the probability and consequences of emergency events. Conveyance failures during wildfire or other emergencies could significantly impair the ability of member agencies to provide water for fire suppression, public safety, and essential services.

Many conveyance assets—particularly flumes and exposed canals—are vulnerable to wildfire, falling trees, debris flows, and post-fire erosion. In emergency conditions, access limitations may delay repairs and extend service outages. These risks elevate the importance of system resilience, redundancy where feasible, and advance planning to ensure that water supply reliability is maintained during high-consequence events.

3.3 Financial Constraints and Deferred Investment Pressures

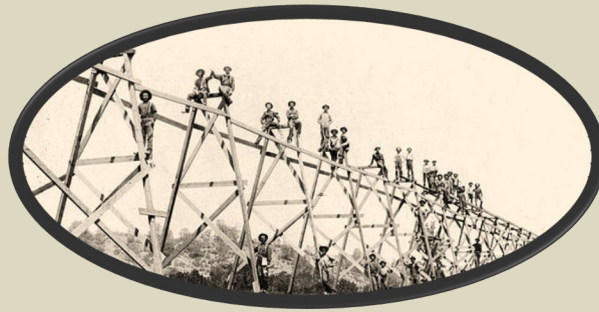
UWPA faces ongoing financial challenges driven by a combination of aging infrastructure, historic deferred maintenance, variable hydroelectric revenues, and increasing regulatory compliance costs. For many years, power generation revenues subsidized water conveyance operations and reduced member agency contributions. Changes in energy markets and rising operational and capital costs have reduced this margin and placed sustained pressure on UWPA's financial position.

Deferred capital investment has helped manage short-term affordability but has also increased long-term risk exposure. As assets continue to age, deferrals can result in higher future replacement costs, increased emergency repair expenditures, and greater service disruption. These financial constraints require careful balancing of affordability, risk reduction, and reserve stability within UWPA's long-term planning framework.

3.4 Regulatory Drivers and FERC Compliance Trajectory

UWPA's hydroelectric facilities are subject to significant regulatory oversight, with compliance obligations that will shape capital planning and financial commitments over the next decade. The ongoing Federal Energy Regulatory Commission (FERC) exemption or relicensing process represents a major regulatory driver, with substantial costs associated with engineering studies, environmental review, permitting, and administrative requirements.

Uncertainty regarding the ultimate regulatory pathway introduces both financial and scheduling risk. Failure to maintain compliance or meet regulatory milestones could jeopardize UWPA's ability to continue hydroelectric operations, with direct implications for revenue stability and overall system viability. As a result, regulatory readiness and proactive compliance planning are critical components of UWPA's strategic and capital investment decisions.



SECTION 4 — STRATEGIC GOALS

Goal 1 — Water Conveyance Reliability & Resilience

1. Clear Outcome

Maintain and improve the reliability, safety, and resilience of UWPA's water conveyance system to ensure uninterrupted delivery to member agencies and reduce the risk of unplanned outages, emergency repairs, and service disruptions over the five-year planning horizon.

2. Strategic Rationale

Reliable water conveyance is a core obligation of UWPA's joint powers mission and a critical dependency for both member agencies. Given the age and vulnerability of many conveyance assets, failure to reinvest exposes UWPA to elevated operational, public safety, regulatory, and reputational risk.

3. Specific Scope & Boundaries

Included: UWPA-owned conveyance assets and reliability-focused capital reinvestment.

Excluded: Member agency distribution systems; hydro facilities except where shared risk applies.

4. Measurable Success Criteria

Reduced unplanned outages, Tier 1 risks mitigated, current asset condition assessments, compliance readiness.

5. Timeline & Milestones

Years 1–2: Assessments and Tier 1 actions.

Years 3–4: Phased rehabilitation.

Year 5: Performance evaluation and next-cycle planning.

6. Ownership & Accountability

General Manager (accountable); Operations, Engineering, Maintenance (implementation); Board (oversight).

7. Key Strategies / Initiatives

Implement the CIP using the Tier framework, risk-based prioritization, asset management, and phased delivery.

8. Resources & Capacity

Board-authorized capital funding, staff resources, consultants, grants.

9. Risks & Mitigation

Aging assets, wildfire, funding limits mitigated through Tier prioritization, grants, and reserves.

10. Review & Adaptation Process

Annual CIP/budget review; mid-plan reassessment.

Goal 2 — Hydroelectric Asset Readiness & Revenue Protection

1. Clear Outcome

Maintain and enhance the operational readiness, reliability, and compliance posture of UWPA's hydroelectric assets to protect revenue generation, reduce forced outages, and preserve long-term power production capability over the five-year planning horizon.

2. Strategic Rationale

Hydroelectric generation provides the majority of UWPA's operating revenue and plays a critical role in funding system operations, capital reinvestment, and regulatory compliance obligations. Aging equipment, evolving regulatory requirements, and market pressures increase the risk of forced outages, revenue loss, and reduced operational flexibility. Proactive readiness and reinvestment are essential to protect UWPA's financial stability and long-term viability.

3. Specific Scope & Boundaries

Included: Hydroelectric powerhouses, turbines, generators, controls, substations, penstocks, monitoring systems, and compliance-related readiness activities.

Excluded: Market pricing decisions and power sales strategies beyond asset readiness and reliability.

Assumptions: Continued operation of hydro facilities under valid regulatory authorization and market participation.

4. Measurable Success Criteria

Reduced forced outage frequency and duration, improved unit availability, completion of Tier 1 and Tier 2 hydro projects, compliance milestone achievement, and stabilization or improvement of net power generation revenue.

5. Timeline & Milestones

Years 1–2: Address high-risk equipment, advance compliance readiness, refine condition assessments.

Years 3–4: Implement phased rehabilitation and efficiency improvements.

Year 5: Evaluate performance trends and inform next CIP and regulatory strategy.

6. Ownership & Accountability

Accountable Owner: General Manager.

Implementation Leads: Operations, Engineering, and Compliance staff.

Board Role: Strategic oversight, capital prioritization, and regulatory policy direction.

7. Key Strategies / Initiatives

Implement the CIP using the Tier framework, prioritize forced-outage risk reduction, align outage planning with revenue protection, advance monitoring and control systems, and integrate regulatory readiness with capital planning.

8. Resources & Capacity

Board-authorized capital funding, operations and engineering staff, specialized consultants, contractors, and external funding where eligible.

9. Risks & Mitigation

Key risks include equipment failure, regulatory delays, and revenue volatility. Mitigation includes Tier 1 prioritization, phased reinvestment, redundancy where feasible, and proactive regulatory engagement.

10. Review & Adaptation Process

Annual review through the CIP and budget process, tracking of outage and revenue metrics, and mid-plan reassessment to adjust priorities based on regulatory, operational, or market changes.

Goal 3 — Compliance and Risk Reduction

1. Clear Outcome

Achieve and maintain a strong compliance posture while systematically reducing regulatory, safety, operational, and financial risk exposure across UWPA's water conveyance and hydroelectric systems over the five-year planning horizon.

2. Strategic Rationale

UWPA operates complex and aging infrastructure subject to extensive regulatory oversight, including water, dam safety, workplace safety, and hydroelectric authorization requirements. Noncompliance or unmanaged risk can result in service disruption, financial penalties, increased costs, loss of operating authority, or public safety impacts. A proactive, structured approach to compliance and risk reduction protects UWPA's mission, finances, and credibility with regulators, member agencies, and the public.

3. Specific Scope & Boundaries

Included: Regulatory compliance obligations (e.g., FERC, dam safety, OSHA, environmental requirements), risk identification and tracking, corrective actions, and integration with capital planning.

Excluded: Day-to-day operational procedures not directly tied to compliance or risk mitigation.

Assumptions: Regulatory requirements will evolve and may increase in complexity and cost.

4. Measurable Success Criteria

Timely completion of required regulatory filings and corrective actions, reduction in the number of unresolved Tier 1 risks, successful inspections with minimal findings, avoidance of penalties or enforcement actions, and documented risk mitigation progress.

5. Timeline & Milestones

Years 1–2: Confirm compliance obligations, maintain risk register, address high-priority corrective actions.

Years 3–4: Implement phased compliance-driven capital and operational improvements.

Year 5: Evaluate compliance outcomes and update risk framework for the next planning cycle.

6. Ownership & Accountability

Accountable Owner: General Manager.

Implementation Leads: Operations, Engineering, and Compliance staff.

Board Role: Policy oversight, risk tolerance guidance, and review of compliance status.

7. Key Strategies / Initiatives

Maintain a Tier 1 Risk Register, integrate compliance requirements into the CIP, pursue early regulator engagement, bundle corrective actions with capital projects where feasible, and apply a risk-based prioritization framework to decision-making.

8. Resources & Capacity

Dedicated staff time for compliance management, consultant and legal support as needed, capital funding authorized through the CIP, and reserves to address emergent compliance-driven needs.

9. Risks & Mitigation

Key risks include regulatory changes, inspection findings, and funding constraints. Mitigation includes proactive planning, phased investment, early corrective action, and transparent Board reporting.

10. Review & Adaptation Process

Ongoing monitoring with annual Board reporting, integration into the budget and CIP update cycle, and periodic reassessment of risk exposure and compliance readiness.

Goal 4 — Infrastructure Stewardship & System Modernization

1. Clear Outcome

Sustain, rehabilitate, and modernize UWPA's critical infrastructure to ensure long-term system reliability, safety, and operational effectiveness across water conveyance and hydroelectric facilities.

2. Strategic Rationale

UWPA's infrastructure portfolio includes assets that are decades—and in some cases more than a century—old. These facilities are essential to water delivery, power generation, and public safety, yet many do not meet modern design, safety, or operational standards. Proactive infrastructure stewardship and modernization reduce failure risk, improve performance, and support compliance, financial stability, and service continuity.

3. Specific Scope & Boundaries

Included: Physical infrastructure assets such as canals, flumes, pipelines, reservoirs, penstocks, powerhouses, mechanical and electrical systems, and supporting facilities.

Excluded: Non-infrastructure organizational processes except where directly tied to system performance.

Assumptions: Infrastructure reinvestment will be phased and prioritized based on risk, condition, and funding capacity.

4. Measurable Success Criteria

Reduction in infrastructure-related failures and emergency repairs, completion of prioritized rehabilitation and replacement projects, improved system performance indicators, and extended useful life of critical assets.

5. Timeline & Milestones

Years 1–2: Address highest-risk infrastructure deficiencies and advance priority rehabilitation projects.

Years 3–4: Implement phased modernization and system upgrades.

Year 5: Evaluate infrastructure condition trends and inform the next capital and strategic planning cycle.

6. Ownership & Accountability

Accountable Owner: General Manager.

Implementation Leads: Operations, Engineering, and Maintenance staff.

Board Role: Strategic direction, capital prioritization, and oversight of infrastructure investment decisions.

7. Key Strategies / Initiatives

Implement the CIP using risk-based prioritization, bundle and phase projects for efficiency, incorporate modern design and safety standards into upgrades, and coordinate infrastructure work to minimize service disruptions.

8. Resources & Capacity

Capital funding authorized through the CIP, skilled operations and maintenance staff, engineering and construction consultants, and external funding sources where available.

9. Risks & Mitigation

Key risks include aging asset failure, access and environmental constraints, and funding limitations. Mitigation includes Tier 1 prioritization, phased delivery, early permitting coordination, and contingency planning.

10. Review & Adaptation Process

Annual review through the CIP and budget process, monitoring of infrastructure condition and performance trends, and periodic Board updates on system reinvestment progress.

Goal 5 — Financial Sustainability & Reserve Stability

1. Clear Outcome

Maintain UWPA's long-term financial sustainability by balancing operating costs, capital investment needs, and reserve levels in a manner that supports reliable service delivery, regulatory compliance, and prudent risk management.

2. Strategic Rationale

UWPA faces sustained financial pressure from aging infrastructure, deferred maintenance, regulatory obligations, and variable hydroelectric revenues. Without a deliberate financial strategy, the Authority risks erosion of reserves, increased exposure to emergency costs, and reduced flexibility to respond to failures or compliance requirements. Strengthening financial sustainability and reserve stability ensures UWPA can meet near-term obligations while responsibly planning for long-term system needs.

3. Specific Scope & Boundaries

Included: Operating and capital financial planning, reserve policies and targets, funding options evaluation, and integration of financial considerations into CIP decision-making.

Excluded: Short-term market pricing decisions or power sales strategies not directly tied to financial stability.

Assumptions: Revenue volatility will continue, and regulatory and capital cost demands will remain significant over the planning horizon.

4. Measurable Success Criteria

Maintenance of reserve balances within Board-established targets, reduced reliance on emergency reserve draws, predictable funding of Tier 1 priorities, transparent presentation of funding options, and improved alignment between financial plans and actual expenditures.

5. Timeline & Milestones

Years 1–2: Confirm reserve targets and integrate financial assumptions into the CIP and budget process.

Years 3–4: Adjust funding strategies based on performance, revenue trends, and capital delivery pace.

Year 5: Evaluate long-term financial position and inform next planning cycle.

6. Ownership & Accountability

Accountable Owner: General Manager.

Implementation Leads: Finance staff and executive leadership.

Board Role: Establish financial policy, approve budgets and CIP funding options, and set risk tolerance related to reserves.

7. Key Strategies / Initiatives

Apply integrated financial planning that links operations, capital, and reserves; evaluate funding options transparently; phase investments to manage affordability; and pursue grants or external funding to reduce member agency burden where feasible.

8. Resources & Capacity

Financial management staff, budgeting and forecasting tools, consultant support as needed, and Board-approved funding mechanisms.

9. Risks & Mitigation

Key risks include revenue volatility, cost escalation, and reserve depletion. Mitigation includes conservative forecasting, phased capital delivery, maintenance of reserve targets, and regular Board review of financial performance.

10. Review & Adaptation Process

Annual review through the budget and CIP adoption process, monitoring of reserve and expenditure trends, and adjustment of financial strategies based on actual performance and emerging risks.

Goal 6 — Grant Readiness & External Funding

1. Clear Outcome

Strengthen UWPA's ability to secure external funding by maintaining a high level of grant readiness, positioning priority projects competitively, and integrating grants and other outside funding sources into capital and financial planning.

2. Strategic Rationale

Given the scale of UWPA's infrastructure needs and the financial constraints facing the Authority and its member agencies, external funding is a critical tool for accelerating risk reduction, improving affordability, and advancing high-priority projects. Proactive grant readiness reduces missed opportunities, improves competitiveness, and ensures that outside funding complements—rather than disrupts—UWPA's capital and operational plans.

3. Specific Scope & Boundaries

Included: Grant readiness planning, identification of competitive projects, benefit narrative development, coordination of environmental and permitting readiness, and management of awarded funds.

Excluded: Pursuit of funding that creates unsustainable long-term obligations or conflicts with UWPA's strategic priorities.

Assumptions: Grant availability will fluctuate and competition will remain high.

4. Measurable Success Criteria

Number of grant-ready projects maintained, grant applications submitted, grant dollars awarded, alignment of funded projects with Tier 1 and Tier 2 priorities, and successful compliance with grant reporting and closeout requirements.

5. Timeline & Milestones

Years 1–2: Maintain a rolling list of grant-ready projects and standard readiness materials.

Years 3–4: Secure external funding for priority infrastructure and technology projects.

Year 5: Evaluate grant effectiveness and refine readiness strategy for the next planning cycle.

6. Ownership & Accountability

Accountable Owner: General Manager.

Implementation Leads: Executive leadership, engineering, finance, and administrative staff.

Board Role: Policy direction on funding strategy, acceptance of match commitments, and oversight of major awards.

7. Key Strategies / Initiatives

Maintain a grant readiness plan aligned with the CIP, advance design and permitting for competitive projects, quantify public benefits and risk reduction outcomes, coordinate matching fund strategies, and track application calendars and deadlines.

8. Resources & Capacity

Staff time for grant development and management, consultant support where cost-effective, internal coordination across departments, and financial capacity to meet match or compliance requirements.

9. Risks & Mitigation

Key risks include staff capacity constraints, unsuccessful applications, and compliance burdens. Mitigation includes selective pursuit of high-probability opportunities, standardized materials, phased commitments, and clear internal ownership.

10. Review & Adaptation Process

Annual review through the CIP and budget process, reporting to the Board on grant activity and outcomes, and adjustment of strategies based on funding trends and program performance.

Goal 7 — Organizational Effectiveness & Safety Culture

1. Clear Outcome

Strengthen UWPA's organizational effectiveness by fostering a strong safety culture, clear accountability, effective internal coordination, and a work environment that supports reliable operations, risk reduction, and staff well-being.

2. Strategic Rationale

UWPA operates complex, high-risk infrastructure where employee safety, operational discipline, and organizational clarity directly affect system reliability and public trust. Aging assets, regulatory demands, and limited staffing capacity increase the importance of a strong safety culture and effective organizational practices. Improving organizational effectiveness reduces the likelihood of accidents, operational errors, and inefficiencies while supporting long-term workforce sustainability.

3. Specific Scope & Boundaries

Included: Workplace safety practices, training, internal communication, role clarity, succession awareness, and coordination across operations, engineering, and administration.

Excluded: Individual personnel matters or labor negotiations beyond organizational policy direction.

Assumptions: Staffing levels will remain constrained, requiring efficient use of available resources.

4. Measurable Success Criteria

Reduction in safety incidents and near-misses, completion of required safety training, clear assignment of responsibilities for critical functions, improved coordination across departments, and positive safety and organizational feedback indicators.

5. Timeline & Milestones

Years 1–2: Reinforce safety training, clarify roles and responsibilities, and address identified safety gaps.

Years 3–4: Institutionalize safety and organizational best practices.

Year 5: Evaluate organizational effectiveness and safety culture maturity.

6. Ownership & Accountability

Accountable Owner: General Manager.

Implementation Leads: Supervisors and department lead.

Board Role: Set expectations for safety culture, receive reporting on organizational performance, and support resource needs.

7. Key Strategies / Initiatives

Promote a culture of safety and accountability, provide regular training, document and communicate procedures, encourage reporting of hazards and near-misses, and improve cross-functional coordination.

8. Resources & Capacity

Staff time for training and safety activities, safety and operational training resources, consultant support as needed, and leadership commitment to continuous improvement.

9. Risks & Mitigation

Key risks include staff fatigue, institutional knowledge loss, and safety complacency. Mitigation includes cross-training, documentation, leadership engagement, and continuous reinforcement of safety expectations.

10. Review & Adaptation Process

Regular internal reviews of safety performance, annual reporting to the Board, and periodic updates to organizational practices based on lessons learned and evolving operational needs.

Strategic Goals



Water Supply Reliability & Emergency Resilience

Enhance UWPA's water supply reliability and resilience under wildfire or extended outage conditions • 8.25



Revenue Protection & Ratepayer Equity

Optimize operational efficiency and control costs while ensuring equitable investment across customer classes.



Compliance & Risk Reduction

Proactively identify and manage safety, liability, and regulatory compliance risks.



Infrastructure Investment & System Renewal

Prioritize and coordinate long-term asset reinvestment and reliability improvements.



Financial Sustainability & Reserve Stability

Achieve sustainable funding to address UWPA's Tier 1-3 priorities with appropriate reserve levels.

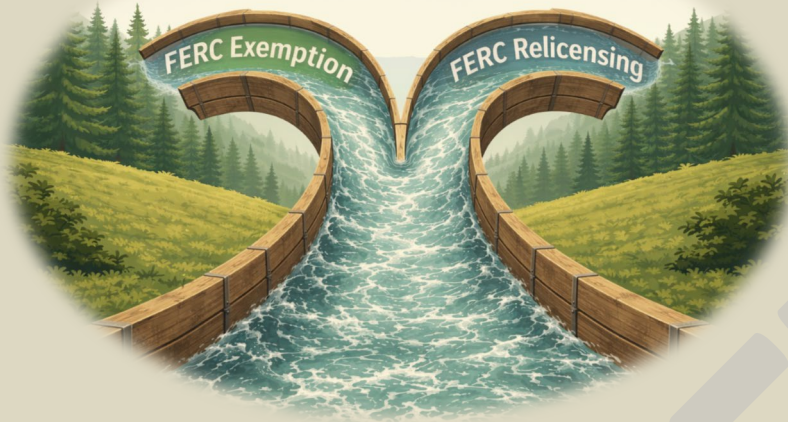


Grant Readiness & External Funding

Actively pursue feasible external funding to reduce local cost burden and accelerate Tier 1 projects.

DRAFT

SECTION 5 — THE REGULATORY FORK (DECISION FRAMEWORK + TWO PATHS)



5.1 The Decision Point (Regulatory Fork)

UWPA faces a critical regulatory decision point that will shape capital planning, financial commitments, staffing needs, and risk exposure over the remainder of the strategic planning horizon and beyond. This decision point—referred to as the “regulatory fork”—centers on the future authorization pathway for UWPA’s hydroelectric facilities under Federal Energy Regulatory Commission (FERC) oversight.

The regulatory fork does not represent a choice between compliance and noncompliance. Under all circumstances, UWPA is committed to remaining compliant, operational, and authorized to continue hydroelectric generation. Rather, the fork reflects two distinct but compliant regulatory outcomes, each with different timelines, cost profiles, and organizational implications.

Scenario A — FERC Exemption Achieved (Target Outcome: 2028)

Under Scenario A, UWPA successfully completes the FERC exemption process by approximately 2028. This outcome would shorten the overall regulatory timeline, reduce long-term administrative and consultant costs, and allow the Authority to shift focus more rapidly toward system reinvestment and operational optimization.

While the exemption pathway still requires significant near-term effort—including studies, documentation, agency coordination, and regulatory review—the total duration and cumulative cost exposure are expected to be lower than under full relicensing. Achieving an exemption would improve planning certainty, reduce extended regulatory overhead, and potentially ease long-term pressure on operating budgets and reserves.

Scenario B — Full FERC Relicensing (Target Outcome: 2033)

Under Scenario B, UWPA proceeds through the full FERC relicensing process, with completion anticipated around 2033. This pathway entails a longer regulatory horizon, higher cumulative costs, and sustained reliance on engineering, environmental, legal, and administrative consultants over an extended period.

A full relicensing process increases complexity and requires ongoing coordination with multiple agencies, expanded environmental review, and prolonged documentation and reporting obligations. While this path remains fully compliant and preserves long-term operating authority, it extends regulatory risk exposure and places continued pressure on staffing capacity, cash flow, and reserve planning.

Why the Regulatory Fork Matters

The regulatory fork materially affects UWPA’s strategic planning assumptions in several key areas:

- Cost Horizon: The duration and total cost of regulatory compliance varies significantly between the two

scenarios, affecting long-term financial projections.

- **Compliance Urgency:** Each pathway imposes different near-term and long-term milestone pressures that influence project sequencing and staffing priorities.
- **Staffing and Consultant Needs:** A longer regulatory pathway requires sustained internal and external resource commitments.
- **Cash Flow and Reserve Impacts:** Extended compliance timelines increase cumulative costs and may require greater reliance on reserves or funding adjustments.

Understanding these differences allows the Board and management to make informed, flexible decisions that balance risk, affordability, and long-term system viability.

Planning Assumption

For purposes of this Strategic Plan, UWPA assumes that it will remain compliant, authorized, and operational under either regulatory outcome. Capital planning, financial strategies, and organizational priorities are therefore designed to remain adaptable, allowing UWPA to respond effectively as the regulatory pathway becomes clearer while continuing to meet all applicable obligations.

REGULATORY DECISION TRIGGER – STRATEGY SHIFT FRAMEWORK

TRIGGER EVENT	EVIDENCE / MILESTONE	UWPA ACTION
Exemption Approval Milestone Reached	Formal FERC exemption approval or milestone determination letter	Shift to Scenario A: Post-2028 Exemption Strategy (Revised capital scope and funding profile)
Exemption Denied or Materially Delayed	Formal denial, withdrawal, or extended regulatory timeline	Shift to Scenario B: Relicensing Planning and Funding Path (Expanded compliance scope)
New Compliance Conditions Added	Updated FERC requirements, additional studies, or modified obligations	Update CIP priorities, schedules, and budget adders (Board review and approval)

Figure: Decision triggers that activate a shift between UWPA regulatory planning scenarios. This framework allows the Capital Improvement Plan to remain flexible while maintaining regulatory compliance readiness and fiscal discipline.

5.2 Scenario A — Strategic Path if Exemption is Successful (2028)

1. Strategic Focus (2026–2028): fast-track exemption support work; maintain minimum compliance readiness; prioritize reliability + safety + revenue protection

2. Post-Exemption Operating Strategy (2028–2030+): step-down in regulatory effort; redirect capacity to highest-risk infrastructure renewal and resiliency
3. Capital Strategy: emphasize conveyance reliability + wildfire resilience; hydro improvements that protect revenue and operational safety
4. Financial Strategy: reserve stabilization plan; member contribution smoothing after 2028; increase focus on infrastructure renewal grants
5. KPIs: exemption achieved by target date; regulatory spend reduced after 2028; reliability improvements (Tier 1 risks mitigated/outages reduced)

5.3 Scenario B — Strategic Path if Relicensing Continues to 2033

1. Strategic Focus (2026–2033): plan for long-range compliance workload, studies, environmental and legal/admin costs
2. Compliance Readiness Roadmap: build a detailed compliance timeline and work program appendix
3. Capital Strategy: elevate hydro/compliance-driven upgrades; schedule outages strategically; maintain Tier 1 compliance priorities
4. Financial Strategy: dedicated relicensing/exemption reserve target; predictable annual contributions; grant/loan strategy; sustained staffing/consultant plan
5. KPIs: regulatory milestones met; annual funding targets met; compliance risk events avoided; stable reserves through cost horizon



SECTION 6 — IMPLEMENTATION PLAN (BOTH SCENARIOS)

6.1 Work Plan by Year (FY26–FY30)

Implementation of this Strategic Plan will occur through a rolling, multi-year work plan covering Fiscal Years 2026 through 2030. The work plan is designed to remain effective under both regulatory scenarios described in Section 5, distinguishing between common work required under either pathway and scenario-dependent work that will be adjusted as the regulatory trajectory becomes clearer.

Common work includes activities that advance UWPA’s core mission regardless of regulatory outcome, such as water conveyance reliability improvements, hydroelectric asset readiness, compliance maintenance, asset management practices, grant readiness, and organizational effectiveness initiatives. These efforts are expected to proceed across the full planning horizon and are reflected in annual budgets and the Capital Improvement Plan (CIP).

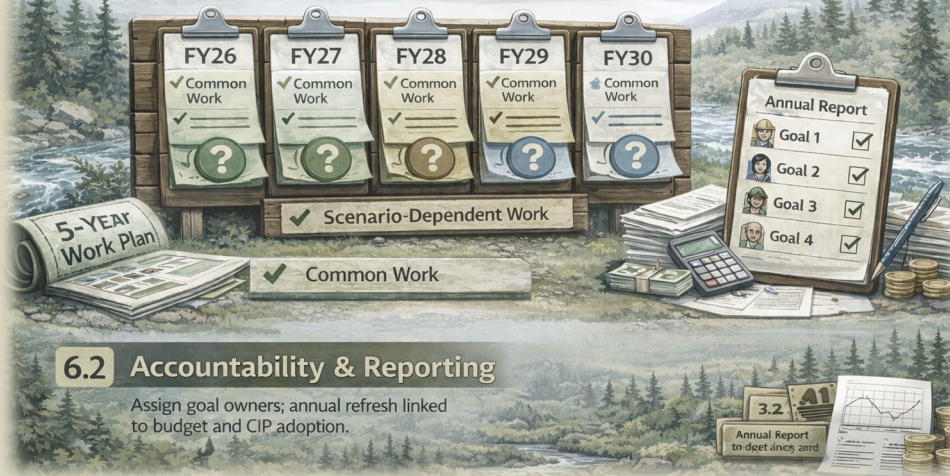
Scenario-dependent work includes regulatory-driven studies, permitting, consultant support, and capital sequencing that differ between the FERC exemption and full relicensing pathways. These activities will be planned and budgeted using scenario-based assumptions and regulatory-path adders, allowing UWPA to remain compliant and operational while preserving flexibility to adjust scope, timing, and funding commitments as regulatory milestones are reached.

6.2 Accountability and Reporting

Goal Implementation & Accountability

6.1 Work Plan by Year (FY26–FY30)

Integrate common and scenario-based activities into a five-year work plan.



6.2 Accountability & Reporting

Assign goal owners; annual refresh linked to budget and CIP adoption.

Clear accountability and transparent reporting are essential to successful implementation of this Strategic Plan. Each strategic goal is assigned an accountable owner at the management level, responsible for coordinating implementation, tracking progress, and identifying emerging risks or needed adjustments. Supporting staff and external resources will be aligned to these goals through annual work plans and budget allocations.

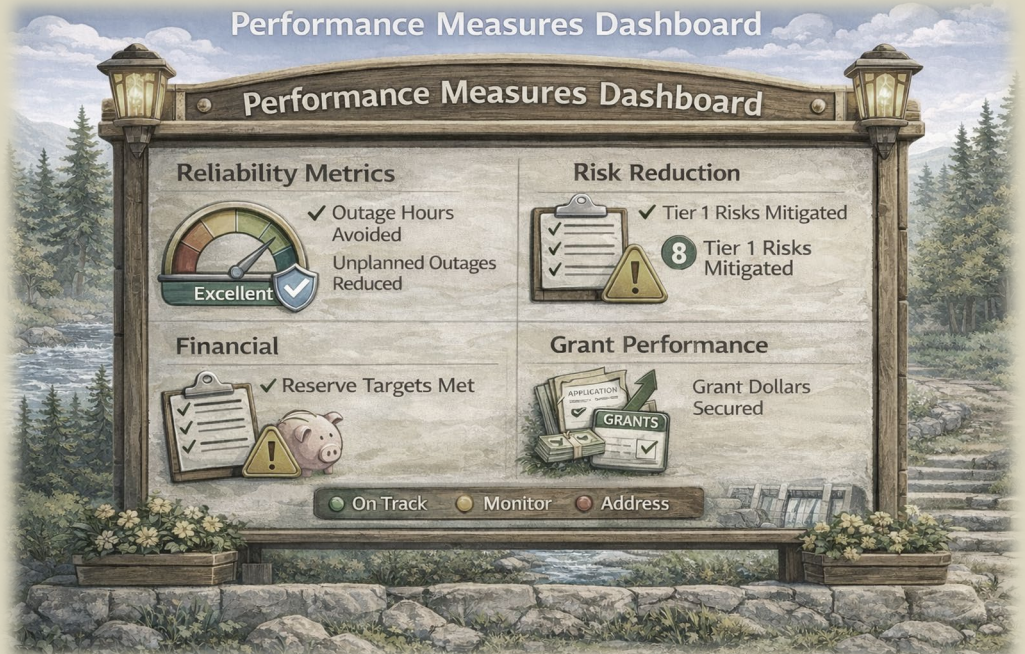
Progress toward strategic goals will be reviewed and reported to the Board on a regular basis, with formal updates incorporated into the annual budget and CIP adoption process. This annual refresh provides an opportunity to evaluate progress, reassess priorities, and adjust implementation strategies based on system performance, financial conditions, regulatory developments, and Board direction.

This implementation framework ensures that the Strategic Plan remains a living document—actively guiding decisions rather than serving as a static reference—while preserving Board oversight and adaptability under both regulatory scenarios.

SECTION 7 — PERFORMANCE MEASURES DASHBOARD

Purpose of the Dashboard

The Performance Measures Dashboard provides a concise, consistent framework for tracking progress toward UWPA's strategic goals. The dashboard is designed to support Board oversight, management accountability, and transparent decision-making by focusing on a limited set of outcome-oriented metrics tied directly to reliability, risk reduction, financial stability, external funding performance, and regulatory compliance.



Metrics will be reviewed and refreshed annually in conjunction with the budget and CIP adoption process to ensure continued relevance and alignment with UWPA's strategic priorities.

Reliability Metrics

Reliability performance will be measured using indicators that reflect system stability and operational effectiveness, including:

- Outage hours avoided through planned reinvestment and maintenance activities
- Reduction in unplanned outages affecting water conveyance or power generation

These metrics provide insight into whether capital and operational investments are successfully reducing service disruptions over time.

Risk Reduction Metrics

Risk reduction will be tracked through UWPA's Tier-based risk framework, with a primary focus on:

- Number of Tier 1 risks mitigated or downgraded

This measure reflects progress in addressing the highest-consequence vulnerabilities within UWPA's infrastructure and compliance portfolio.

Financial Performance Metrics

Financial sustainability will be monitored using indicators tied to Board policy and long-term planning assumptions, including:

- Reserve targets met or maintained within established thresholds

These metrics support evaluation of affordability, resilience to revenue volatility, and readiness to respond to emergencies or regulatory cost pressures.

Grant Performance Metrics

External funding performance will be tracked to evaluate the effectiveness of UWPA's grant readiness and funding strategy, including:

- Grant dollars secured
- Grant applications submitted

These measures provide visibility into how external funding is being used to accelerate priority projects and reduce reliance on internal funding sources.

Compliance and Regulatory Metrics

Regulatory performance will be tracked using scenario-based compliance milestones aligned with the applicable FERC pathway, including:

- Status of required filings, studies, and approvals under the applicable regulatory scenario

Tracking milestone completion ensures UWPA remains compliant and operational under either regulatory outcome while allowing the Board to monitor schedule and cost exposure.



SECTION 8 — FINANCIAL STRATEGY SUMMARY (TWO-SCENARIO BUDGET STORY)

8.1 Funding Approach

UWPA’s funding approach is grounded in a long-term Capital Improvement Plan (CIP) funding philosophy that balances infrastructure reinvestment, financial stability, and affordability for member agencies. The CIP is structured around a risk-based prioritization framework that directs limited financial resources first toward Tier 1 risks—those with the highest likelihood and consequence of failure—while maintaining flexibility to adjust pacing based on funding capacity and regulatory requirements.



Reserve targets are a central component of this funding philosophy. UWPA maintains operating and contingency reserves to manage revenue volatility, emergency response needs, and regulatory-driven cost uncertainty. Reserve targets are intended to provide financial resilience rather than eliminate the need for strategic decision-making; their use is governed by Board policy and aligned with risk tolerance and long-term sustainability goals.

Grant funding and associated match strategies are integrated into the CIP as a means of accelerating priority investments and reducing pressure on reserves and member agency contributions. UWPA’s grant strategy emphasizes readiness, selectivity, and alignment with CIP priorities, ensuring that external funding supplements—rather than distorts—capital planning and does not create unsustainable long-term obligations.

8.2 Multi-Year Budget Approach

UWPA’s multi-year budget approach builds from a defined operations and maintenance (O&M) baseline that reflects the ongoing cost of safely operating and maintaining water conveyance and hydroelectric facilities. This baseline provides a stable foundation for evaluating incremental cost pressures, staffing needs, and efficiency opportunities over the planning horizon.

Capital funding is managed through a clear distinction between capital outlay expenditures and capital projects. Capital outlay addresses routine asset replacement and minor improvements, while capital projects represent larger, multi-year investments approved through the CIP and Board action. This distinction supports transparency, consistency, and disciplined decision-making.

Recognizing regulatory uncertainty, UWPA’s budget framework incorporates regulatory-path adders that account for differing cost trajectories associated with FERC exemption versus full relicensing outcomes. These adders allow the Board to understand the incremental financial implications of each regulatory scenario and to make informed, adaptive decisions as the regulatory pathway becomes clearer, while maintaining compliance and operational continuity under either scenario.

REGULATORY FORK SUMMARY — EXECUTIVE OVERVIEW

TOPIC	SCENARIO A: EXEMPTION (2028)	SCENARIO B: RELICENSE (2033)
Primary Driver	Shorter regulatory pathway	Long-term compliance program
Cost Pattern	Front-loaded costs, followed by step-down	Sustained cost curve over multiple years
Capital Emphasis	Reliability renewal and asset reinvestment	Compliance readiness plus asset renewal
Staffing / Consultants	2–3 year surge in staffing and consultant support	Sustained 7–8 year staffing and consultant need

Board Decision Focus	Strategic pivot following exemption determination	Annual milestone-based funding decisions
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Figure: High-level comparison of UWPA’s two regulatory planning scenarios. The fork framework allows the Board to adapt funding and capital priorities based on regulatory outcomes while maintaining compliance readiness.





Item 6C

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UTICA WATER AND POWER AUTHORITY

Date: June 19, 2026
To: Board of Directors
From: Erik Holt, General Manager
Re: Reserve Transfer for emergency TSV repair at Murphys Powerhouse

RECOMMENDED ACTION:

Motion to authorize the General Manager to direct Admin staff to transfer \$468,440.35 from the Budget Balancing Reserve to the General Fund to cover the costs of emergency repairs to the Murphys Powerhouse Turbine Sphere Valve (TSV), and to balance the FY25/26 budget for unanticipated revenue losses.

SUMMARY:

On March 11, 2026, during the California Division of Safety of Dams (DSOD) inspection, a problem with the operation of the TSV was experienced, leading to the decision to shut down the Murphys Powerhouse for inspection. Upon inspection, it was determined that the powerhouse needed emergency repairs due to the degradation of the valve. UWPA staff contacted TCB Industrial and MarTech to receive on-site visits and repair estimates. The two options were presented to the Board on March 18, 2026. The board approved the selection of MarTech with an estimated cost of \$154,750; the estimate noted a not-to-exceed cost of \$300,000.

On June 4, 2026, after reinstalment of the repaired TSV, Murphys Powerhouse resumed generation. The total repairs were finalized at \$216,864.35.

Total lost revenues after the offset of water sales during the applicable time period total a loss of \$241,440.

RAAIM fees for April were \$5,068 and it is anticipated to be approximately the same for May at \$5,068.

For all repair costs, fees, and covering lost revenues that were not anticipated from the FY25/26 budget, totals \$463,372.35

FINANCIAL IMPACTS:

The current balance of the Budget Balancing Reserve Account is \$531,147.93. If the motion passes, this will reduce the account to \$62,707.58.

Attachments

1. *QuickBooks accounting for Emergency TSV Repair*

Utica Water and Power Authority

Account QuickReport
July 1, 2025-June 19, 2026

DISTRIBUTION ACCOUNT	TRANSACTION DATE	TRANSACTION TYPE	NUM	NAME	DESCRIPTION	FULL NAME	CLEARED	AMOUNT	BALANCE
5000 Powerhouses									
5000-16 MPH Emergency Sphere Valve Repair									
5000-16 MPH Emergency Sphere Valve Repair	03/09/2026	Expense		Bolt Depot	Lock Washers	5000 Powerhouses:5000-16 MPH Emergency Sphere Valve Repair		175.65	175.65
5000-16 MPH Emergency Sphere Valve Repair	03/11/2026	Expense		Bolt Depot	Lock Washers	5000 Powerhouses:5000-16 MPH Emergency Sphere Valve Repair		32.00	207.65
5000-16 MPH Emergency Sphere Valve Repair	03/11/2026	Expense		Bolt Depot	Lock Washers	5000 Powerhouses:5000-16 MPH Emergency Sphere Valve Repair		616.54	824.19
5000-16 MPH Emergency Sphere Valve Repair	03/12/2026	Expense		McMaster-Carr	O ring	5000 Powerhouses:5000-16 MPH Emergency Sphere Valve Repair		22.74	846.93
5000-16 MPH Emergency Sphere Valve Repair	03/17/2026	Expense		McMaster-Carr	O ring	5000 Powerhouses:5000-16 MPH Emergency Sphere Valve Repair		15.45	862.38
5000-16 MPH Emergency Sphere Valve Repair	03/24/2026	Bill	5345	Kevin's Crane Company LLC	Crane Rental	5000 Powerhouses:5000-16 MPH Emergency Sphere Valve Repair		735.00	1,597.38
5000-16 MPH Emergency Sphere Valve Repair	04/01/2026	Bill	2525	Burson Machine, Inc.	Flange for Sphere Valve	5000 Powerhouses:5000-16 MPH Emergency Sphere Valve Repair		2,192.40	3,789.78
5000-16 MPH Emergency Sphere Valve Repair	04/02/2026	Expense		McMaster-Carr	MCMMASTER-CARR	5000 Powerhouses:5000-16 MPH Emergency Sphere Valve Repair		20.36	3,810.14
5000-16 MPH Emergency Sphere Valve Repair	04/03/2026	Expense		Grainger	GRAINGER	5000 Powerhouses:5000-16 MPH Emergency Sphere Valve Repair		74.19	3,884.33
5000-16 MPH Emergency Sphere Valve Repair	04/03/2026	Expense		Grainger	GRAINGER	5000 Powerhouses:5000-16 MPH Emergency Sphere Valve Repair		74.19	3,958.52
5000-16 MPH Emergency Sphere Valve Repair	04/03/2026	Expense		Grainger	GRAINGER	5000 Powerhouses:5000-16 MPH Emergency Sphere Valve Repair		22.61	3,981.13
5000-16 MPH Emergency Sphere Valve Repair	04/03/2026	Expense		Grainger	GRAINGER	5000 Powerhouses:5000-16 MPH Emergency Sphere Valve Repair		380.66	4,361.79
5000-16 MPH Emergency Sphere Valve Repair	04/03/2026	Expense		Grainger	GRAINGER	5000 Powerhouses:5000-16 MPH Emergency Sphere Valve Repair		231.80	4,593.59
5000-16 MPH Emergency Sphere Valve Repair	05/26/2026	Bill	5455	Kevin's Crane Company LLC	Crane Rental	5000 Powerhouses:5000-16 MPH Emergency Sphere Valve Repair		1,760.00	6,353.59
5000-16 MPH Emergency Sphere Valve Repair	05/31/2026	Bill		Mar-Tech	Standard Repair	5000 Powerhouses:5000-16 MPH Emergency Sphere Valve Repair		210,510.76	216,864.35
Total for 5000-16 MPH Emergency Sphere Valve Repair								\$216,864.35	
Total for 5000 Powerhouses with sub-accounts								\$216,864.35	
TOTAL								\$216,864.35	



Item 6D

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UTICA WATER AND POWER AUTHORITY

Date: June 23, 2026
To: Erik Holt, General Manager
From: Stacie Walker, Administrative Specialist
Re: Darby Apple Fuels Reduction Grant Opportunity

RECOMMENDED ACTION:

Motion to adopt Resolution 2026-08, authorizing the General Manager to submit the Darby Apple Fuels Reduction Grant to CAL FIRE under the Forest Health Grant Program and the Sierra Nevada Conservancy's Wildfire and Forest Resilience Program

BACKGROUND:

The Darby Apple Fuels Reduction Project is a critical component of the Highway 4 Wildfire Defense System, a landscape-scale wildfire resilience effort consisting of strategic fuelbreaks and forest health projects designed to protect homes, infrastructure, and natural resources along the Highway 4 corridor in Calaveras County.

Utica facilitated the completion of California Environmental Quality Act (CEQA) review for the full project area by utilizing funds from the Hunter Reservoir Fuels Reduction Project, allowing future implementation on the remaining acres to proceed more efficiently.

Consultants are currently developing a grant application to secure funding for completion of the remaining treatment areas within the Darby Apple Fuels Reduction Project. The application is anticipated for submission to the California Department of Forestry and Fire Protection (CAL FIRE) Wildfire Prevention Program on July 8, 2026, and the Sierra Nevada Conservancy's Wildfire and Forest Resilience Program on July 27, 2026.

The proposed grant funding would support continued fuel reduction treatment to improve wildfire resiliency, reduce hazardous fuels, and protect critical watershed resources and infrastructure within the Highway 4 corridor.

FINANCIAL IMPACTS:

Beginning July 1, 2026, solicitations for fuel reduction treatment contractors are subject to prevailing wage requirements. Applicable prevailing wage costs have been incorporated into project budget assumptions.

For applications submitted to the CAL FIRE Wildfire Prevention Program, the Authority may serve as fiscal agent and is eligible to receive an administrative allowance of up to 12% of awarded grant funds, subject to final grant agreement terms and applicable program guidelines. Based on the current estimated project budget, this would equate to approximately \$81,636 in administrative costs if fully funded.

The Sierra Nevada Conservancy Wildfire and Forest Resilience Program does not provide a comparable fixed administrative percentage; allowable indirect or administrative costs are subject to program-specific budget approval and must be justified within the grant budget rather than applied as a standard rate.

All grant funding, if awarded, would be used to implement eligible project activities associated with the Darby Apple Fuels Reduction Project in accordance with the respective grant agreements.

Attachments

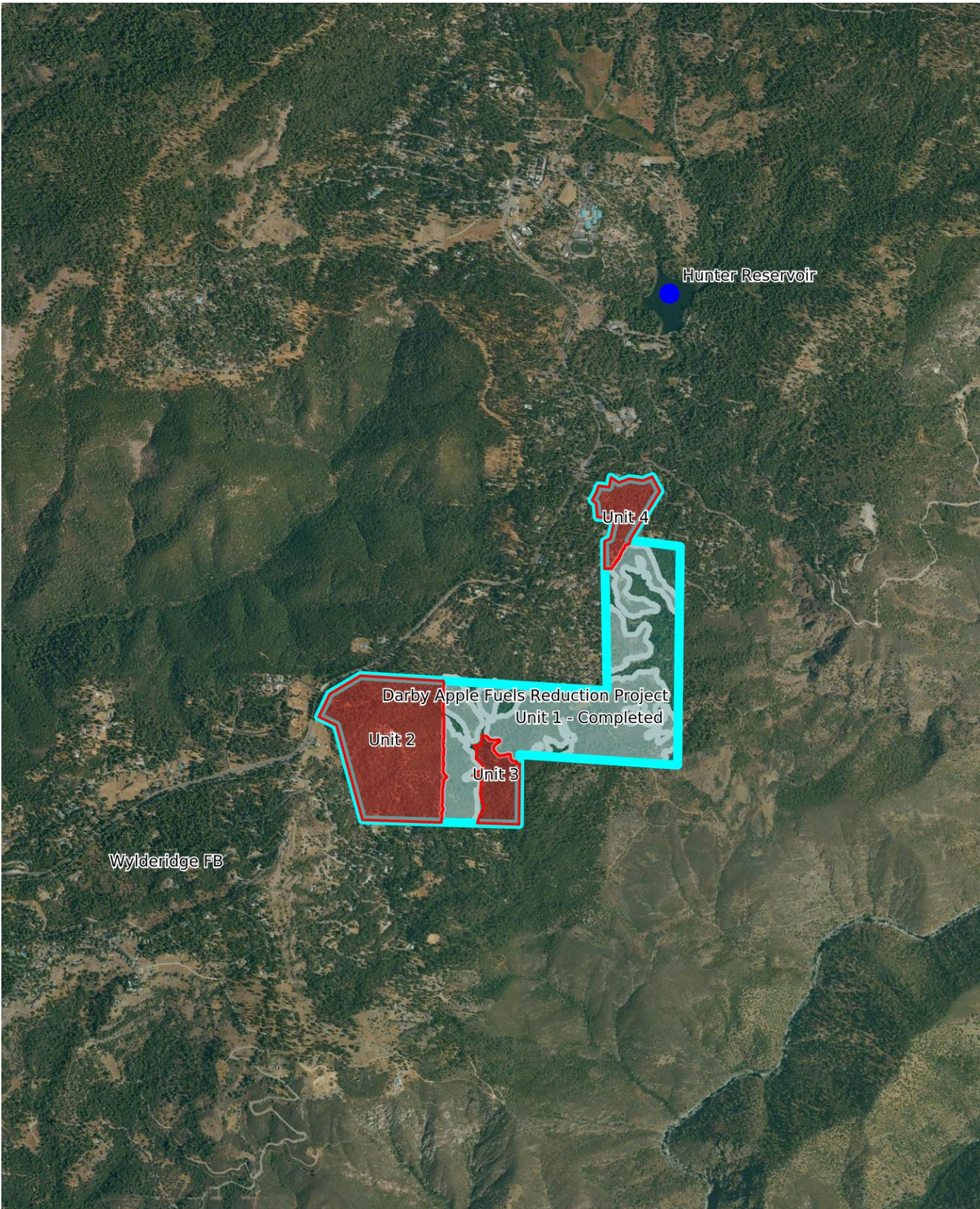
1. *Brief Project Description – Darby Apple Fuel Reduction*
2. *Project Map – Darby Apple 1*
3. *Project Map – Darby Apple 2*
4. *Project Budget – Darby Apple (Prevailing Wage)*
5. *Resolution 2026-07 Authorizing General Manager to Submit Darby Apple Fuels Reduction Grant*

The Darby Apple Fuels Reduction Project

This partially completed project fills a critical gap in the Highway 4 Wildfire Defense System, a series of landscape scale fuelbreaks and forest health projects designed to protect homes, infrastructure, and natural resources along the Highway 4 corridor in Calaveras County. This project was planned in 2022, and using surplus funds left over from the Hunter Reservoir Fuel Reduction Project was partially treated. In addition to partial treatment, Utica also facilitated the completion of CEQA on the entire planned project, allowing for future treatment on remaining acres to be streamlined.

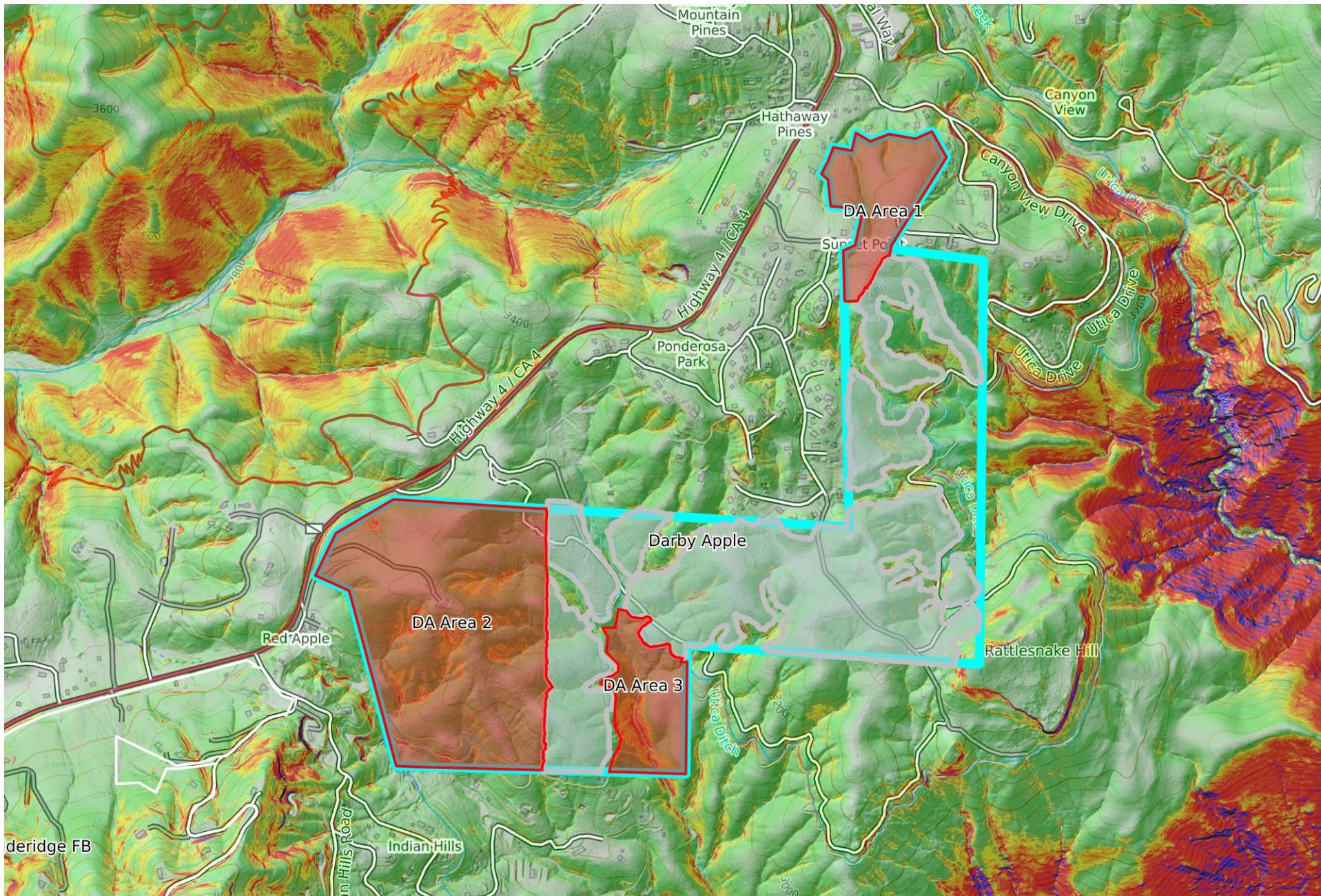
A grant application is being developed to treat the remaining acres of the Darby Apple Fuel Reduction Project, planned for submission to CAL FIRE's Wildfire Prevention Program on July 8, 2026 and to Sierra Nevada Conservancy's Wildfire and Forest Resilience Program on July 27, 2026.

Note that as of July 1, 2026, all solicitations for fuel reduction treatment contractors are subject to prevailing wage, as reflected in the budget.

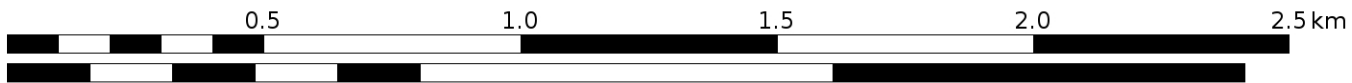


Mercator Projection
WGS84
UTM Zone 10S

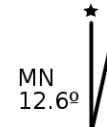


Mercator Projection
WGS84
UTM Zone 10S



Scale **1:14731** 1 inch = 1228 feet



Tracking #:

Project Budget

Project Name: Darby Apple Fuel Reduction Project

Budget Category	Item Description	Cost Basis			Cost Share (%)			Funding Source (\$)			Total (\$)
		Quantity	Units	Cost/Unit	Grant	Grantee	Partner	Grant	Grantee	Partner(s)	
A. Salaries and Wages											
		0	Hours	\$ -	0%	0%	0%	\$ -	\$ -	\$ -	\$ -
		0	Days	\$ -	0%	0%	0%	\$ -	\$ -	\$ -	\$ -
		0	Hours	\$ -	0%	0%	0%	\$ -	\$ -	\$ -	\$ -
		0	Days	\$ -	0%	0%	0%	\$ -	\$ -	\$ -	\$ -
		0	Hours	\$ -	0%	0%	0%	\$ -	\$ -	\$ -	\$ -
		0	Hours	\$ -	0%	0%	0%	\$ -	\$ -	\$ -	\$ -
		0	Hours	\$ -	0%	0%	0%	\$ -	\$ -	\$ -	\$ -
		0	Hours	\$ -	0%	0%	0%	\$ -	\$ -	\$ -	\$ -
Sub-Total Salaries and Wages:								\$ -	\$ -	\$ -	\$ -
B. Employee Benefits											
		0	Days	\$ -	0%	0%	0%	\$ -	\$ -	\$ -	\$ -
		0	Days	\$ -	0%	0%	0%	\$ -	\$ -	\$ -	\$ -
		0	Hours	\$ -	0%	0%	0%	\$ -	\$ -	\$ -	\$ -
		0	Days	\$ -	0%	0%	0%	\$ -	\$ -	\$ -	\$ -
		0	Hours	\$ -	0%	0%	0%	\$ -	\$ -	\$ -	\$ -
		0	Hours	\$ -	0%	0%	0%	\$ -	\$ -	\$ -	\$ -
		0	Hours	\$ -	0%	0%	0%	\$ -	\$ -	\$ -	\$ -
		0	Hours	\$ -	0%	0%	0%	\$ -	\$ -	\$ -	\$ -
Sub-Total Employee Benefits:								\$ -	\$ -	\$ -	\$ -
C. Contractual											
	LTO	110	Acres	\$ 5,000	100%	0%	0%	\$ 550,000	\$ -	\$ -	\$ 550,000
	Handcrew	10	Acres	\$ 7,000	100%	0%	0%	\$ 70,000	\$ -	\$ -	\$ 70,000
	Registered Professional Forester	1	Contract	\$ 20,000	100%	0%	0%	\$ 20,000	\$ -	\$ -	\$ 20,000
	Project Management	400	Hours	\$ 100	100%	0%	0%	\$ 40,000	\$ -	\$ -	\$ 40,000
		0	Miles	\$ -	0%	0%	0%	\$ -	\$ -	\$ -	\$ -
Sub-Total Contractual:								\$ 680,000	\$ -	\$ -	\$ 680,000
D. Travel & Per Diem:											
		0	Days	\$ -	0%	0%	0%	\$ -	\$ -	\$ -	\$ -
		0	Days	\$ -	0%	0%	0%	\$ -	\$ -	\$ -	\$ -
		0	Days	\$ -	0%	0%	0%	\$ -	\$ -	\$ -	\$ -
		0	Days	\$ -	0%	0%	0%	\$ -	\$ -	\$ -	\$ -
Sub-Total Travel & Per Diem:								\$ -	\$ -	\$ -	\$ -
E. Supplies											
	Signage	3	Each	\$ 100	100%	0%	0%	\$ 300	\$ -	\$ -	\$ 300
			Each	\$ -	0%	0%	0%	\$ -	\$ -	\$ -	\$ -
		0	Each	\$ -	0%	0%	0%	\$ -	\$ -	\$ -	\$ -
		0	Each	\$ -	0%	0%	0%	\$ -	\$ -	\$ -	\$ -
		0	Each	\$ -	0%	0%	0%	\$ -	\$ -	\$ -	\$ -
Sub-Total Supplies:								\$ 300	\$ -	\$ -	\$ 300
F. Equipment											
		0	Each	\$ -	0%	0%	0%	\$ -	\$ -	\$ -	\$ -
		0	Each	\$ -	0%	0%	0%	\$ -	\$ -	\$ -	\$ -
		0	Each	\$ -	0%	0%	0%	\$ -	\$ -	\$ -	\$ -
		0	Each	\$ -	0%	0%	0%	\$ -	\$ -	\$ -	\$ -
		0	Each	\$ -	0%	0%	0%	\$ -	\$ -	\$ -	\$ -
Sub-Total Equipment:								\$ -	\$ -	\$ -	\$ -
G. Other Costs											
		0	Each	\$ -	0%	0%	0%	\$ -	\$ -	\$ -	\$ -
		0	Each	\$ -	0%	0%	0%	\$ -	\$ -	\$ -	\$ -
		0	Each	\$ -	0%	0%	0%	\$ -	\$ -	\$ -	\$ -
		0	Each	\$ -	0%	0%	0%	\$ -	\$ -	\$ -	\$ -
		0	Each	\$ -	0%	0%	0%	\$ -	\$ -	\$ -	\$ -
		0	Each	\$ -	0%	0%	0%	\$ -	\$ -	\$ -	\$ -
		0	Each	\$ -	0%	0%	0%	\$ -	\$ -	\$ -	\$ -
		0	Each	\$ -	0%	0%	0%	\$ -	\$ -	\$ -	\$ -
		0	Each	\$ -	0%	0%	0%	\$ -	\$ -	\$ -	\$ -
Sub-Total Other Costs								\$ -	\$ -	\$ -	\$ -
Total Direct Costs								\$ 680,300	\$ -	\$ -	\$ 680,300
Indirect Costs (Exclude Equipment)							12%	\$ 81,636		\$ 81,636	
Total Project Costs								\$ 761,936	\$ -	\$ -	\$ 761,936
Less Program Income								\$ -		\$ -	
Total Grant Proposed Costs								\$ 761,936	\$ -	\$ -	\$ 761,936



Resolution No. 2026-08

A RESOLUTION OF THE BOARD OF DIRECTORS OF THE UTICA WATER AND POWER AUTHORITY AUTHORIZING THE GENERAL MANAGER TO SUBMIT THE DARBY APPLE FUELS REDUCTION GRANT TO CAL FIRE UNDER THE FOREST HEALTH GRANT PROGRAM AND THE SIERRA NEVADA CONSERVANCY'S WILDFIRE AND FOREST RESILIENCE PROGRAM

WHEREAS, the Utica Water and Power Authority ("Utica") owns and operates critical water conveyance infrastructure and watershed resources within Calaveras County; and

WHEREAS, the Utica Board recognizes the importance of forest health, fuels reduction, and wildfire resilience efforts to protect communities, infrastructure, water resources, and natural ecosystems; and

WHEREAS, the Darby Apple Fuels Reduction Project is a component of the Highway 4 Wildfire Defense System, a landscape-scale wildfire resilience effort designed to reduce hazardous fuels and improve wildfire protection along the Highway 4 corridor in Calaveras County; and

WHEREAS, Utica facilitated completion of California Environmental Quality Act (CEQA) review for the full Darby Apple Fuels Reduction Project area, allowing future treatment activities to proceed more efficiently; and

WHEREAS, Utica desires to pursue grant funding opportunities to complete additional fuel reduction treatments associated with the Darby Apple Fuels Reduction Project, including applications to the California Department of Forestry and Fire Protection (CAL FIRE) Wildfire Prevention Program and the Sierra Nevada Conservancy Wildfire and Forest Resilience Program; and

WHEREAS, these grant programs provide funding opportunities to support wildfire prevention, forest resilience, hazardous fuels reduction, and protection of communities and critical infrastructure; and

NOW, THEREFORE, BE IT RESOLVED that the Utica Water and Power Authority Board of Directors:

1. Approves the filing of grant applications for the Darby Apple Fuels Reduction Project through the CAL FIRE Wildfire Prevention Program and the Sierra Nevada Conservancy Wildfire and Forest Resilience Program.
2. Certifies that Utica has or will have sufficient funds available to operate and maintain the project as required by the grant program.
3. Certifies that funds under the jurisdiction of Utica are available, or will be made available, to support project implementation requirements.
4. Certifies that, if awarded funding, Utica will expend grant funds in accordance with the terms and conditions of the executed grant agreement.
5. Authorizes the General Manager, or designee, to execute and submit all documents necessary for the grant application and administration of the project, including but not limited to applications, agreements, amendments, payment requests, and related documents.
6. This Resolution shall take effect immediately upon adoption.

ON A MOTION, by Director xxx, and seconded by Director xxx, the foregoing resolution, was duly passed and adopted by the Board of Directors of the Utica Water and Power Authority, on this 23rd day of June, 2026, by the following vote:

AYES:
NOES:
ABSTAIN:
ABSENT:

Caroline Schirato, Board Chair

Attest:

Stacie Walker, Board Clerk



Item 7A

[Return to Agenda](#)

DEPARTMENT OF WATER RESOURCES

DIVISION OF SAFETY OF DAMS
2720 GATEWAY OAKS DRIVE, SUITE 300
SACRAMENTO, CA 95833-3500



June 6, 2026

Ms. Brandi Sparks, Administrative Compliance Specialist
Utica Water and Power Authority
Post Office Box 358
Angels Camp, California 95222

Hunters Dam, No. 109
Ross Dam, No. 109-2
Murphys Afterbay Dam, No. 109-3
Murphys Forebay Dam, No. 109-4
Calaveras County

Dear Ms. Sparks:

Thank you for the surveillance reports transmitted to the Division of Safety of Dams (DSOD) on April 15, 2026. DSOD collects this information to monitor ongoing conditions for dam safety in accordance with sections 6101 and 6102.5(b) of the California Water Code. DSOD has reviewed the reports and has no comments at this time.

If you have any questions or need additional information, you may contact Area Engineer Param Dhillon at (916) 565-7823 or me at (916) 565-7820.

Sincerely,

Austin C. Jimenez for

Timothy W. Jimenez, P.E.
Central Regional Engineer
Division of Safety of Dams

cc: Mr. Frank L. Blackett, P.E.
Regional Engineer
Federal Energy Regulatory Commission
Office of Energy Projects
Division of Dam Safety and Inspections – San Francisco Regional Office

FEDERAL ENERGY REGULATORY COMMISSION
Office of Energy Projects
Division of Dam Safety and Inspections – San Francisco Regional Office

May 5, 2026

In reply refer to:
Project No. 2019-CA

VIA FERC Service

Erik Holt
General Manager
Utica Water & Power Authority
1168 Booster Way
PO Box 358
Angels Camp, CA 95222-0358

Re: Proposed Modification of 13th Part 12D Report Requirement

Dear Mr. Holt:

This is in response to your letter dated April 2, 2026, that submitted a request to perform a Periodic Inspection for the upcoming 13th Part 12D Report for the Utica Project, FERC No. 2019, rather than a Comprehensive Assessment as noted in our reminder letter dated December 2, 2025. Your request to change the 13th Part 12D to a Periodic Inspection is granted with the report remaining due by December 1, 2027. This will make the subsequent 14th Part 12D a Comprehensive Assessment, with a due date of December 1, 2032. An official reminder letter for the Periodic Inspection will be issued shortly under separate cover.

We appreciate your continued efforts in this aspect of our dam safety program. If you have any questions, please contact Mr. Cody Stephenson at (415) 369-3308.

Sincerely,

Frank L Blackett Digitally signed by Frank L Blackett
Date: 2026.05.05 09:09:35 -07'00'

Frank L. Blackett, P.E.
Regional Engineer

FEDERAL ENERGY REGULATORY COMMISSION
Office of Energy Projects
Division of Dam Safety and Inspections – San Francisco Regional Office

May 21, 2026

In reply refer to:
P-2019-01

VIA FERC Service

Erik Holt
General Manager
Utica Water & Power Authority
1168 Booster Way
PO Box 358
Angels Camp, CA 95222-0358

Subject: Thirteenth Part 12D Report, for a Periodic Inspection of the Utica Project, is due by December 1, 2027.

Dear Mr. Holt:

This letter is to inform you that the Thirteenth Part 12D Independent Consultant's Safety Inspection Report (Part 12D Report) for the Utica Project, FERC No. P-2019-01, is due to be submitted to this office by December 1, 2027.

This letter contains important information about the required scope and contents of the Part 12D Report and reflects changes to the Commission's regulations that were implemented by Order 880 and went into effect on April 11, 2022. We encourage you to read this letter in its entirety, as well as the regulations and associated Guidelines.

General Requirements

Code of Federal Regulations (CFR) Title 18, Part 12, Subpart D establishes the Commission's Independent Consultant (IC) Inspection Program, also referred to as the Part 12D Program, and prescribes the scope of inspections, reports, qualifications of Independent Consultant Team (IC Team) personnel, and related procedures.¹ The Part 12D Program is implemented by the Commission's Office of Energy Projects, Division of Dam Safety and Inspections (D2SI). Chapter 16 of the Commission's Engineering Guidelines for the Evaluation of Hydropower Projects (Engineering Guidelines) provides additional information related to the Part 12D Program.²

¹ <https://www.ecfr.gov/on/2022-04-11/title-18/chapter-I/subchapter-B/part-12/subpart-D>

² [Chapter 16 - Part 12D Program \(Rev. 1\)](#)

The list below shows several key components and deliverables that are required for this inspection:

- For the Thirteenth Part 12D Inspection, the IC Team must perform a **Periodic Inspection** (PI) as defined in 18 CFR § 12.31(e) and described in 18 CFR § 12.35.
- You must provide a **Part 12D Inspection Plan** in advance, including an **IC Team Proposal**, and obtain written approval from the Director, D2SI in advance of the Part 12D Inspection, as described in 18 CFR § 12.34.
- The IC Team must prepare a preliminary report, referred to as a **PI-Pre-Inspection Preparation Report** (PI-PIPR). The PI-PIPR must be submitted at least 30 days in advance of the field inspection, as described in 18 CFR § 12.42. Appendix 16-C of the Engineering Guidelines provides an outline for the PI-PIPR.
- The IC Team must document their findings in a final Part 12D Report, specifically a **Periodic Inspection Report** (PIR), which is described in 18 CFR § 12.36. The PIR must be submitted by December 1, 2027. Appendix 16-B of the Engineering Guidelines provides an outline for the PIR.
- You must provide a **plan and schedule for corrective measures** to address the IC Team’s recommendations, as required by 18 CFR § 12.41 and further described in Section 16-7 of the Engineering Guidelines.

Project Development(s) Requiring Inspection

The applicability of 18 CFR Part 12, Subpart D is based on project developments as defined in 18 CFR 12.3(b)(7). A project development comprises “an impoundment and its associated dams, forebays, water conveyance facilities, power plants, and other appurtenant facilities.” For this PI, the following project developments require inspection:

- Utica Development (includes Hunters, Murphys Forebay, and Murphys Afterbay Dams and all associated structures and conveyances)

Timeline of Activities

The table below shows the timing of some major milestones in the PI process. Shortly after issuance of this letter, Commission staff will contact you to schedule the initial coordination call; Enclosure 1 provides an agenda for that call.

Milestone	Timing
Initial Coordination Call (<i>Licensee and FERC</i>)	Within approximately 30 days of the date of this letter
Submit the Part 12D Inspection Plan to the FERC	180 days in advance of the field inspection
Second Coordination Call (<i>Licensee, IC Team, and FERC</i>)	Within approximately 6 weeks after approval or conditional approval of the IC Team
Submit the PI-PIPR to FERC	At least 30 days before the field inspection
Field inspection (<i>Licensee, IC Team, and FERC</i>)	<i>Dates as scheduled in the Part 12D Inspection Plan</i>
Submit the PIR to FERC	December 1, 2027
Submit the plan and schedule to address the IC Team’s recommendations	Within 60 days after the PIR is submitted

Approval of the Independent Consultant Team and the Part 12D Inspection Plan

You are required to submit a Part 12D Inspection Plan at least 180 days in advance of the first IC Team activity; since you are to perform a Periodic Inspection, the first activity is the site inspection.³ The Part 12D Inspection Plan must describe the scope and schedule of the inspection activities and include an IC Team Proposal, which must:

- Identify the required technical disciplines for IC(s) and supporting team members;
- Identify the proposed IC(s) and demonstrate that they meet the requirements of 18 CFR § 12.31(a);
- Demonstrate that the IC Team collectively has the required “experience and expertise with dam design, construction, and in the evaluation and assessment of the safety of existing dams, commensurate with the scale, complexity, and relevant technical disciplines of the project and type of review, inspection, and assessment being performed;”⁴ and
- Address any potential conflicts of interest that may exist, specifically in regard to the requirement in 18 CFR § 12.34(b)(3), which prohibits any member of the IC Team from reviewing their own previous work.

You are required to obtain written approval of the proposed IC Team from the Director, D2SI prior to the performance of the Part 12D Inspection.⁵ File the Part 12D

³ 18 CFR § 12.34(b)

⁴ 18 CFR § 12.31(b)

⁵ 18 CFR § 12.34(a)

Inspection Plan, including the IC Team Proposal, using the Commission's eFiling system with the following address block on your transmittal letter:

Mr. David Capka, P.E., Director
Division of Dam Safety and Inspections
Federal Energy Regulatory Commission
Office of Energy Projects

You can access the Commission's eFiling system at <https://www.ferc.gov/ferc-online/overview>. During eFiling make the following menu selections: Hydro: Dam Safety; Washington, DC; and Independent Consultant Approval Request. The cover page of the filing must indicate that the material was eFiled. For assistance with eFiling, contact FERC Online Support at FERCOnlineSupport@ferc.gov, (866) 208-3676 (toll free), or (202) 502-8659 (TTY).

Section 16-3.3 of the Engineering Guidelines provides additional information related to the Part 12D Inspection Plan and IC Team Proposal. Please note that you may provide the name(s) and resume(s) of any supporting members of the IC Team in the Pre-Inspection Preparation Report (discussed below) instead of the IC Team Proposal, which provides flexibility for assigning subject matter experts closer to the inspection.⁶

Review of Prior Reports

The IC Team must “review and consider all relevant reports on the safety of the development made by or written under the direction of Federal or state agencies, submitted under Commission regulations, or made by other consultants,” and “must perform sufficient review to have, at the time of the [inspection], a full understanding of the design, construction, performance, condition, downstream hazard, monitoring, operation, and potential failure modes of the project works.” As the licensee, you are responsible for “[providing] to the independent consultant team all information and reports necessary” to fulfill these requirements.

Pre-Inspection Preparation Report

At least 30 days prior to the first in-person IC Team activity (field inspection), you are required to submit a preliminary report (the PI-PIPR) documenting the initial findings from the IC Team's review of project documentation, instrumentation data, and other information.⁷ We will review the PI-PIPR to evaluate whether the IC Team has an adequate understanding of project features and determine whether the inspection activities can proceed as scheduled. If the name(s) and resume(s) of any supporting

⁶ 18 CFR § 12.34(b)(4)

⁷ 18 CFR § 12.42

members of the IC Team were not provided in the Part 12D Inspection Plan, they must be provided in the letter transmitting the PI-PIPR. Section 16-4 of the Engineering Guidelines contains additional information regarding PIPRs.

If you do not submit the PI-PIPR in a timely manner, or if the PI-PIPR does not clearly demonstrate that the IC Team has performed the necessary level of preparation, we may require postponement of the in-person IC Team inspection activities. Regional Office staff will review the PI-PIPR and determine whether it is acceptable, generally acceptable, or unacceptable, as described in Section 16-4.1 of the Engineering Guidelines. If the PI-PIPR is acceptable or generally acceptable, the Part 12D Inspection may proceed as scheduled, though we may request follow-up action(s) to be completed prior to the first activity. If the PI-PIPR is unacceptable, the Part 12D Inspection will be postponed, and that postponement will not constitute good cause for an extension to submit the PIR. If the Regional Engineer does not issue a letter within two weeks after the PI-PIPR is submitted, the PI-PIPR will be deemed acceptable by default.

Field Inspection

The scope of any Part 12D Inspection includes “a physical field inspection of accessible project works, including galleries, adits, vaults, conduits, earthen and concrete-lined spillway chutes, the exterior of water conveyances, and other non-submerged project features that may require specialized access to facilitate inspection.” Section 16-5.3.1 of the Engineering Guidelines contains additional details regarding inspection of the reservoir rim and spillway chutes; observation of gate operations; and the review of special inspection reports.

You must provide a schedule for the field inspection to the Regional Office in advance of the inspection. If the IC Team intends to split into smaller groups to inspect multiple project features simultaneously, you must inform us well in advance. Typically, Regional Office staff will accompany the IC Team during the field inspection, and we will need to ensure that we send sufficient personnel to observe the project features with the IC Team. Any special access procedures and safety equipment should be identified ahead of time so that everyone attending the field inspection can prepare accordingly.

Potential Failure Modes Analysis and Risk Analysis Not Required

The scope of a PI **does not** include a Potential Failure Modes Analysis (PFMA) or Level 2 Risk Analysis (L2RA). The IC Team is still responsible for reviewing the most recent PFMA so they understand each identified PFM prior to the inspection and evaluate “whether any inspection observations or other conditions indicate that an unidentified

potential failure mode is active, developing, or is of sufficient concern to warrant development through a supplemental potential failure mode analysis.”⁸

Periodic Inspection Report

By the due date shown above, you are to submit the IC Team’s PIR to this office. Section 16-5 of the Engineering Guidelines contains additional information about the documentation required in each section of the PIR. Please note the following specific requirements that are important for you and the IC Team to fully understand:

- **Incorporation by Reference.** The regulations implemented by Order 122 (January 28, 1981) permitted the incorporation by specific reference to a previous Part 12D Report if the conditions, assumptions, and available information had not changed. **This is not permitted under the regulations that were implemented by Order 880 and which went into effect on April 11, 2022.** The IC Team must document their own interpretation and evaluation in each section of the PIR, where required.
- **Evaluation of Performance.** The evaluation of the performance of project works must be an independent interpretation based on the IC Team’s visual observations and review of instrumentation data and surveillance reports. The IC Team may not rely entirely on previous interpretations or state that a particular condition or instrumentation data does not indicate a potential issue simply because it is not changing over time. The evaluation must clearly address the identified PFMs as well as whether any previously unidentified PFMs may be active or developing.
- **Recommendations.** For each corrective measure the IC Team provides in the PIR, they are also required to provide their recommendation of a reasonable time for the Licensee to carry out the corrective measure.

Corrective Measures – IC Team Recommendations and Licensee Plan and Schedule

Within 60 days of submitting the Part 12D Report, you must submit your plan and schedule for addressing any recommendations provided by the IC Team.⁹ Your plan may include any proposal, including taking no action, that you consider a preferred alternative to any corrective measures recommended by the IC Team, and you may not concur with the IC Team’s recommended time to complete each corrective measure. However, it is your responsibility to provide complete justification in support of your preferred alternative, and the Regional Engineer may require modifications to your proposed plan

⁸ 18 CFR § 12.36(b)(3)

⁹ 18 CFR § 12.41(a)(1)(i)

and schedule.¹⁰ You must submit an annual report documenting the status of the corrective measures until all have been completed.¹¹

If during the course of their inspection, the IC Team discovers any condition for which emergency corrective measures are advisable, the IC Team must notify you immediately and you must notify the Regional Engineer pursuant to 18 CFR § 12.10(a).¹²

Closing

The Commission's dam safety program is a cooperative process that includes the Licensee, the IC Team, and the FERC. The most important of the three elements is the Licensee, as you operate the project, see the project on a regular basis, and are responsible for the performance monitoring program used to determine if any potential failure modes are developing. It is your responsibility as the Licensee to submit the Part 12D Report to the FERC and ensure that the Part 12D Report meets the requirements of the Commission's Regulations and the Engineering Guidelines before it is submitted. The Part 12D Report is a FERC requirement but is also a valuable resource for you as the dam owner.

Except for the Part 12D Inspection Plan, which is discussed above, file the Part 12D Report and other related submittals using the Commission's eFiling system at <https://www.ferc.gov/ferc-online/overview>. When eFiling, select Hydro: Dam Safety and San Francisco Regional Office. If you are also filing an STID and Digital Project Archive (DPA), both the STID and DPA must be eFiled by selecting Hydro: Dam Safety and San Francisco Regional Office. Note that the Commission does not require a hard copy submittal of the STID. Any initial filing of the STID and DPA must be a complete digital copy, however, there is flexibility for periodic updates. We offer the following electronic filing guidance:

Supporting Technical Information Document:

- STIDs must be filed electronically via eFiling. Licensees can maintain a current copy of the STID with the Commission in one of two ways:
 - Option 1 – eFile the Table of Contents, Revision Log and Sections 1-11 as separate PDF files.
 - Periodic Updates – eFile the updated complete Section(s) and include instructions for Commission Staff.
 - Option 2 – eFile the entire STID as one PDF file.

¹⁰ 18 CFR § 12.4(b)(2)(iii)(C)

¹¹ 18 CFR § 12.41(a)(2)

¹² 18 CFR § 12.41(b)

- Periodic Updates – eFile a complete copy of the revised STID as one PDF file.
- Under either option, you should always file an updated Revision Log. An updated Table of Contents may be necessary as well depending on the Periodic Update(s).
- Dam Safety Surveillance and Monitoring Plan (DSSMP) – You no longer need to remove any appendices of the DSSMP for eFiling Section 7 of the STID. A complete copy of the DSSMP will be used for both the STID and the Digital Project Archive.

Digital Project Archive (DPA)

- The DPA that accompanies the STID must be submitted electronically. Similar to the STID, Licensees have flexibility in the folder structure for organization of the DPA, but that decision will affect how Periodic Updates are submitted to the Commission.
 - If the DPA is organized into folders that match the top level sections in the STID outline (as recommended in Section 15-2.3 of our Engineering Guidelines), with appropriate subfolders (e.g., Section 3 of the DPA could be organized with subfolders for each separate major construction project), then Periodic Updates may be accomplished by transmitting just the affected subfolder(s) along with instructions to Commission staff to add/remove/replace the outdated subfolder(s).
 - In order to limit the potential for errors, the Commission requests that all Periodic Updates to DPAs take place at a folder level and do not include updates to individual files (e.g., if a folder contains 20 files and only 4 are being updated, the entire folder of 20 files should be resubmitted).
- Instructions to Commission Staff should be included with any filing of Periodic Updates to the DPA.

If the DPA cannot be eFiled, contact the project engineer to discuss options for transmitting the DPA to the Commission. For more information on transmitting the DPA, see Chapter 15 of the Engineering Guidelines here: <https://www.ferc.gov/industries-data/hydropower/dam-safety-and-inspections/eng-guidelines>. The cover page of the filing must indicate that the material was eFiled. For assistance with eFiling, contact FERC

Online Support at FERCOnlineSupport@ferc.gov, (866) 208-3676 (toll free), or (202) 502-8659 (TTY).

If you have any questions regarding this letter or Enclosures, please do not hesitate to call me at 415-369-3318. Your support is critical for ensuring the safety of your project and I am available to discuss any concerns or comments that you may have.

Sincerely,

Frank L Blackett Digitally signed by Frank L Blackett
Date: 2026.05.21 08:37:00 -07'00'

Frank Blackett, P.E.
Regional Engineer

Enclosures:

1. Initial Coordination Call Agenda
2. Second Coordination Call Agenda
3. Outline for the PIR and PI-PIPR

Initial Coordination Call Agenda

1. General Requirements
 - a. Regulations
 - b. Guidelines
 - c. Scope of inspection

2. Overview of Changes to Part 12D Inspections
 - a. Detailed review of prior information
 - b. Incorporation by reference – **not permitted**

3. Licensee's Role
 - a. Internal processes to scope the Part 12D Inspection
 - b. Prepare and submit Part 12D Inspection Plan and IC Team Proposal
 - c. Provide required information/documentation to IC Team
 - d. Coordinate logistics (to be discussed in detail during Second Coordination Call)
 - e. Submit IC Team's PI-PIPR to FERC
 - f. Attend inspection
 - g. Submit IC Team's PIR to FERC
 - h. Review IC Team's recommendations; develop plan and schedule to address the recommendations and submit to FERC

4. Project-Specific Discussion
 - a. Outstanding issues/concerns
 - b. Initial thoughts – potential technical disciplines required
 - c. Estimated timeframe for inspection

Second Coordination Call Agenda

1. General Requirements
 - a. Regulations
 - b. Guidelines
 - c. Scope of inspection

2. IC Team's Responsibilities
 - a. Detailed review of prior information
 - b. Prepare PI-PIPR and provide to the Licensee
 - c. Perform inspection
 - d. Prepare PIR and provide to the Licensee
 - i. Include recommendations and reasonable timeframe for the Licensee to complete each
 - e. Incorporation by reference – **not permitted**

3. Preliminary Logistics
 - a. Field inspection

Enclosure 3: Outline for the PIR and PI-PIPR

The outline on the following pages can be used for both the PIR and PI-PIPR. For sections that do not require content in the PI-PIPR, the IC Team can leave the heading in place and add a note that the section is retained as a placeholder for use in the PIR. Refer to Appendices 16-B and 16-C of the Engineering Guidelines for additional details regarding the required contents of each section for a PIR and a PI-PIPR, respectively.

SECTION 1: FINDINGS AND RECOMMENDATIONS

- 1.1 General Conditions and Evaluation of Performance**
- 1.2 Potential Failure Modes and Risk**
- 1.3 Review and Evaluation of Dam and Public Safety Programs**
 - 1.3.1 Owner's Dam Safety Program**
 - 1.3.2 Dam Safety Surveillance and Monitoring Program**
 - 1.3.3 Hazard Potential Classification**
 - 1.3.4 Emergency Action Plan**
 - 1.3.5 Public Safety Plan**
 - 1.3.6 Operations and Maintenance**
- 1.4 Recommendations**

SECTION 2: DESCRIPTION OF PROJECT FEATURES AND OPERATIONS

2.1 Location and Purpose

2.2 Description of Project Features

2.3 Summary of Operations

SECTION 3: PROJECT STATUS

3.1 Modifications to Project Works

3.2 Modifications to Project Operations

3.3 Recommendations of Previous Independent Consultants

3.4 Outstanding/Ongoing Studies

3.5 Completed Studies

3.6 Summary of Operations and Maintenance Programs

3.7 Previously Identified PFMs

SECTION 4: FIELD INSPECTION OBSERVATIONS AND INTERPRETATION OF MONITORING DATA

4.1 General

4.2 [Name of Project Feature 1]

4.2.1 Field Inspection Observations

4.2.2 Review and Evaluation of Instrumentation Data and Surveillance

4.2.3 Evaluation with Respect to Potential Failure Modes

4.2.4 Conclusion

4.3 [Name of Project Feature 2]

4.3.1 Field Inspection Observations

4.3.2 Review and Evaluation of Instrumentation Data and Surveillance

4.3.3 Evaluation with Respect to Potential Failure Modes

4.3.4 Conclusion

4.4 [Name of Project Feature 3, 4, etc.]

4.5 Overall Interpretation of Instrumentation Data

SECTION 5: REVIEW AND EVALUATION OF DAM AND PUBLIC SAFETY PROGRAMS

- 5.1 Owner's Dam Safety Program**
- 5.2 Dam Safety Surveillance and Monitoring Program**
- 5.3 Hazard Potential Classification**
- 5.4 Emergency Action Plan**
- 5.5 Public Safety Plan**
- 5.6 Operations and Maintenance**

Enclosure 3: Outline for the PIR and PI-PIPR

APPENDICES FOR THE PERIODIC INSPECTION REPORT

Appendix A: FERC Letter Requiring Part 12D Inspection

Appendix B: FERC Letter Approving Part 12D Inspection Plan and IC Team

Appendix C: Project Figures

Appendix D: Instrumented Monitoring Data Plots

Appendix E: Inspection Photographs

Appendix F: Inspection Checklists and/or Field Notes

Appendix G: Operation and Maintenance Documentation

FEDERAL ENERGY REGULATORY COMMISSION
Office of Energy Projects
Division of Dam Safety and Inspections – San Francisco Regional Office

June 17, 2026

In reply refer to:
Project No. 2019-CA

VIA FERC Service

Erik Holt
General Manager
Utica Water & Power Authority
1168 Booster Way
PO Box 358
Angels Camp, CA 95222-0358

Re: Twelfth Independent Consultant’s Safety Inspection Report and Revision 3 of the Supporting Technical Information Document for Hunter’s Dam

Dear Mr. Holt:

This is in response to letters dated November 30, 2022 and February 17, 2023 from Mr. Joel Metzger that submitted, respectively, the Twelfth Independent Consultant’s Safety Inspection Report (Part 12D Report) and Revision 3 of the Supporting Technical Information Document (STID) for Hunter’s Dam, which is part of the Utica Project, FERC No. 2019. Our review of the Part 12D Report concludes that the scope and content of the Report satisfy the requirements of Part 12, Subpart D, §12.37 of Title 18 of the Code of Federal Regulations. The Report was found to have been prepared in general accordance with the outline from Chapter 14 of our Engineering Guidelines that was provided in our reminder letter dated August 13, 2021. The STID conforms to Chapter 15 of the Guidelines. We have completed our review and have the following comment:

1. Section 7.8 of the Part 12D Report contains the following text:

“(A)ll three analyses are overly simplified and do not meet current state of the practice for analyses of concrete dams... Utica could consider an updated stability analyses for Hunter Dam, including, but not limited to the following loading conditions: Normal (Static) Loading, Flood Loading (Thunderstorm and General Storm PMF per in progress HMR 58/59 PMF Update), Post Seismic Loading (based on seismic characterization described in Section 7.5.2 above). This has been included as recommendation R-7 in Section 1.3.7 of this report.”

We note that there is no Recommendation 7 in the report, nor is there a recommendation pertaining to the stability analyses. The Independent Consultant’s

(IC's) assessment regarding the state of practice, and the outdated loading conditions are of note, and we concur with them. Provide a response to the IC's assessment including, as appropriate, a plan and schedule for performing the updated analyses, or justification for why the updates are not required.

2. STID Section 9 states that the slide gates on the Hunters Dam spillway have never had a stress analysis completed. Although the gates are not spillway gates in the most typical sense (e.g. radial), they do function as spillway gates and should have an analysis of record, as their failure could release a portion of the reservoir downstream, or (if inoperable) cause the dam to overtop in a flood. In the Part 12D report the IC did not address this topic. Confirm if any analysis of record exists, and if not, provide a plan and schedule for performing an analysis of the gates.

Within 30 days of the date of this letter provide a response to our comment or a plan and schedule for doing so. File your submittal using our eFiling system at <https://www.ferc.gov/ferc-online/overview>. When eFiling, select Hydro: Dam Safety; San Francisco Regional Office from the eFiling menu. The cover page of the filing must indicate that the material was eFiled. For assistance with eFiling, contact FERC Online Support at FERCOnlineSupport@ferc.gov, (866) 208-3376 (toll free), or (202) 502-8659 (TTY).

We appreciate your continued efforts in this aspect of our dam safety program. If you have any questions, please contact Mr. Cody Stephenson at (415) 369-3308.

Sincerely,

Frank L Blackett
 Digitally signed by Frank L Blackett
 Date: 2026.06.17 11:53:06 -07'00'
 Frank L. Blackett, P.E.
 Regional Engineer

cc:

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